

Lecture 1 1/26/2024

Riemannian metrics

Let  $M^n$  be a smooth manifold. A Riem metric is a smoothly varying family of inner products

$$g_p : T_p M \times T_p M \rightarrow \mathbb{R}$$

on the tangent spaces of  $M$ ; i.e., a smooth section of the vector bundle  $\text{Sym}^2(TM^*) \rightarrow M$  which is pointwise positive-definite. More concretely,  $\forall p \in M$ ,

- $g_p(v, w) = g_p(w, v) \quad \forall v, w \in T_p M$

- $g_p(v, v) \geq 0$   
 $= 0 \iff v = 0$

smooth vector fields on  $M$ , i.e., smooth sections of  $TM \rightarrow M$

- $M \ni p \mapsto g_p(X_p, Y_p) \in \mathbb{R}$  is smooth  $\forall X, Y \in \mathcal{X}(M)$ .

Endowed with  $g$ , we call  $(M^n, g)$  a Riem. manifold.

In a chart  $(x_1, \dots, x_n)$ , with  $T_p M = \text{span} \left\{ \frac{\partial}{\partial x_1}(p), \dots, \frac{\partial}{\partial x_n}(p) \right\}$ ,

we write  $g_p = \sum_{i,j} g_{ij}(p) \cdot dx_i \otimes dx_j$   $\leftarrow g_{ij} = g_{ji} = g\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right)$  are smooth fct.  $\{dx_i\}$  is the dual basis on  $T_p M^*$ . Usually abbreviate  $dx_i^2 = dx_i \otimes dx_i$  and omit " $\otimes$ " in  $dx_i \otimes dx_j$ .

Examples: Let  $M$  be a 1-dim mfd.

If  $M$  is compact, then  $M \stackrel{\text{diff}}{\cong} S^1$ ; if noncompact  $M \stackrel{\text{diff}}{\cong} \mathbb{R}$ .

In both cases, we can define  $X \in \mathcal{X}(M)$  such that

$\forall p \in M, T_p M = \text{span} \{X_p\}$ . Thus, a Riem. metric on  $M$

is determined by a single smooth positive function  $g_{11} : M \rightarrow \mathbb{R}$ .

- The "usual way" to write "the" canonical metric on  $M$  is:  
 on  $S^1 := [0, 2\pi] / \sim$ ,  $T_\theta S^1 = \text{span} \left\{ \frac{\partial}{\partial \theta} \right\}$ ,  $g = 1 d\theta \otimes d\theta = d\theta^2$   
 $(T_\theta S^1)^* = \text{span} \{d\theta\}$  dual space  
Can think of  $\theta: (0, 2\pi) \rightarrow S^1$  as a chart. Need 2 such charts to cover  $S^1$ .

- on  $\mathbb{R}$ ,  $T_x \mathbb{R} = \text{span} \left\{ \frac{\partial}{\partial x} \right\}$ ,  $g = 1 dx \otimes dx = dx^2$   
 $(T_x \mathbb{R})^* = \text{span} \{dx\}$  dual space  
1 global chart  $x: \mathbb{R} \rightarrow \mathbb{R}$   
common slight abuse of notation...

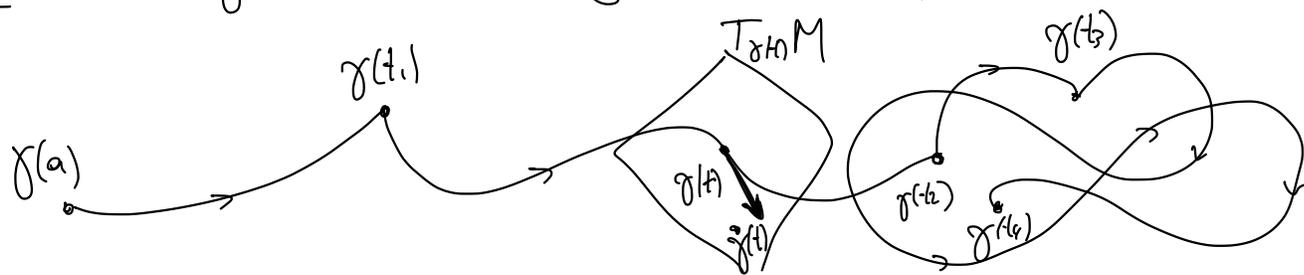
- However, that's not the only metric there is; e.g., on  $S^1$ , define  $h = f(\theta)^2 d\theta^2$  where  $f: S^1 \rightarrow \mathbb{R}$  is positive, e.g.,  
 $h = (2 + \cos \theta)^2 d\theta^2$ .

Are the circles  $(S^1, d\theta^2)$  and  $(S^1, h)$  the "same"?

Def: The Riem. manifolds  $(M^n, g)$  and  $(N^n, h)$  are isometric if there is a diffeomorphism  $\phi: (M^n, g) \rightarrow (N^n, h)$  such that  $\phi^* h = g$ , i.e.,  $\forall p \in M, \forall v, w \in T_p M$ ,  
 $h_{\phi(p)}(d\phi(p)v, d\phi(p)w) = g_p(v, w)$ .  
 Such  $\phi$  is called an isometry.

- Two manifolds are "the same" if diffeomorphic.
  - Two Riem. mfls are "the same" if isometric.
- To distinguish manifolds that are not the same, we can look for invariants:
- Smooth manifold invariants: dimension, Euler characteristic...
  - Riem. manifold invariants: distances, volumes, curvature...

Length: Let  $\gamma: [a, b] \rightarrow (M^n, g)$  be a piecewise  $C^1$  curve



ie.,  $\gamma|_{[t_i, t_{i+1}]}$  is  $C^1$ . The length of  $\gamma$  in  $(M^n, g)$  is:

$$L_g(\gamma) = \int_a^b g(\dot{\gamma}(t), \dot{\gamma}(t))^{1/2} dt$$

Exercise: Show that  $L_g$  is invariant under reparametrization, ie., if  $\eta: [a, b] \rightarrow [c, d]$  is a diffeomorphism, then  $L_g(\gamma) = L_g(\gamma \circ \eta)$ .

Exercise: Suppose  $\phi: (M^n, g) \rightarrow (M^n, h)$  is an isometry and  $\gamma: [a, b] \rightarrow M$  is a piecewise  $C^1$  curve. Show that  $L_g(\gamma) = L_h(\phi \circ \gamma)$ .

Let  $\gamma: [0, 2\pi] \rightarrow S^1$  be a parametrization of  $S^1$ ; say  $\gamma(\theta) = (\cos \theta, \sin \theta)$  if we take  $S^1 \subset \mathbb{R}^2$  to be the unit circle; and note  $\dot{\gamma}(\theta) = \partial/\partial \theta$ . Then, we have:

$$L_g(\gamma) = \int_0^{2\pi} \underbrace{g\left(\frac{\partial}{\partial \theta}, \frac{\partial}{\partial \theta}\right)^{1/2}}_1 d\theta = 2\pi. \quad \left( \begin{array}{l} g = d\theta^2 \text{ is called} \\ \text{the "unit" metric on} \\ S^1 := [0, 2\pi]/\sim. \end{array} \right)$$

$$L_h(\gamma) = \int_0^{2\pi} h\left(\frac{\partial}{\partial \theta}, \frac{\partial}{\partial \theta}\right)^{1/2} d\theta = \int_0^{2\pi} (2 + \cos \theta) d\theta = 4\pi.$$

Upshot:  $(S^1, g)$  and  $(S^1, h)$  are not isometric!

Claim:  $(S^1, h)$  is isometric to  $([0, 4\pi]/\sim, ds^2)$  ← "the" circle of length  $4\pi$

Pf: Let  $\phi: [0, 2\pi] \rightarrow [0, 4\pi]$  be the increasing smooth function

$$\phi(\theta) = \int_0^\theta (2 + \cos t) dt = 2\theta + \sin \theta. \quad (\text{think } s = \phi(\theta), \text{ so } \phi^* ds = \phi'(\theta) d\theta)$$

$\phi$  induces a diffeom  $\phi: \underbrace{[0, 2\pi]/\sim}_{\cong S^1} \rightarrow \underbrace{[0, 4\pi]/\sim}_{\cong S^1}$ , such that

$$\phi^* ds^2 = \phi'(\theta)^2 d\theta^2 = (2 + \cos \theta)^2 d\theta^2 = h, \text{ so we have an isometry}$$

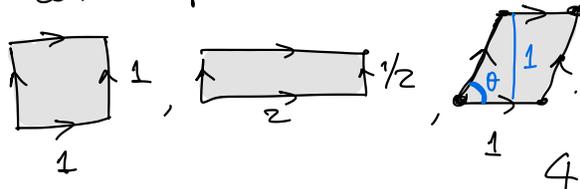
$\phi: (S^1, h) \rightarrow ([0, 4\pi]/\sim, ds^2)$ . Moreover,  $([0, 4\pi]/\sim, ds^2) \stackrel{\text{isom}}{\cong} ([0, 2\pi]/\sim, 4d\theta^2)$  ← why?

In HW1!

Exercise: Show that circles  $(S^1, g)$  and  $(S^1, h)$  are isometric if and only if they have the same length.

Hint: Following the above, show that for any Riem. metric  $g = f(\theta)^2 d\theta^2$  on  $S^1$ , there exists a constant  $r > 0$  and a diffeom.  $\phi: S^1 \rightarrow S^1$  s.t.  $\phi^* g = r^2 d\theta^2$ . If two metrics have the same  $r > 0$ , then compose the  $\phi$ 's to get an isometry.

Remark: Any two Riem. metrics on a 1-dim Riem. manifold are locally isometric. However, loc. isom. is not enough to conclude that manifolds can only differ by a homothety; e.g., any two flat tori are locally isometric, but there exist lots of non-isometric flat tori of the same volume; e.g.

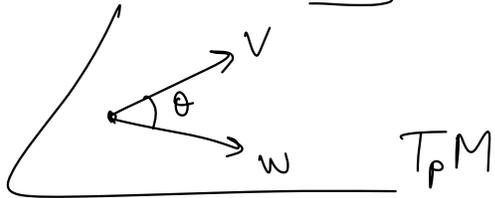


Prop: If  $(M^n, g)$  is a Riem. manifold, then  $\alpha \cdot g$  is a Riem. metric on  $M^n$  for any  $\alpha > 0$ ; called homothetic to  $g$ .

Def: If  $f: M \rightarrow \mathbb{R}$  is a smooth positive function, then  $f \cdot g$  is a metric on  $M^n$  called conformal to  $g$ .

Note: Riemannian angle between  $v, w$  is:

ie., same angles...



$$\theta = \arccos \frac{g_p(v, w)}{\sqrt{g_p(v, v) g_p(w, w)}}$$

does not change if we replace  $g$  with  $f \cdot g$ .

