

Convex Algebraic Geometry of Curvature Operators

Renato G. Bettiol



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- ▶ First Bianchi identity:

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Example

(M^n, g) (pseudo-)Riemannian manifold, $p \in M$,

$$\begin{aligned} R_p: \wedge^2 T_p M &\rightarrow \wedge^2 T_p M \\ R_p(X \wedge Y, Z \wedge W) &= g_p(R_p(X, Y)Z, W) \end{aligned}$$

Sectional curvature bounds

$$R \in \text{Sym}^2(\wedge^2 \mathbb{R}^n)$$

$$\text{Gr}_2(\mathbb{R}^n) = \{ \sigma \in \wedge^2 \mathbb{R}^n : \sigma \wedge \sigma = 0, \|\sigma\| = 1 \}$$

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Questions

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- A:** Convex cone in $\text{Sym}^2(\wedge^2 \mathbb{R}^n)$

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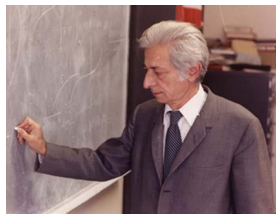
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Quantifier elimination

Theorem (Tarski-Seidenberg)



A. Tarski



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Theorem (Tarski-Seidenberg)

Any finite list of **quantified** polynomial equalities and inequalities over the real numbers

$(\forall, \exists) t_1, t_2, t_3, \dots$

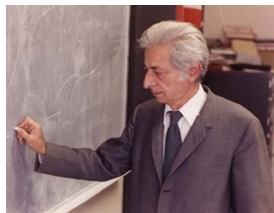
$$F_i(t_1, t_2, t_3, \dots; x_1, x_2, x_3, \dots) = 0$$

$$G_i(t_1, t_2, t_3, \dots; x_1, x_2, x_3, \dots) \neq 0$$

$$H_i(t_1, t_2, t_3, \dots; x_1, x_2, x_3, \dots) > 0$$



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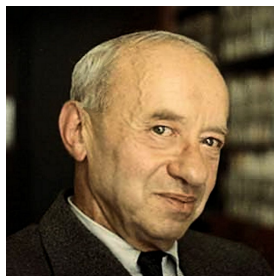
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is equivalent to a list of **quantifier-free** polynomial equalities and inequalities

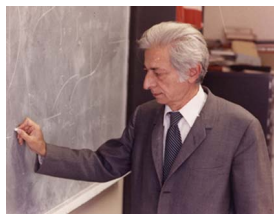
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Quantifier elimination in practice

Quantified:

$$\exists t \in \mathbb{R} \quad at^2 + bt + c = 0$$
$$a \neq 0$$

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$$b^2 - 4ac \geq 0$$

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Quantified:

$$\forall \sigma \in \text{Gr}_2(\mathbb{R}^n) \quad \sec_R(\sigma) \geq k$$

Quantifier-free:

$$F_i(R, k) \geq 0, \quad 1 \leq i \leq N$$

Questions

$$\mathfrak{R}_{\text{sec} \geq k}(n) := \{R \in \text{Sym}^2(\wedge^2 \mathbb{R}^n) : \text{sec}_R \geq k\}$$

- ▶ **Q:** What is the structure of the set $\mathfrak{R}_{\text{sec} \geq k}(n)$?
A: Convex cone in $\text{Sym}^2(\wedge^2 \mathbb{R}^n)$; semialgebraic subset, i.e., described by finitely many polynomial inequalities on R
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A: Maybe...?

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... need to do more Math!