Ex: On  $B_1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 < 1\}$ , consider the Riem. metrics  $g_{Euc} = dx^2 + dy^2$ , i.e.,  $(g_{Euc}) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  on each  $T_{(x,y)} \mathbb{R}^2 \cong \mathbb{R}^2$  w.r.t.  $\left\{ \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right\}$ .

$$g_{hyp} = \frac{4}{(1 - x^2 - y^2)^2} (dx^2 + dy^2)$$

$$g_{sph} = \frac{4}{(1 + x^2 + y^2)^2} (dx^2 + dy^2)$$

both are conformal but not isometric to  $g_{Euc}$ !

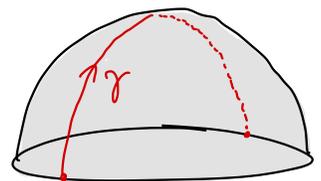
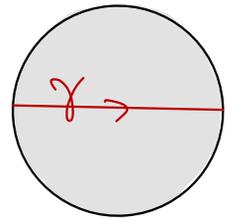
E.g., let  $\gamma: (-1, 1) \rightarrow B_1$ ,  $\gamma(t) = (t, 0)$ , be the "diameter".

Clearly,  $\dot{\gamma}(t) = (1, 0)$ , so

$$L_{g_{Euc}}(\gamma) = \int_{-1}^1 1 \cdot dt = 2$$

$$L_{g_{hyp}}(\gamma) = \int_{-1}^1 \frac{2}{1 - t^2} dt = \ln \left( \frac{1+t}{1-t} \right) \Big|_{-1}^1 = +\infty$$

$$L_{g_{sph}}(\gamma) = \int_{-1}^1 \frac{2}{1 + t^2} dt = 2 \arctan t \Big|_{-1}^1 = \pi$$



Q: How can we show that  $g_{\text{Euc}}$ ,  $g_{\text{hyp}}$ ,  $g_{\text{sph}}$  are not isometric?

- Just having different lengths for  $\gamma$  is not enough! (there could be some diffeo  $\phi$  "lurking" so that  $L_{g_{\text{Euc}}}(\gamma) = L_g(\phi \cdot \gamma)$ .)
- One way would be to compute the Area of  $B_1$  with each metric:  $\text{Area}(B_1, g_{\text{Euc}}) = \pi$ ,  $\text{Area}(B_1, g_{\text{hyp}}) = \infty$ ,  $\text{Area}(B_1, g_{\text{sph}}) = 2\pi$ .
- Another way would be to compute their curvature:  $\text{sec}_{g_{\text{Euc}}} \equiv 0$ ,  $\text{sec}_{g_{\text{hyp}}} \equiv -1$ ,  $\text{sec}_{g_{\text{sph}}} \equiv 1$ . more on how to do this later!

For now, the important upshot is that just by "looking at" the metric tensor, one usually cannot distinguish metrics or recognize a given "canonical" or "best" metric, because the expression depends on a choice of coordinates and there are lots of such choices (lots of diffeomorphisms...)

E.g., on  $S^1$ , the metrics  $4d\theta^2$  and  $(2 + \cos\theta)^2 d\theta^2$  are isometric.

Perhaps some more interesting examples can be found on  $\mathbb{R}^2$ :

Ex: Let  $\phi: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a diffeomorphism. Compute  $\phi^* g_{\text{Euc}}$ .

Take a chart  $(u, v)$  on  $\mathbb{R}^2$  and suppose  $\phi(u, v) = (x, y)$ , say  $x = \phi_1(u, v)$ ,  $y = \phi_2(u, v)$ , and let  $h = \phi^* g_{\text{Euc}}$ .

$$d\phi_{(u,v)} = \begin{bmatrix} \frac{\partial \phi_1}{\partial u} & \frac{\partial \phi_1}{\partial v} \\ \frac{\partial \phi_2}{\partial u} & \frac{\partial \phi_2}{\partial v} \end{bmatrix}, \text{ i.e., } \begin{cases} d\phi_{(u,v)} \frac{\partial}{\partial u} = \frac{\partial \phi_1}{\partial u} \frac{\partial}{\partial x} + \frac{\partial \phi_2}{\partial u} \frac{\partial}{\partial y} \\ d\phi_{(u,v)} \frac{\partial}{\partial v} = \frac{\partial \phi_1}{\partial v} \frac{\partial}{\partial x} + \frac{\partial \phi_2}{\partial v} \frac{\partial}{\partial y} \end{cases}$$

$$h\left(\frac{\partial}{\partial u}, \frac{\partial}{\partial u}\right) = g_{\text{Euc}}\left(d\phi \frac{\partial}{\partial u}, d\phi \frac{\partial}{\partial u}\right) = \left(\frac{\partial \phi_1}{\partial u}\right)^2 + \left(\frac{\partial \phi_2}{\partial u}\right)^2 = h_{11}$$

$$h\left(\frac{\partial}{\partial u}, \frac{\partial}{\partial v}\right) = g_{\text{Euc}}\left(d\phi \frac{\partial}{\partial u}, d\phi \frac{\partial}{\partial v}\right) = \frac{\partial \phi_1}{\partial u} \cdot \frac{\partial \phi_1}{\partial v} + \frac{\partial \phi_2}{\partial u} \cdot \frac{\partial \phi_2}{\partial v} = h_{12} = h_{21}$$

$$h\left(\frac{\partial}{\partial v}, \frac{\partial}{\partial v}\right) = g_{\text{Euc}}\left(d\phi \frac{\partial}{\partial v}, d\phi \frac{\partial}{\partial v}\right) = \left(\frac{\partial \phi_1}{\partial v}\right)^2 + \left(\frac{\partial \phi_2}{\partial v}\right)^2 = h_{22}$$

$$\begin{aligned} \text{so } h &= \left( \left( \frac{\partial \phi_1}{\partial u} \right)^2 + \left( \frac{\partial \phi_2}{\partial u} \right)^2 \right) du^2 \\ &+ 2 \left[ \frac{\partial \phi_1}{\partial u} \cdot \frac{\partial \phi_1}{\partial v} + \frac{\partial \phi_2}{\partial u} \cdot \frac{\partial \phi_2}{\partial v} \right] du dv \\ &+ \left( \left( \frac{\partial \phi_1}{\partial v} \right)^2 + \left( \frac{\partial \phi_2}{\partial v} \right)^2 \right) dv^2 \end{aligned} = \begin{pmatrix} h_{uu} & h_{uv} \\ h_{vu} & h_{vv} \end{pmatrix}$$

Again, careful:

$$du dv = \frac{1}{2} (du \otimes dv + dv \otimes du)$$

(More generally,  $h = \phi^* g$  is given by  $h_{ij} = \sum_{a,b} \frac{\partial \phi_a}{\partial x_i} \cdot \frac{\partial \phi_b}{\partial x_j} g_{ab}$ , so, if  $g_{ab} = \delta_{ab}$  is the Euclidean metric, then  $h_{ij} = \sum_a \frac{\partial \phi_a}{\partial x_i} \frac{\partial \phi_a}{\partial x_j}$ .)

E.g., with a linear  $\phi: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ ,  $\phi(u,v) = \underbrace{\begin{pmatrix} a & b \\ c & d \end{pmatrix}}_{d\phi} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix}$ , we get  $ad \neq bc$

$$h = (a^2 + c^2) du^2 + 2(ab + cd) du dv + (b^2 + d^2) dv^2$$

$$h = \begin{pmatrix} a^2 + c^2 & ab + cd \\ ab + cd & b^2 + d^2 \end{pmatrix} \text{ in the basis } \left\{ \frac{\partial}{\partial u}, \frac{\partial}{\partial v} \right\}.$$

HW1

Ex: Show that any constant metric on  $\mathbb{R}^2$ , e.g.,  $h = 2 du^2 - du dv + 5 dv^2$ , is isometric to  $g_{\text{Euc}} = dx^2 + dy^2$ . (For the above  $h$ , use  $\phi(u,v) = \begin{pmatrix} 1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$ .)

But there are also nonlinear diffeos of  $\mathbb{R}^2$ , such as

$$\phi(u,v) = \begin{pmatrix} \cos(u^2 + v^2) & -\sin(u^2 + v^2) \\ \sin(u^2 + v^2) & \cos(u^2 + v^2) \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$$

Ex: check this is a diffeo indeed...

And  $\phi^* g_{\text{Euc}} = (1 + 4u^4 - 4uv + 4u^2 v^2) du^2 + 4(u^2 - v^2 + 2u^3 v + 2uv^3) du dv + (1 + 4v^4 + 4uv + 4u^2 v^2) dv^2.$

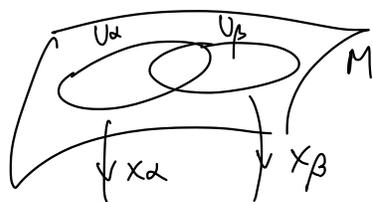
Of course, this "ugly" polynomial metric is isometric to  $g_{\text{Euc}}$  (we found it this way) but it would not be obvious at all how to see this... until we learn about curvature!

Some routine stuff:

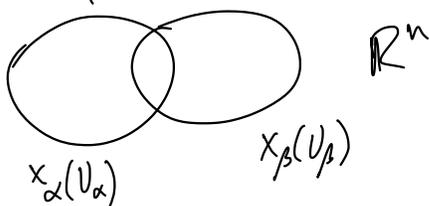
Prop: Every smooth manifold can be endowed with a Riem. metric.

Pf: Choose an atlas  $\{x_\alpha: U_\alpha \rightarrow x_\alpha(U_\alpha)\}$  and a subordinate partition of unity  $\rho_\alpha: U_\alpha \rightarrow [0,1]$ , i.e.  $\sum_\alpha \rho_\alpha \equiv 1$ .

On each  $x_\alpha(U_\alpha) \subset \mathbb{R}^n$  take, e.g., the Euclidean metric



and let  $g^{(\alpha)} := x_\alpha^*(g_{\text{Eucld}})$  on  $U_\alpha$ ;  
 then set  $g = \sum_\alpha \rho_\alpha g^{(\alpha)}$ . □



Ex: Is  $g$  locally isometric to  $g_{\text{Eucld}}$ ? Why not?  
 (If so, every manifold could be made flat!)

Q: How to "construct" Riem. metrics?

E.g., recall another result proven with partitions of unity:  
 $M$  compact,  $\partial M = \emptyset$ .

Thm (Whitney Embedding). If  $M^n$  is a smooth closed mfd, then there exists a smooth embedding  $\phi: M^n \hookrightarrow \mathbb{R}^{2n+1}$ .  
sometimes can reduce this dim...

Using the above, we can endow  $M^n$  with the metric  $\phi^*(g_{\text{Eucld}})$ , so that  $\phi$  becomes an isometric embedding.

Recall from computations above that, in coordinates  $(x_1, \dots, x_n)$  in  $M$ ,

$$\phi^*(g_{\text{Eucld}}) = \underbrace{\left( \sum_a \frac{\partial \phi_a}{\partial x_i} \frac{\partial \phi_a}{\partial x_j} \right)}_{g_{ij}} dx_i dx_j, \quad \text{where } \phi: M^n \rightarrow \mathbb{R}^N$$

$$\phi = (\phi_1, \dots, \phi_N)$$

Generalizing "induced metric" from embedding into  $\mathbb{R}^n$ , if  $\phi: M^k \hookrightarrow (N^n, g)$  is an embedding, then the pullback metric  $h = \phi^*g$  is

$$h_p(v, w) = g(d\phi_p v, d\phi_p w)$$

In charts,

$$d\phi_p \frac{\partial}{\partial x_i} = \sum_a f_i^a(p) \frac{\partial}{\partial y_a}$$

$$h_p \left( \frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j} \right) = g \left( d\phi_p \frac{\partial}{\partial x_i}, d\phi_p \frac{\partial}{\partial x_j} \right)$$

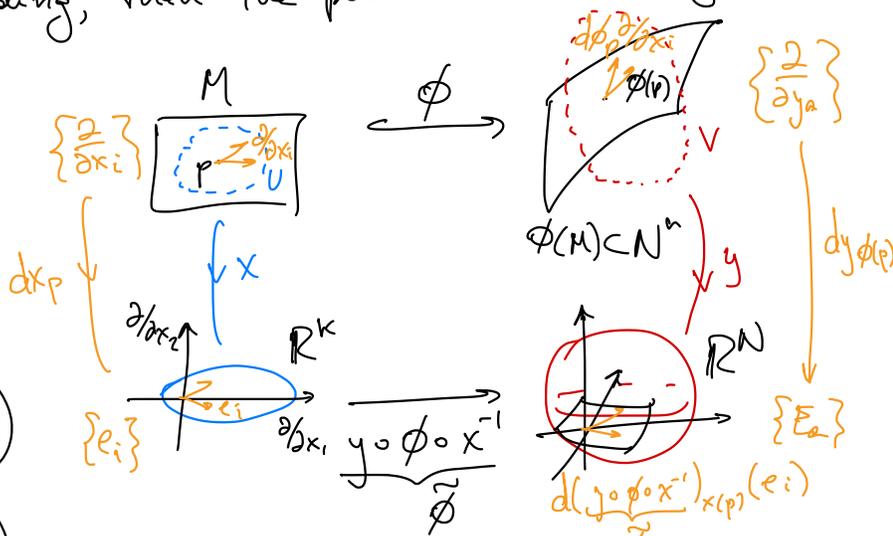
$$= \sum_{a,b} f_i^a(p) f_j^b(p) \underbrace{g \left( \frac{\partial}{\partial y_a}, \frac{\partial}{\partial y_b} \right)}_{g_{ab}}$$

$$= \sum_{a,b} \frac{\partial \phi_a}{\partial x_i} \frac{\partial \phi_b}{\partial x_j} g_{ab}$$

short-hand notation for  $\left[ \frac{\partial}{\partial x_i} (y \circ \phi \circ x^{-1}) \right]_a$  see side discussion

In short,

$$h_{ij} = \sum_{a,b} \frac{\partial \phi_a}{\partial x_i} \frac{\partial \phi_b}{\partial x_j} g_{ab}$$



$$f_i^a(p) = \frac{\partial \tilde{\phi}_a}{\partial x_i}, \text{ where } \tilde{\phi} = y \circ \phi \circ x^{-1}$$

$$\text{b/c } d\phi_p \left( \frac{\partial}{\partial x_i} \right) = \sum_a f_i^a(p) \frac{\partial}{\partial y_a} E_a \in T_{\phi(p)} N$$

$$dy_{\phi(p)} d\phi_p \left( \frac{\partial}{\partial x_i} \right) e_i = \sum_a f_i^a(p) E_a \in \mathbb{R}^n$$

$$\tilde{\phi}_{x(p)} e_i = d(y \circ \phi \circ x^{-1})_{x(p)} e_i = \sum_a \underbrace{f_i^a(p)}_{\text{here} = \frac{\partial \phi_a}{\partial x_i}} E_a \in \mathbb{R}^n$$

HW 1.

Ex: If  $f: U \subset \mathbb{R}^n \rightarrow \mathbb{R}$  is a smooth function, find the metric on  $U$  s.t. the embedding  $\phi: U \rightarrow \mathbb{R}^{n+1}$  given by  $\phi(x) = (x, f(x))$  is isometric.

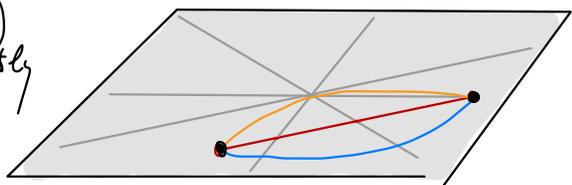
E.g., say  $n=2$  and  $f(x_1, x_2) = x_1^2 + x_2^2$ . Then  $\phi(x_1, x_2) = (x_1, x_2, x_1^2 + x_2^2)$

$$h_{11} = \sum_{a,b} \frac{\partial \phi_a}{\partial x_1} \frac{\partial \phi_b}{\partial x_1} \delta_{ab} = \sum_a \left( \frac{\partial \phi_a}{\partial x_1} \right)^2 = 1 + (2x_1)^2 = 1 + 4x_1^2$$

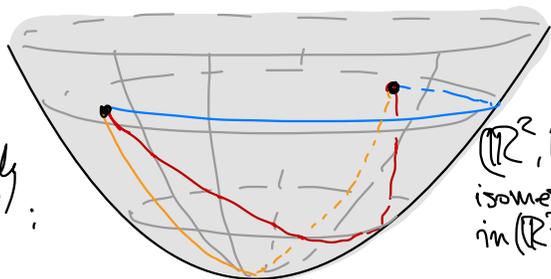
$$h_{12} = \sum_{a,b} \frac{\partial \phi_a}{\partial x_1} \frac{\partial \phi_b}{\partial x_2} \delta_{ab} = \sum_a \frac{\partial \phi_a}{\partial x_1} \frac{\partial \phi_a}{\partial x_2} = 4x_1 x_2$$

$h_{22} = \dots = 1 + 4x_2^2$  so  $h = (1 + 4x_1^2) dx_1^2 + 8x_1x_2 dx_1 dx_2 + (1 + 4x_2^2) dx_2^2$  is the induced metric on  $\mathbb{R}^2$  seen as a paraboloid in  $\mathbb{R}^3$ .

$(\mathbb{R}^2, h)$   
abstractly



or,  
seen  
isometrically  
inside  $\mathbb{R}^3$ :



$(\mathbb{R}^2, h)$  sits  
isometrically  
in  $(\mathbb{R}^3, g_{Eucl})$

Note: "Most" metrics on surfaces cannot be realized as induced metric by some isometric embedding into  $\mathbb{R}^3$ . E.g., any flat torus cannot be isometrically embedded in  $\mathbb{R}^3$ .... (Why?)

Theorem (Nash Embedding, 1956). Every  $C^k$  Riemannian manifold  $(M^n, g)$  can be  $C^k$  isometrically embedded in Euclidean space  $\mathbb{R}^N$  for some  $N$ .

$3 \leq k \leq +\infty$   
( $M$  compact:  $N \leq m(3m+1)/2$   
 $M$  noncompact:  $N \leq m(m+1)(3m+1)/2$ )

Stranger things happen for  $C^1$  Riem. manifolds, see "Nash-Kuiper Embedding Theorem".

Isometries  $\leftarrow$  Postponed to Lecture 3

The isometry group of  $(M^n, g)$  is  $\text{Iso}(M^n, g) = \{ \phi: M \xrightarrow{\text{diff}} M, \phi^*g = g \}$ .

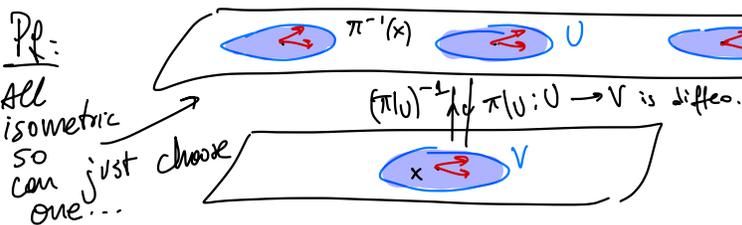
Theorem (Myers-Steenrod, 1939).  $\text{Iso}(M^n, g)$  is a Lie group.

Ex.  $\text{Iso}(\mathbb{R}^n, g_{Eucl}) = O(n) \ltimes \mathbb{R}^n = \{ \phi: \mathbb{R}^n \rightarrow \mathbb{R}^n, \phi(x) = Ax + b, A \in O(n), b \in \mathbb{R}^n \}$

$\text{Iso}(S^n, g_{round}) = O(n+1)$

Prop. If  $\Gamma \subset \text{Iso}(M^n, g)$  acts properly discontinuously on  $(M^n, g)$ , then  $M/\Gamma$  is a smooth manifold, and it inherits a Riemannian metric  $\check{g}$  so that the covering map  $(M^n, g) \xrightarrow{\pi} (M/\Gamma, \check{g})$  is a local isometry.

ie,  $\forall p \in M$  and  $\pi(p) \in M/\Gamma$ , there exist neighborhoods  $U \ni p$  in  $M$  and  $V \ni \pi(p)$  in  $M/\Gamma$  s.t.  $\pi|_U: U \rightarrow V$  is an isometry i.e.,  $(\pi|_U)^*(\check{g}|_V) = g|_U$



$M/\Gamma$  | If  $x \in M/\Gamma$ ,  $\exists V \ni x$  open neighbd and  $U \subset M$  s.t.  $\pi^{-1}(V) = \bigcup_{g \in \Gamma} g \cdot U$ . Define  $\check{g}|_V := (\pi|_U)^{-1*} g|_U$ .

Cor: Can endow  $S^n/\Gamma$ ,  $\mathbb{R}^n/\Gamma$ ,  $\mathbb{H}^n/\Gamma$  with Riem. metrics that are locally isometric to  $(S^n, g_{\text{round}})$ ,  $(\mathbb{R}^n, g_{\text{Euc}})$ ,  $(\mathbb{H}^n/\Gamma, g_{\text{hyp}})$ , e.g.,  $\mathbb{RP}^n$ ,  $T^n$ , ...  
 $\uparrow$   
 n-torus

Volume form.

Def: The volume form  $\text{vol}_g \in \Omega^n(M^n)$  induced by a Riemannian metric on an orientable mfd is given in local coordinates  $(x_1, \dots, x_n)$  by

$$\text{vol}_g = \sqrt{\det(g_{ij})} dx_1 \wedge \dots \wedge dx_n.$$

The Volume of  $(M^n, g)$  is  $\text{Vol}(M, g) = \int_M \text{vol}_g$ .