Crash course in Convex Algebraic Geometry

Definition (Spectrahedron)

$$S = \left\{ x \in \mathbb{R}^d : A + \sum_{i=1}^d x_i B_i \succeq 0 \right\}, \quad \text{where } A, B_i \in \text{Sym}^2(\mathbb{R}^m)$$

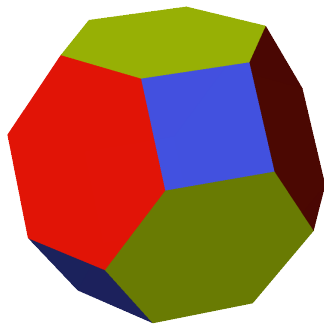
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Polyhedron: A, B_i *diagonal* matrices



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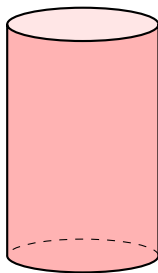
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Cylinder:

$$\begin{pmatrix} 1+x & y & 0 & 0 \\ y & 1-x & 0 & 0 \\ 0 & 0 & 1+z & 0 \\ 0 & 0 & 0 & 1-z \end{pmatrix} \succeq 0$$



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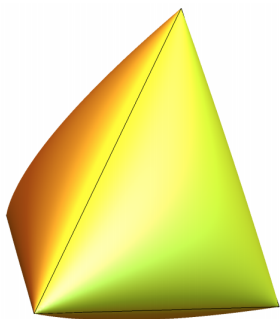
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Definition (Spectrahedral shadow)

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- ▶ **Linear programming:** optimize linear functionals on **polyhedra** (solvable in polynomial time!)
- ▶ **Semidefinite programming:** optimize linear functionals on **spectrahedra** (also solvable in polynomial time!)

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*A convex semialgebraic cone $C = \overline{\text{cone}(S)}$ is a spectrahedral shadow **if and only if** $\exists \phi: X \rightarrow \mathbb{A}^n$ morphism of affine \mathbb{R} -varieties and a finite-dimensional subspace $U \subset \mathbb{R}[X]$ s.t.:*

- ▶ $S \subset \phi(X(\mathbb{R}))$,
- ▶ $\forall f \in \mathbb{R}[x_1, \dots, x_n]$ homogeneous linear polynomial, $f \geq 0$ on S , $\phi^*(f) \in \mathbb{R}[X]$ is a sum of squares of elements in U .



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Theorem (B.-Kummer-Mendes, 2018)

- ▶ $\mathfrak{R}_{\text{sec} \geq k}(2)$ and $\mathfrak{R}_{\text{sec} \geq k}(3)$ are spectrahedra;
- ▶ $\mathfrak{R}_{\text{sec} \geq k}(4)$ is a spectrahedral shadow, and not a spectrahedron;
- ▶ $\mathfrak{R}_{\text{sec} \geq k}(n)$, $n \geq 5$ is not a spectrahedral shadow.

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Upshot: $\text{sec} \geq k$ is algebraically much harder to verify if $n \geq 5$

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$$R \in \mathfrak{R}_{\text{sec} \geq k}(4) \iff \langle (R - k \text{Id})(\sigma), \sigma \rangle \geq 0, \forall \sigma \neq 0 \text{ s.t. } \langle * \sigma, \sigma \rangle = 0$$

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Corollary

$\mathfrak{R}_{\text{sec} \geq k}(4)$ is the closure of a union of connected components of the semialgebraic set $\{R : \text{Disc}_x(\det(R - k \text{Id} + x*)) \neq 0\}$.

Towards a parametrization of $\mathfrak{R}_{\text{sec} \geq k}(4)$

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$$\text{Disc}_x(\det(R - k \text{Id} + x*)) = \sum_a p_a(R)^2 - \sum_b q_b(R)^2,$$

where $p_a(R)$ and $q_b(R)$ are explicit homogeneous polynomials of degree 15 in R .

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Some hope: outer approximation by Weitzenböck spectrahedra

Theorem (B.-Mendes, 2017)

$$\mathfrak{R}_{\text{sec} \geq k}(n) = \bigcap_{p \geq 2} \{R \in \text{Sym}^2(\wedge^2 \mathbb{R}^n) : \mathcal{K}(R - k \text{Id}, \text{Sym}_0^p \mathbb{R}^n) \succeq 0\}$$

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Thank you for your attention!