E.g., on  $(\mathbb{R}^2, h)$  where  $h$  is the "paraboloid" metric above, there's a global chart and

$$\det(h_{ij}) = \det \begin{pmatrix} 1+4x_1^2 & 4x_1x_2 \\ 4x_1x_2 & 1+4x_2^2 \end{pmatrix} = 1 + 4x_1^2 + 4x_2^2 + 16x_1^2x_2^2 - 16x_1^2x_2^2$$

$$= 1 + \|\nabla f\|^2 \quad \text{where } f(x_1, x_2) = x_1^2 + x_2^2.$$

so  $\text{vol}_h = \sqrt{1 + 4x_1^2 + 4x_2^2} dx_1 dx_2$ ; and, e.g.,  $\text{Vol}(U, h) = \int_U \sqrt{1 + 4x_1^2 + 4x_2^2} dx_1 dx_2$ .

Distances.

Def: The distance between  $p, q \in M$  with respect to a Riem. metric  $g$  is

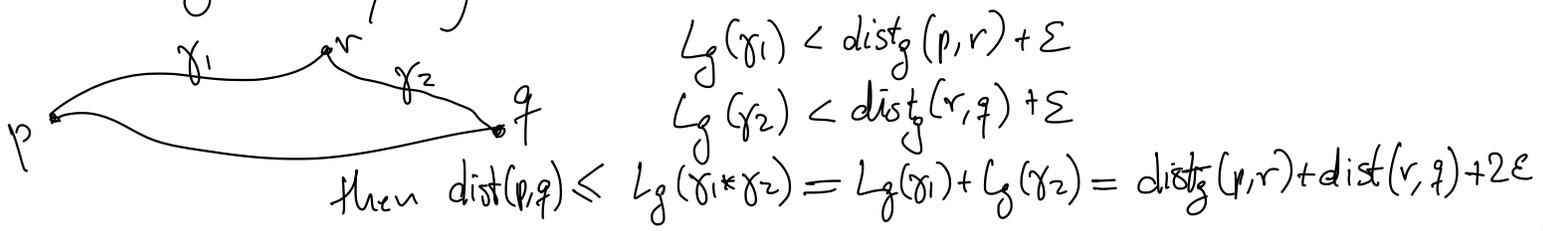
$$\text{dist}_g(p, q) = \inf \left\{ L_g(\gamma) : \gamma \text{ piecewise } C^1 \text{ curve on } M \text{ joining } p \text{ and } q. \right\}$$

Prop:  $(M^n, \text{dist}_g)$  is a metric space, with the same topology as  $M$ .

Use a chart and fact  $\oplus$  below...

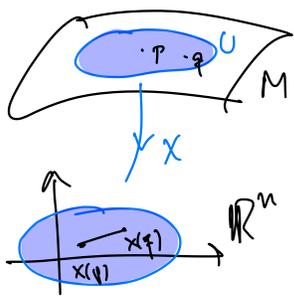
Pf: Clearly,  $\text{dist}_g$  is symmetric,  $\text{dist}_g(p, q) \geq 0$  and  $\text{dist}_g(p, q) = 0 \iff p = q$ .

The triangle inequality, use concatenation of paths: if given  $\epsilon > 0$  we have



then let  $\varepsilon \rightarrow 0$ . To see the topologies agree,  $\forall p \in M, \exists U \ni p$  chart and  $C > 0$  s.t.

$$\frac{1}{C^2} x^*(g_{Euc}) \leq (g|_U) \leq C^2 x^*(g_{Euc})$$



e.g., compare the eigenvalues of the positive-def. matrices  $(g_{ij})$  and  $(x^*g_{Euc})_{ij}$ . Thus,

$$\frac{1}{C} \|x(p) - x(q)\| \leq \text{dist}_g(p, q) \leq C \|x(p) - x(q)\| \quad \otimes$$

so the topologies agree □

Thm (Myers-Steenrod, 1939). If  $\phi: (M, \text{dist}_g) \rightarrow (N, \text{dist}_h)$  is a metric isom., i.e.,  $\text{dist}_g(x, y) = \text{dist}_h(\phi(x), \phi(y))$  for all  $x, y \in M$  then  $\phi$  is a Riem. isom., i.e., a smooth diffeomorphism s.t.  $h_{\phi(p)}(d\phi_p v, d\phi_p w) = g_p(v, w)$ ,  $\forall p \in M, \forall v, w \in T_p M$ .

Product manifolds  $M = M_1 \times M_2, TM = TM_1 \oplus TM_2$

Simple exercise: prove the converse!

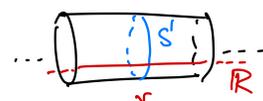
If  $(M_i, g_i)$  are Riem. manifolds, then  $M = M_1 \times M_2$  can be endowed with the "product metric"  $g_1 \oplus g_2$ , i.e., for all  $p = (p_1, p_2) \in M$ ,

$$g_p(v, w) = (g_1)_{p_1}(v_1, w_1) + (g_2)_{p_2}(v_2, w_2), \quad \forall v = (v_1, v_2), w = (w_1, w_2) \in T_p M$$

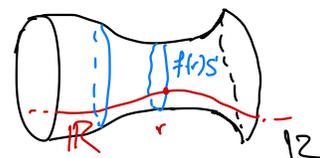
Given a positive function  $f: M_1 \rightarrow \mathbb{R}$ , one may also endow  $M$  with a "warped product" metric

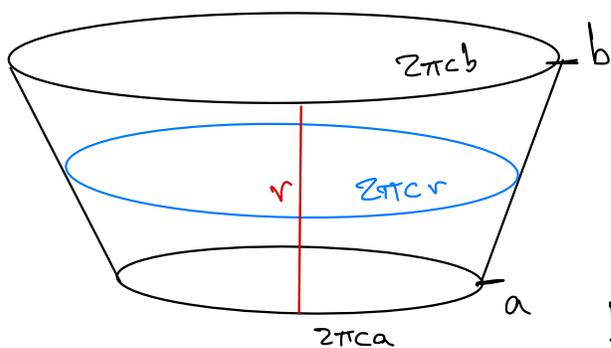
$$(g^f)_p(v, w) = (g_1)_{p_1}(v_1, w_1) + \boxed{f(p_1)} (g_2)_{p_2}(v_2, w_2), \quad \forall v = (v_1, v_2), w = (w_1, w_2) \in T_p M.$$

E.g., on  $\mathbb{R} \times S^1$ , we have the product (cylinder) metric  $dr^2 + d\theta^2$ ,



or the warped product metric  $dr^2 + f(r)^2 d\theta^2$ , where the circle  $S_{r_0}^1 = \{(r_0, \theta) : \theta \in S^1\}$  has length  $2\pi f(r_0)$ .





Setting  $f(r) = c \cdot r$  for some  $c > 0$   
we have a cone metric

$$dr^2 + c^2 r^2 d\theta^2 \text{ on } [a, b] \times S^1$$

Letting  $a \searrow 0$ , and  $b \nearrow +\infty$ , we get

$$g^c = dr^2 + c^2 r^2 d\theta^2 \text{ on } (0, +\infty) \times S^1 \cong \mathbb{R}^2 \setminus \{0\}$$

Q: For what value(s) of  $c > 0$  does  $g^c$  extend smoothly to  $\mathbb{R}^2$ ?

A: In Euclidean coordinates  $(x, y)$  around  $0 \in \mathbb{R}^2$ , we have the

diffeo  $\phi(r, \theta) = (\underbrace{r \cos \theta}_x, \underbrace{r \sin \theta}_y)$  for  $r > 0$ , and

$$\begin{cases} x = r \cos \theta \\ y = r \sin \theta \end{cases} \Rightarrow \begin{cases} dx = \frac{\partial x}{\partial r} dr + \frac{\partial x}{\partial \theta} d\theta = \cos \theta dr - r \sin \theta d\theta \\ dy = \frac{\partial y}{\partial r} dr + \frac{\partial y}{\partial \theta} d\theta = \sin \theta dr + r \cos \theta d\theta \end{cases}$$

so  $\begin{pmatrix} dx \\ dy \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} dr \\ r d\theta \end{pmatrix}$ , hence  $\begin{pmatrix} dr \\ r d\theta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} dx \\ dy \end{pmatrix}$

*Rotation by  $\theta$*       *invert the matrix*      *Rotation by  $-\theta$*

i.e.

$$\begin{cases} dr = \cos \theta dx + \sin \theta dy = \frac{x}{r} dx + \frac{y}{r} dy = \frac{x}{\sqrt{x^2+y^2}} dx + \frac{y}{\sqrt{x^2+y^2}} dy \\ d\theta = -\frac{\sin \theta}{r} dx + \frac{\cos \theta}{r} dy = -\frac{y}{r^2} dx + \frac{x}{r^2} dy = -\frac{y}{x^2+y^2} dx + \frac{x}{x^2+y^2} dy \end{cases}$$

hence

$$\begin{cases} dr^2 = \frac{x^2}{x^2+y^2} dx^2 + \frac{2xy}{x^2+y^2} dx dy + \frac{y^2}{x^2+y^2} dy^2 = \frac{x^2 dx^2 + 2xy dx dy + y^2 dy^2}{x^2+y^2} \\ d\theta^2 = \frac{y^2}{(x^2+y^2)^2} dx^2 - \frac{2xy}{(x^2+y^2)^2} dx dy + \frac{x^2}{(x^2+y^2)^2} dy^2 = \frac{y^2 dx^2 - 2xy dx dy + x^2 dy^2}{(x^2+y^2)^2} \end{cases}$$

Using the above, we compute:

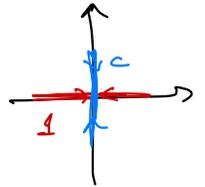
$$\begin{aligned}
 (\phi^{-1})^* g &= (\phi^{-1})^* dr^2 + c^2 (x^2 + y^2) (\phi^{-1})^* d\theta^2 \\
 &= \frac{x^2}{x^2 + y^2} dx^2 + \frac{2xy}{x^2 + y^2} dx dy + \frac{y^2}{x^2 + y^2} dy^2 \\
 &\quad + c^2 (x^2 + y^2) \left( \frac{y^2}{(x^2 + y^2)^2} dx^2 - \frac{2xy}{(x^2 + y^2)^2} dx dy + \frac{x^2}{(x^2 + y^2)^2} dy^2 \right) \\
 &= \frac{x^2 + c^2 y^2}{x^2 + y^2} dx^2 + \frac{2xy(1 - c^2)}{x^2 + y^2} dx dy + \frac{y^2 + c^2 x^2}{x^2 + y^2} dy^2
 \end{aligned}$$

The above functions are smooth at  $(x, y) = (0, 0)$  if and only if  $c = 1$ .

Indeed, e.g.  $f(x, y) = \frac{x^2 + c^2 y^2}{x^2 + y^2}$  has  $\lim_{x \rightarrow 0} f(x, 0) = 1$ ,  $\lim_{y \rightarrow 0} f(0, y) = c^2$ .

Smoothness is invariant under diffeom.; so

$$g^c = dr^2 + c^2 r^2 d\theta^2, \text{ on } (0, +\infty) \times S^1$$



extends smoothly to  $r = 0$ , i.e., to  $[0, +\infty) \times S^1$ , if and only if  $c = 1$ .

Prop: The metric  $g^f = dr^2 + f(r)^2 d\theta^2$  with  $f(0) = 0$  extends smoothly to  $r = 0$  iff  $\left(\frac{f(r)^2}{r^2}\right) - 1$  does, equivalently, iff  $|f'(0)| = 1$  and  $f^{(2k)}(0) = 0, \forall k \geq 1$

Q: Do we "recognize" the metric  $g^1 = dr^2 + r^2 d\theta^2$ ?

A: It is isometric to  $g_{\text{Euc}} = dx^2 + dy^2$ , since setting  $c = 1$  in the above we find  $(\phi^{-1})^*(g^1) = g_{\text{Euc}}$ ! Or, similar computations from  yield: previous page!

$$\begin{cases}
 dx^2 = \cos^2 \theta dr^2 - 2r \sin \theta \cos \theta dr d\theta + r^2 \sin^2 \theta d\theta^2 \\
 dx dy = r \cos^2 \theta dr d\theta - r \sin^2 \theta dr d\theta - r^2 \sin \theta \cos \theta d\theta^2 \\
 dy^2 = \sin^2 \theta dr^2 + 2r \sin \theta \cos \theta dr d\theta + r^2 \cos^2 \theta d\theta^2 \\
 \text{so } dx^2 + dy^2 = dr^2 + r^2 d\theta^2
 \end{cases}$$

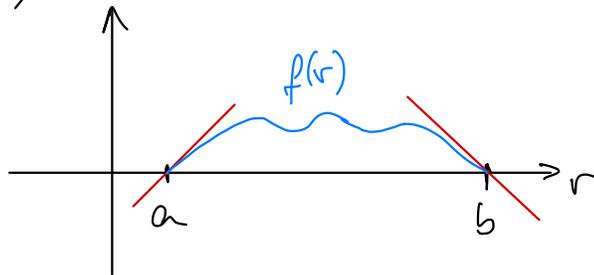
← these may be useful in your HW1...

# Lecture 3

2/2/2024

The same considerations about extending  $g^f = dr^2 + f(r)^2 d\theta^2$  smoothly to  $r=a$  if  $f(a)=0$  apply to extending it smoothly to  $r=b$  if  $f(b)=0$ , namely,  $g^f$  extends smoothly to  $[a,b] \times S^1 / \sim \cong S^2$  iff

$$\begin{aligned} f(a) &= 0 & f(b) &= 0 \\ f'(a) &= 1 & f'(b) &= -1 \\ f^{(\text{even})}(a) &= 0 & f^{(\text{even})}(b) &= 0 \end{aligned}$$



E.g.,  $f(r) = \sin r$  satisfies that on  $[a,b] = [0,\pi]$ ; and the metric  $g_{S^2} = dr^2 + \sin^2 r d\theta^2$  is isometric to the round metric on  $S^2$ .

Def:  $g_{S^n} = \phi^*(g_{\text{Euc}})$  where  $\phi: S^n \rightarrow \mathbb{R}^{n+1}$  is the usual inclusion of the unit sphere.

More generally, for  $n \geq 2$ , we can write the unit round metric  $g_{S^n}$  as a warped product on  $(0,\pi) \times S^{n-1} \cong S^n \setminus \{\pm N\}$  by setting  $g_{S^1} = d\theta^2$  and then  $g_{S^n} = dr^2 + \sin^2 r g_{S^{n-1}}$ . Indeed, we have an isometric embedding  $\phi_1: (S^1, g_{S^1}) \hookrightarrow (\mathbb{R}^2, g_{\text{Euc}})$ ,  $\phi_1(\theta) = (\sin \theta, \cos \theta)$ , and we inductively set  $\phi_n: (S^n, g_{S^n}) \hookrightarrow (\mathbb{R}^{n+1}, g_{\text{Euc}})$  to be the extension to  $r=0$  and  $r=\pi$  of

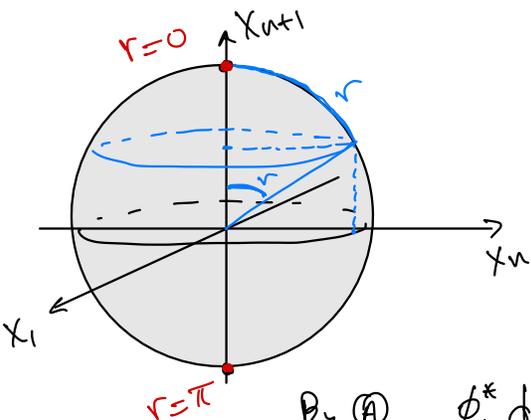
$$\begin{aligned} \phi_n: (0,\pi) \times S^{n-1} &\rightarrow \mathbb{R}^{n+1} = \mathbb{R}^n \oplus \mathbb{R} \\ (r,p) &\mapsto ((\sin r)\phi_{n-1}(p), \cos r) \end{aligned}$$

Assume  $\phi_{n-1}^*(dx_1^2 + \dots + dx_n^2) = g_{S^{n-1}}$ . Then

$$\begin{cases} (x_1, \dots, x_n) = (\sin r)\phi_{n-1}(p) & \textcircled{A} \\ x_{n+1} = \cos r & \textcircled{B} \end{cases}$$

By  $\textcircled{A}$ ,  $\phi_n^* dx_i = (\cos r) \phi_{n-1}^*(p)_i dr + \sin r \phi_{n-1}^*(dx_i)$

$$\Rightarrow \phi_n^*(dx_i^2) = \cos^2 r p_i^2 dr^2 + 2 \cos r \sin r (p_i \phi_{n-1}^* dx_i) dr + \sin^2 r \phi_{n-1}^*(dx_i^2)$$



Note:  $\|\phi_{n-1}(p)\|^2 = 1$  in  $\mathbb{R}^n$ , i.e.,  $p_1^2 + \dots + p_n^2 = 1 \Rightarrow 2p_1 \phi_{n-1}^*(dx_1) + \dots + 2p_n \phi_{n-1}^*(dx_n) = 0$

So

$$\phi_n^*(dx_1^2 + \dots + dx_n^2) = \underbrace{\cos^2 r \left( \sum_{i=1}^n p_i^2 \right)}_{=1} dr^2 + 2 \cos r \sin r \underbrace{\left( \sum_{i=1}^n p_i \phi_{n-1}^*(dx_i) \right)}_{=0} dr + \sin^2 r \underbrace{\phi_{n-1}^*(dx_1^2 + \dots + dx_n^2)}_{g_{S^{n-1}}}$$

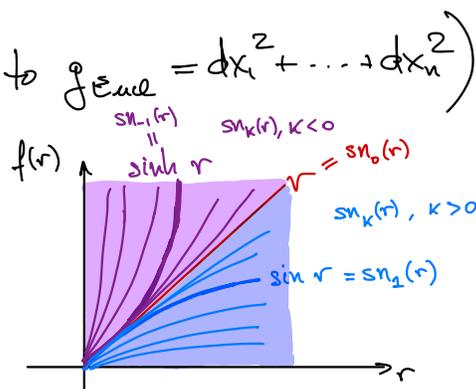
By ②,  $\phi_n^*(dx_{n+1}^2) = -\sin r dr \Rightarrow \phi_n^*(dx_{n+1}^2) = \sin^2 r dr^2$

So  $\phi_n^*(dx_1^2 + \dots + dx_{n+1}^2) = \cos^2 r dr^2 + \sin^2 r g_{S^{n-1}} + \sin^2 r dr^2 = dr^2 + \sin^2 r g_{S^{n-1}}$ . □

Similarly, we can inductively endow  $\mathbb{R}^n \setminus \{0\} \cong (0, +\infty) \times S^{n-1}$  with the metrics

- $dr^2 + r^2 g_{S^{n-1}}$  Euclidean metric (isometric to  $g_{\mathbb{E}^n} = dx_1^2 + \dots + dx_n^2$ )
- $dr^2 + \sinh^2 r g_{S^{n-1}}$  hyperbolic metric

both of which extend smoothly to  $r=0$ .



The above warping functions  $\begin{cases} \sin r & (S^n) \\ r & (\mathbb{R}^n) \\ \sinh r & (\mathbb{H}^n) \end{cases}$  fit in a 1-parameter family of

warping functions  $sn_k(r)$  s.t.  $dr^2 + sn_k(r)^2 g_{S^{n-1}}$  extend smoothly to  $r=0$ ,

namely the solutions to the 1-parameter family of ODEs

Note this function vanishes at  $r=0$  and  $r=\pi/\sqrt{k}$ , and extends smoothly to both points!

$$\begin{cases} sn_k''(r) + k sn_k(r) = 0, \\ sn_k(0) = 0, \quad sn_k'(0) = 1, \end{cases} \quad k \in \mathbb{R}$$

which can be computed explicitly to be  $sn_k(r) = \begin{cases} \frac{1}{\sqrt{k}} \sin(\sqrt{k} r), & k > 0 \\ r, & k = 0 \\ \frac{1}{\sqrt{-k}} \sinh(\sqrt{-k} r), & k < 0 \end{cases}$

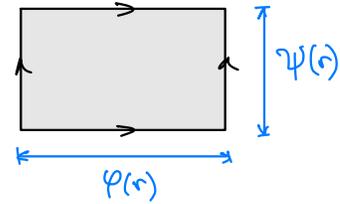
As we will see later,  $dr^2 + sn_k(r)^2 g_{S^{n-1}}$  has constant sectional curvature  $k$ .

(Leftovers from Lecture 2: Isometry group and induced metric on  $M/\Gamma$ .)

Lecture 4 2/7/2024

Doubly-warped products:  $M = M_1 \times M_2 \times M_3$ ,  $\varphi, \psi: M_1 \rightarrow \mathbb{R}$   
 $g^{\varphi, \psi} = g_{M_1} \oplus \varphi^2 g_{M_2} \oplus \psi^2 g_{M_3}$

E.g., on  $M = (a, b) \times S^1 \times S^1$   
 consider  $dr^2 + \varphi(r)^2 d\theta_1^2 + \psi(r)^2 d\theta_2^2$ , i.e.  
 geometrically, this is a 1-par. family of flat tori



Q: When does the above metric extend smoothly to  $r=a$  (or  $r=b$ )?

Note: At most 1 of  $\varphi, \psi$  can vanish at each endpoint, otherwise it would not be a manifold point (cone over a torus). ← cone over  $X$  is only a manifold if  $X$  is a sphere...

Similar considerations to (single) warped products yield:

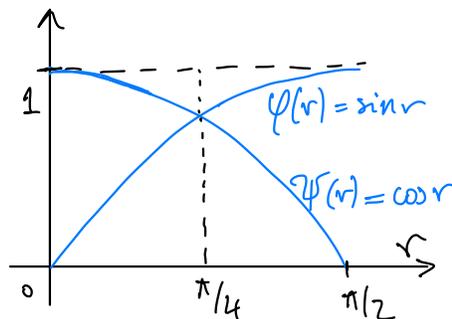
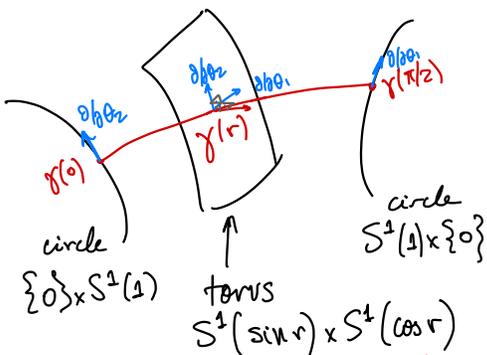
A: If  $\varphi(a)=0$ , then  $dr^2 + \varphi(r)^2 d\theta_1^2 + \psi(r)^2 d\theta_2^2$  extends smoothly to  $r=a$  iff

$$\begin{aligned} \varphi(a) &= 0 & \psi(a) &> 0 \\ \varphi'(a) &= 1 & \psi^{(\text{odd})}(a) &= 0 \leftarrow \text{i.e., has extension as even function} \\ \varphi^{(\text{even})}(a) &= 0 \leftarrow \text{i.e., has extension as odd function.} \end{aligned}$$

(similarly at  $r=b$ , or if  $\psi$  vanishes.)

Note: Same criteria hold replacing  $(S^1, d\theta_i^2)$  with  $(S^{n-1}, g_{S^{n-1}})$ .

E.g., setting  $\begin{cases} \varphi(r) = \sin r, \\ \psi(r) = \cos r, \end{cases}$  on  $(a, b) = (0, \pi/2)$ , we get a metric isometric to  $g_{S^3}$ .



More generally, for any  $1 \leq k \leq n-2$ , the metric  $dr^2 + \sin^2 r g_{S^k} + \cos^2 r g_{S^{n-k-1}}$  on  $(0, \pi/2) \times S^k \times S^{n-k-1} \subset S^n$  is isometric to  $g_{S^n}$ .  
 (i.e., restricted to  $S^n \setminus ((S^k \times \{0\}) \cup (\{0\} \times S^{n-k-1}))$ )

$\gamma(r) = (\sin r, 0, \cos r, 0) \in \mathbb{R}^4 \cong \mathbb{R}^2 \oplus \mathbb{R}^2$   
 ((r, theta\_1), (r\_2, theta\_2))  
 of genus 1 Heegaard splitting of  $S^3$ .

In the above coordinates  $(r, \theta_1, \theta_2)$  on  $S^3$ , the Hopf fibration is

$$S^3 = [0, \pi/2] \times S^1 \times S^1 \xrightarrow{\pi} [0, \pi/2] \times S^1 \cong S^2(\frac{1}{2})$$

$$dr^2 + \sin^2 r d\theta_1^2 + \cos^2 r d\theta_2^2 \quad \rightarrow \quad dr^2 + \sin^2_4(r) d\theta^2 = dr^2 + \frac{\sin^2 2r}{4} d\theta^2$$

i.e., the Hopf circles are  $\pi^{-1}(r, \theta) = \{(r, \theta + t, t) : t \in [0, 2\pi]\}$  for each  $(r, \theta) \in S^2(\frac{1}{2})$

Let  $p = (r, \theta_1, \theta_2)$  and recall that  $\pi^{-1}(r, \theta) \subset S^3$  is a submanifold, with

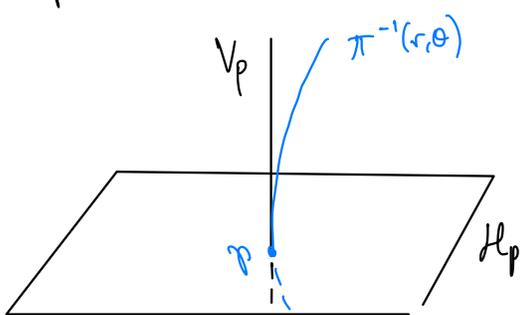
"vertical space"  $V_p := T_p(\pi^{-1}(r, \theta)) = \text{Ker } d\pi_p = \text{span} \left\{ \frac{\partial}{\partial \theta_1} + \frac{\partial}{\partial \theta_2} \right\}$

$\leftarrow d\pi_p \left( a \frac{\partial}{\partial r} + b \frac{\partial}{\partial \theta_1} + c \frac{\partial}{\partial \theta_2} \right) = a \frac{\partial}{\partial r} + (b-c) \frac{\partial}{\partial \theta} \in T_{\pi(p)} S^2(\frac{1}{2})$   
 $\in T_p S^3$

b/c  $\pi$  is a submersion

"horizontal space"  $H_p := T_p(\pi^{-1}(r, \theta))^{\perp g} = \text{span} \left\{ \frac{\partial}{\partial r}, (\cot r) \frac{\partial}{\partial \theta_1} - (\tan r) \frac{\partial}{\partial \theta_2} \right\}$

$g \left( \frac{\partial}{\partial \theta_1} + \frac{\partial}{\partial \theta_2}, (\cot r) \frac{\partial}{\partial \theta_1} - (\tan r) \frac{\partial}{\partial \theta_2} \right) = \cot r \left\| \frac{\partial}{\partial \theta_1} \right\|^2 - \tan r \left\| \frac{\partial}{\partial \theta_2} \right\|^2 = \frac{\cos r}{\sin r} \sin^2 r - \frac{\sin r}{\cos r} \cos^2 r = 0$   
 others are clear. Note  $\left\| (\cot r) \frac{\partial}{\partial \theta_1} - (\tan r) \frac{\partial}{\partial \theta_2} \right\| = 1$ , so this is a g-orthonormal basis.



$T_p S^3 = H_p \oplus V_p$  g-orthogonal direct sum.

and  $d\pi_p|_{H_p} : H_p \rightarrow T_{\pi(p)} S^2(\frac{1}{2})$  is a (linear) isometry:

$d\pi_p = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  in the o.n.b. bases  $\left\{ \frac{\partial}{\partial r}, (\cot r) \frac{\partial}{\partial \theta_1} - (\tan r) \frac{\partial}{\partial \theta_2} \right\}$  of  $H_p$  and  $\left\{ \frac{\partial}{\partial r}, \frac{2}{\sin(2r)} \frac{\partial}{\partial \theta} \right\}$  of  $T_{\pi(p)} S^2(\frac{1}{2})$ .

b/c  $d\pi_p \left( (\cot r) \frac{\partial}{\partial \theta_1} - (\tan r) \frac{\partial}{\partial \theta_2} \right) = (\cot r + \tan r) \frac{\partial}{\partial \theta} = \frac{\cos^2 r + \sin^2 r}{\sin r \cos r} \frac{\partial}{\partial \theta} = \frac{2}{\sin 2r} \frac{\partial}{\partial \theta}$

and it is orthogonal to  $\frac{\partial}{\partial r} \in T_{\pi(p)} S^2(\frac{1}{2})$ . Therefore,  $\pi : S^3(1) \rightarrow S^2(\frac{1}{2})$  is

a Riemannian submersion.  $\leftarrow$  In some sense, this is a dual notion to isometric immersion.

Def: A submersion  $\pi: (M, g) \rightarrow (N, h)$  is a Riemannian submersion if for all  $p \in M$ , the restriction of  $d\pi_p: T_p M \rightarrow T_{\pi(p)} N$  to  $H_p = (\text{Ker } d\pi_p)^\perp$  is a linear isometry.

*Coreful: The distribution of horizontal spaces in  $M$  need not be integrable!*

Q: If  $\pi: (M, g) \rightarrow N$  is a submersion, can we endow  $N$  with a Riem. metric so that  $\pi$  becomes a Riem. submersion?

A: In general, no. Need horizontal spaces along each  $\pi^{-1}(x)$  to be isometric...  
Write  $g = g_{\text{hor}} \oplus g_{\text{ver}}$  w.r.t.  $T_p M = H_p \oplus V_p$ , where  $V_p = \text{Ker } d\pi_p$ ,  $H_p = V_p^\perp$ .

Prop. Suppose  $\pi: (M, g) \rightarrow N$  is a submersion with connected fibers  $\pi^{-1}(x)$ ,  $x \in N$ . There exists a metric  $h$  on  $N$  s.t.  $\pi$  is a Riem. submersion iff  $\mathcal{L}_V(g_{\text{hor}}) = 0 \quad \forall V \in V_p, \forall p \in M$ .

Pf: See e.g. Gromoll-Walschap's book "Metric foliations and Curvature", Thm 1.2.1

*Lie derivative of  $g_{\text{hor}}$  in the direction  $V$ :*  
 $(\mathcal{L}_V g_{\text{hor}})(X, Y) = V(g_{\text{hor}}(X, Y)) - g_{\text{hor}}([V, X], Y) - g_{\text{hor}}(X, [V, Y])$

Cor: If  $G < \text{Isom}(M, g)$  acts on  $(M, g)$  in such way that  $M/G$  is a manifold (e.g., freely), then there exists a Riem. metric  $\check{g}$  on  $M/G$  s.t.  $\pi: (M, g) \rightarrow (M/G, \check{g})$  is a Riem. submersion.

Ex:  $S^1 \curvearrowright S^{2n+1} \subset \mathbb{C}^{n+1}$  by unit complex multiplication, freely and isometrically, so there is a Riem. metric on  $S^{2n+1}/S^1 = \mathbb{C}P^n$  s.t.  $\pi: S^{2n+1} \rightarrow \mathbb{C}P^n$  is a Riem. submersion.  
 $\uparrow$  called "Fubini-Study metric"  $g_{\text{FS}}$ . Note: By the above, for  $n=1$ ,  $(\mathbb{C}P^1, g_{\text{FS}}) \cong_{\text{isom}} S^2(1/2)$ .  
Similarly for  $S^3 \curvearrowright S^{4n+3} \subset \mathbb{H}^{n+1}$  by unit quaternion mult., and  $S^{4n+3}/S^3 = \mathbb{H}P^n$ .

Side Ex 1. Compute the length of the Hopf circle  $\pi^{-1}(r, \theta) \subset S^3$ .

Integrating the length of the tangent vector  $\frac{\partial}{\partial \theta_1} + \frac{\partial}{\partial \theta_2}$ , we find the length is:

$$\int_{\pi^{-1}(r, \theta)} \left\| \frac{\partial}{\partial \theta_1} + \frac{\partial}{\partial \theta_2} \right\|_{g_{S^3}} dt = \int_0^{2\pi} (\sin^2 r + \cos^2 r)^{1/2} dt = 2\pi, \quad (\text{independent of } (r, \theta) \dots)$$

Side Ex 2. Compute the volume of  $(S^3, g_{S^3})$ :

$$\int_0^{2\pi} \int_0^{2\pi} \int_0^{\pi/2} (\sin r \cos r) dr d\theta_1 d\theta_2 = 4\pi^2 \cdot \frac{\sin^2 r}{2} \Big|_0^{\pi/2} = 2\pi^2.$$

# Connections:

Q: How do we differentiate vector fields with respect to each other in  $\mathbb{R}^n$ ?

A: Vector fields  $X, Y: \mathbb{R}^n \rightarrow \mathbb{R}^n$  are  $n$ -tuples of functions  $a_i, b_j: \mathbb{R}^n \rightarrow \mathbb{R}$ ,

$$X = a_1 \frac{\partial}{\partial x_1} + a_2 \frac{\partial}{\partial x_2} + \dots + a_n \frac{\partial}{\partial x_n}$$

$$Y = b_1 \frac{\partial}{\partial x_1} + b_2 \frac{\partial}{\partial x_2} + \dots + b_n \frac{\partial}{\partial x_n}$$

(Note: Here we are identifying  $T_p \mathbb{R}^n \cong \mathbb{R}^n$ , which is only possible b/c  $\mathbb{R}^n$  is a vector space...)

and each  $Y_j$  can be differentiated at  $p \in \mathbb{R}^n$  in the direction  $X(p)$ :

$$\left( X(b_j) \right)_p = a_1(p) \frac{\partial b_j}{\partial x_1}(p) + \dots + a_n(p) \frac{\partial b_j}{\partial x_n}(p) = \sum_{i=1}^n a_i(p) \frac{\partial b_j}{\partial x_i}(p)$$

so we write

$$\nabla_X Y = X(Y) = \sum_{j=1}^n \underbrace{\left( \sum_{i=1}^n a_i \frac{\partial b_j}{\partial x_i} \right)}_{X(b_j)} \frac{\partial}{\partial x_j}$$

or  $dY(X)$ ...

On a manifold  $M$ , vector fields are sections of  $TM$ , i.e.  $X, Y: M \rightarrow TM$ , so a "derivative" would be  $dY(p): T_p M \rightarrow T_{Y(p)} TM$  for each  $p \in M$ , i.e.

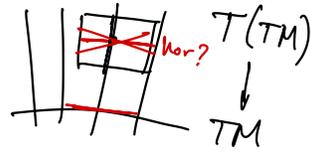
$dY: TM \rightarrow T(TM)$ ; and " $\nabla_X Y$ " =  $dY(X)$  would land in  $T(TM)$ , not  $TM$ .

Note:  $M \xrightarrow{X} TM \xrightarrow{dY} T(TM)$ ; and, unless  $M = \mathbb{R}^n$ , there's no canonical choice of "horizontal".

If  $M = \mathbb{R}^n$ , we identify  $T_p \mathbb{R}^n \cong \mathbb{R}^n$  so  $T\mathbb{R}^n = \mathbb{R}^n \times \mathbb{R}^n$  and  $X: \mathbb{R}^n \rightarrow T\mathbb{R}^n$ . Then  $p \mapsto (p, X(p))$

$$dY: T\mathbb{R}^n \rightarrow T(T\mathbb{R}^n) \cong (\mathbb{R}^n \times \mathbb{R}^n) \times (\mathbb{R}^n \times \mathbb{R}^n)$$

$$(p, v) \mapsto (p, Y(p), \underbrace{(Y(p), dY_p(v))}_{\text{Canonical "horizontal" part for bundle } T(TM) \rightarrow TM, \text{ if } M = \mathbb{R}^n})$$



$$\text{so } dY(X) = dY((p, X(p))) = ((p, Y(p)), \underbrace{(Y(p), dY_p(X(p)))}_{dY(X)_p = X(Y)_p})$$

On a manifold  $M$ , we could also choose coordinates near a point and compute derivatives of vector fields w.r.t. each other in  $\mathbb{R}^n$ , but this would depend on the choice of coordinates (charts). Issue: "difference quotient" is ill posed on  $M$ :

Can't define  $dY(X)_p = \lim_{q \rightarrow p \text{ along } X} \frac{Y(q) - Y(p)}{\text{dist}(p, q)}$  ... do not belong to same vector space, and there's no canonical way to identify  $T_p M$  and  $T_q M$ , even for  $q$  near  $p$ . 20

# Lecture 5 2/9/2024

Def: A connection  $\nabla$  on a vector bundle  $E \rightarrow M$  is a map

$$\nabla: \mathfrak{X}(M) \times \Gamma(E) \rightarrow \Gamma(E)$$

$$(X, Y) \mapsto \nabla_X Y \quad \text{s.t.}$$

(i)  $X \mapsto \nabla_X Y$  is  $C^\infty(M)$ -linear:  $\nabla_{f_1 X_1 + f_2 X_2} Y = f_1 \nabla_{X_1} Y + f_2 \nabla_{X_2} Y \quad \forall f_i \in C^\infty(M)$

(ii)  $Y \mapsto \nabla_X Y$  is  $\mathbb{R}$ -linear:  $\nabla_X (c_1 Y_1 + c_2 Y_2) = c_1 \nabla_X Y_1 + c_2 \nabla_X Y_2 \quad \forall c_i \in \mathbb{R}$

(iii) Leibniz rule  $\nabla_X (fY) = f \nabla_X Y + X(f) Y$ .

Note: The above  $\nabla$  on  $\mathbb{R}^n$  is a connection on  $T\mathbb{R}^n \rightarrow \mathbb{R}^n$ . <sup>eg:  $E = (TM^*)^{\otimes r} \otimes TM^{\otimes s}$</sup>   
 Using partitions of unity, can easily show that every vector bundle  $E \rightarrow M$  can be endowed with a connection (pull-back from  $\mathbb{R}^n$  using bundle charts...).  
 ← will define this soon

Prop: The value of  $(\nabla_X Y)_p \in T_p M$  depends only on  $X(p) \in T_p M$  and  $Y$  in a neighborhood of  $p \in M$ .

Prf: Use a bump function on neighborhood of  $p \in M$  and (i)–(iii) to prove locality, then (i) to prove it only depends on  $X$  at  $p$ . (cf. Lee p. 89–91)

Let us now specialize to  $E = TM$ , so  $\nabla: \mathfrak{X}(M) \times \mathfrak{X}(M) \rightarrow \mathfrak{X}(M)$ .

On a chart  $x: U \subset M \rightarrow x(U) \subset \mathbb{R}^n$ , using coordinate vectors  $\left\{ \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\}$

$$\nabla_{\frac{\partial}{\partial x_i}} \frac{\partial}{\partial x_j} = \sum_{k=1}^n \Gamma_{ij}^k \frac{\partial}{\partial x_k}$$

Coefficients  $\{\Gamma_{ij}^k\}$  of  $\nabla_{\frac{\partial}{\partial x_i}} \frac{\partial}{\partial x_j}$  are called "Christoffel symbols" (of  $\nabla$ ).

Then, if  $X = \sum a_i \frac{\partial}{\partial x_i}$ ,  $Y = \sum b_j \frac{\partial}{\partial x_j}$  on  $U$ , we have

$$\nabla_X Y = \nabla_{\left(\sum_i a_i \frac{\partial}{\partial x_i}\right)} \left(\sum_j b_j \frac{\partial}{\partial x_j}\right) = \sum_i a_i \nabla_{\frac{\partial}{\partial x_i}} \left(\sum_j b_j \frac{\partial}{\partial x_j}\right)$$

$$= \sum_{i,j} a_i b_j \underbrace{\nabla_{\frac{\partial}{\partial x_i}} \frac{\partial}{\partial x_j}}_{\sum_k \Gamma_{ij}^k \frac{\partial}{\partial x_k}} + \sum_{i,j} a_i \underbrace{\frac{\partial b_j}{\partial x_i} \frac{\partial}{\partial x_j}}_{X(b_j) \frac{\partial}{\partial x_j} \text{ (replace index } j \text{ with } k)} \text{ as in } \mathbb{R}^n \dots$$

$$= \sum_{i,k} \left[ \underbrace{a_i \frac{\partial b_k}{\partial x_i}}_{\substack{\text{this is} \\ \text{"X(Y)"} \\ \text{in coordinates} \\ \text{cf. } \nabla \text{ in } \mathbb{R}^n}} + \underbrace{\sum_j a_i b_j \Gamma_{ij}^k}_{\substack{\text{this is the} \\ \text{"correction" to} \\ \text{make it coord.} \\ \text{invariant.}}} \right] \frac{\partial}{\partial x_k} \quad \left( \begin{array}{l} \text{In } \mathbb{R}^n, \text{ the usual } \nabla \\ \text{has } \Gamma_{ij}^k \equiv 0, \text{ so} \\ \text{that } \nabla_X Y = X(Y). \end{array} \right)$$

Note: The above confirms that  $(\nabla_X Y)_p$  only depends on  $a_i(p)$  and  $b_j$  near  $p$ .

From  $\nabla$  we defined  $\Gamma_{ij}^k$ , and, conversely, given  $\Gamma_{ij}^k$  can define a unique connection  $\nabla$  with these prescribed Christoffel symbols (in coords, by formula above).

Prop: If  $\nabla$  and  $\tilde{\nabla}$  are connections on  $TM$ , with Christoffel symbols  $\Gamma$  and  $\tilde{\Gamma}$ , then  $A = \nabla - \tilde{\nabla}$  is a  $(1,2)$ -tensor  $A_{ij}^k = \Gamma_{ij}^k - \tilde{\Gamma}_{ij}^k$ . The space of connections on  $TM$  is therefore an affine space  $\{ \nabla + A : A \in \Gamma(TM^* \otimes TM^* \otimes TM) \}$

$\nwarrow$  choose a connection  
 $\nearrow$  difference to any other connection.

Pf: To check  $A$  is  $C^\infty(M)$ -linear in the remaining slot use Leibniz rule for  $\nabla$  and  $\tilde{\nabla}$  and see that nonlinear terms cancel. ↖ same symbol...

Note: From a connection  $\nabla$  on  $TM$ , can define induced connections  $\tilde{\nabla}$  on  $TM^*$  by setting for a 1-form  $\omega \in \Gamma(TM^*) \cong \Omega^1(M)$ ,

$$(\tilde{\nabla}_X \omega)(Y) = X(\omega(Y)) - \omega(\nabla_X Y) \quad \forall X, Y \in \mathcal{X}(M)$$

↖ connection on  $TM$

and, moreover, on all tensor bundles over  $M$ , i.e., on  $E = (TM^*)^{\otimes r} \otimes TM^{\otimes s}$ , via

$$\begin{aligned} (\nabla_X T)(Y_1, \dots, Y_r, \omega_1, \dots, \omega_s) &= X(T(Y_1, \dots, Y_r, \omega_1, \dots, \omega_s)) \\ &\quad - \sum_{k=1}^r T(Y_1, \dots, \nabla_X Y_k, \dots, Y_r, \omega_1, \dots, \omega_s) \\ &\quad - \sum_{k=1}^s T(Y_1, \dots, Y_r, \omega_1, \dots, \nabla_X \omega_k, \dots, \omega_s). \end{aligned}$$

↖ the original connection  $\nabla$  on  $TM$   
↖ defined above

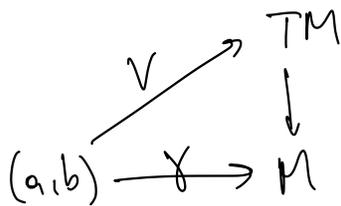
Note: Can think of  $\nabla T \in \Gamma((TM^*)^{\otimes r+1} \otimes TM^{\otimes s})$ , setting

$$(\nabla T)(X, Y_1, \dots, Y_r, \omega_1, \dots, \omega_s) = (\nabla_X T)(Y_1, \dots, Y_r, \omega_1, \dots, \omega_s). \text{ So } \nabla: \Gamma(E) \rightarrow \Gamma(TM^* \otimes E).$$

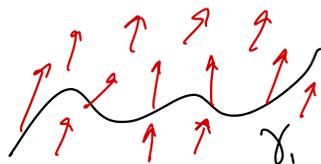
Def: Given a connection  $\nabla$  on  $E \rightarrow N$  and a smooth map  $f: M \rightarrow N$ , the pullback connection  $f^*\nabla$  on  $f^*E \rightarrow M$  is determined by

$$(f^*\nabla)_X (f^*s) = f^* (\nabla_{df(X)} s)$$

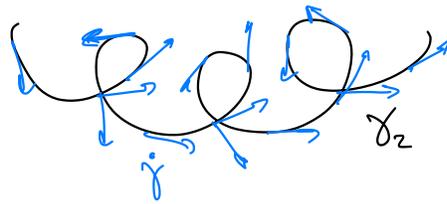
Important example: Let  $\gamma: (a,b) \rightarrow M$  be a smooth curve in  $M$ , and  $\gamma^*TM$  be the pullback bundle of  $TM$  via  $\gamma$ , i.e., the vector bundle over  $(a,b)$  whose sections  $V \in \Gamma(\gamma^*TM)$  are vector fields along  $\gamma$ :



$$V: (a,b) \rightarrow TM \quad \text{s.t.} \quad V(t) \in T_{\gamma(t)}M \quad \forall t \in (a,b).$$



e.g., restricting an ambient vector field  $X$  to  $\gamma$ , we obtain a vector field  $X(\gamma(t))$  along  $\gamma$ .



e.g.,  $\dot{\gamma} \in \Gamma(\gamma^*TM)$  is a vector field along  $\gamma$ , which may not be the restriction of an ambient vector field.

Given a connection  $\nabla$  on  $TM$ , use the pullback connection  $\gamma^*\nabla$  to define the covariant derivative of vector fields along  $\gamma$ :

$$V \in \Gamma(\gamma^*TM) \rightsquigarrow \frac{D}{dt} V := (\gamma^*\nabla)_{\frac{\partial}{\partial t}} V \in \Gamma(\gamma^*TM)$$

satisfying the following properties:

$$\bullet \frac{D}{dt} (c_1 V_1 + c_2 V_2) = c_1 \frac{D}{dt} V_1 + c_2 \frac{D}{dt} V_2, \quad \forall c_1, c_2 \in \mathbb{R}$$

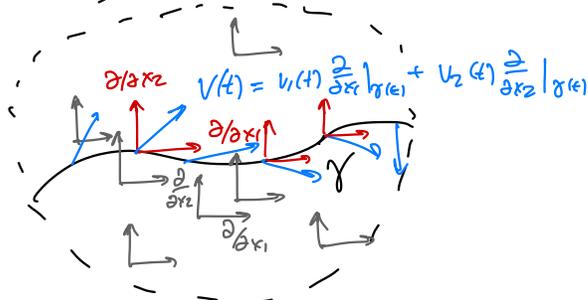
$$\bullet \frac{D}{dt} (f V) = f' \cdot V + f \cdot \frac{D}{dt} V, \quad \forall f \in C^\infty((a,b), \mathbb{R})$$

• If  $V$  is the restriction of an ambient vector field  $\tilde{V}$  on  $M$ , then  $\frac{D}{dt} V = \nabla_{\dot{\gamma}(t)} \tilde{V}$ .

Similarly for any other tensors along  $\gamma$ , i.e., sections of  $\gamma^*((TM^*)^{\otimes r} \otimes TM^{\otimes s})$

In coordinates, with  $\nabla_{\frac{\partial}{\partial x_i}} \frac{\partial}{\partial x_j} = \sum_k \Gamma_{ij}^k \frac{\partial}{\partial x_k}$ , we have that a vector field along  $\gamma$ , say  $V \in \Gamma(\gamma^*TM)$ , can be written as

$$V(t) = \sum_{j=1}^n v_j(t) \frac{\partial}{\partial x_j} \Big|_{\gamma(t)}$$



for some functions  $v_j: (a,b) \rightarrow \mathbb{R}$ , so

$$\begin{aligned} \frac{D}{dt} V(t) &= \sum_j v_j'(t) \frac{\partial}{\partial x_j} \Big|_{\gamma(t)} + v_j(t) \underbrace{\frac{D}{dt} \left( \frac{\partial}{\partial x_j} \Big|_{\gamma(t)} \right)}_{= \nabla_{\dot{\gamma}(t)} \frac{\partial}{\partial x_j} \text{ b/c } \frac{\partial}{\partial x_j} \Big|_{\gamma(t)} \text{ is the restriction of } \frac{\partial}{\partial x_j} \dots} \\ &= \sum_j v_j'(t) \frac{\partial}{\partial x_j} \Big|_{\gamma(t)} + \sum_{i,j,k} a_i'(t) \cdot v_j(t) \cdot \Gamma_{ij}^k(\gamma(t)) \frac{\partial}{\partial x_k} \Big|_{\gamma(t)} \end{aligned}$$

Write  $\dot{\gamma}(t)$  in coordinates;

$$\dot{\gamma}(t) = (a_1'(t), \dots, a_n'(t)) \text{ so}$$

$$\dot{\gamma}(t) = \sum_{i=1}^n a_i'(t) \frac{\partial}{\partial x_i} \Big|_{\gamma(t)}$$

and hence:

$$\nabla_{\dot{\gamma}(t)} \frac{\partial}{\partial x_j} = \sum_{i=1}^n a_i'(t) \nabla_{\frac{\partial}{\partial x_i}} \frac{\partial}{\partial x_j} = \sum_{i=1}^n a_i'(t) \Gamma_{ij}^k \frac{\partial}{\partial x_k}$$

$$= \sum_k \left( v_k' + \sum_{i,j} a_i' v_j \Gamma_{ij}^k \right) \frac{\partial}{\partial x_k} \Big|_{\gamma}$$

so these are the coefficients of  $\frac{D}{dt} V$  as a vector field along  $\gamma$ .

Def. A vector field  $V$  along  $\gamma$  is parallel if  $\frac{D}{dt} V \equiv 0$ . (Similarly for any tensor along  $\gamma$ .)

Prop. Given a (piecewise) smooth curve  $\gamma: (a,b) \rightarrow M$ , a connection on  $TM$ , and a vector  $v \in T_{\gamma(t_0)} M$ , for some  $t_0 \in (a,b)$ , there exists a unique parallel vector field  $V: (a,b) \rightarrow TM$  along  $\gamma$  s.t.  $V(t_0) = v$ . Moreover,  $V(t)$  depends smoothly on the initial data  $v$ .

Pf.: Existence, uniqueness and smooth dependence on initial data for the first-order linear ODE system

$$\begin{cases} v_k'(t) + \sum_{i,j} a_{ij}'(t) v_j(t) \Gamma_{ij}^k(\gamma(t)) = 0, & k=1, \dots, n \\ v_k(t_0) = v_k \end{cases}$$

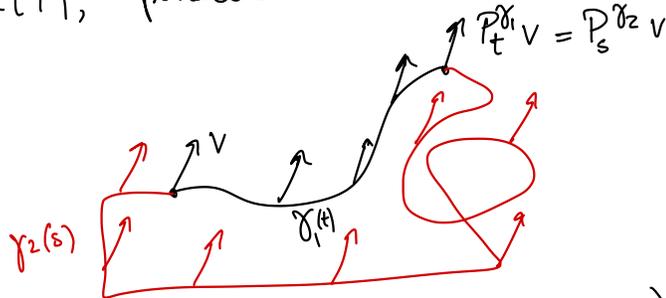
$\swarrow$  coeff. of  $\dot{\gamma}$   
 $\swarrow$  coeff. of initial data

Def.: The above  $V(t)$  is called the parallel transport (or translation) w.r.t.  $\nabla$  of  $v \in T_{\gamma(t_0)}M$  along  $\gamma$ . Sometimes write  $P_t^\gamma: T_{\gamma(t_0)}M \rightarrow T_{\gamma(t)}M$ ,  $P_t^\gamma v := V(t)$ .  
 $\swarrow$  Dependence on  $\nabla$  is not indicated in this notation...

Ex.: In  $\mathbb{R}^n$ , w.r.t.  $\nabla_X Y = X(Y)$ , parallel translation is "constant", and independent of the path:

$$P_t^\gamma v = v, \quad \forall v \in \mathbb{R}^n, \quad \forall \gamma.$$

$T_{\gamma(t)}\mathbb{R}^n \cong \mathbb{R}^n$



None of these features hold in general... (see e.g., HW 2.)

Def.: Let  $M$  be a smooth manifold and  $\nabla$  be a connection on  $TM$ . A curve  $\gamma: (a,b) \rightarrow M$  is a  $\nabla$ -geodesic in  $M$  if  $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$ .

In coordinates, if  $V = \dot{\gamma}(t) = \sum_i \underbrace{a_i'(t)}_{v_i(t)} \frac{\partial}{\partial x_i} \Big|_{\gamma(t)}$ , then by above computation:

$$\nabla_{\dot{\gamma}} \dot{\gamma} = \sum_k \left( a_k''(t) + \sum_{i,j} a_i'(t) a_j'(t) \Gamma_{ij}^k(\gamma(t)) \right) \frac{\partial}{\partial x_k} \Big|_{\gamma(t)}$$

so  $\gamma(t) = (a_1(t), \dots, a_n(t))$  is a  $\nabla$ -geodesic iff

$$a_k'' + \sum_{i,j} a_i' a_j' \Gamma_{ij}^k = 0, \quad k=1, \dots, n$$

"Geodesic equation"  
 System of second order quasilinear ODEs

(Intuition:  $\nabla_{\dot{\gamma}} \dot{\gamma}$  is the acceleration of  $\gamma$ ; so  $\nabla_{\dot{\gamma}} \dot{\gamma} = 0 \iff \gamma$  free fall)

Prop: Given  $p \in M$  and  $v \in T_p M$ , and a connection  $\nabla$  on  $TM$ , there exists a unique maximal  $\nabla$ -geodesic  $\gamma: I \rightarrow M$ , with  $t_0 \in I$  and  $\gamma(t_0) = p$ ,  $\dot{\gamma}(t_0) = v$ , which depends smoothly on  $(p, v) \in TM$ .

Pf: Again, existence, uniqueness, and smooth dependence for second-order ODEs.  $\square$

E.g., in  $\mathbb{R}^n$  with  $\nabla_X Y = X(Y)$ , we know that  $\Gamma_{ij}^k \equiv 0$ , so geodesics are straight lines.