

BIFURCATION THEORY IN GEOMETRIC ANALYSIS

OUTLINE

1. BIFURCATION

2. CONSTANT MEAN CURVATURE \leftarrow

ISOPERIMETRIC PROBLEM

3. YAMABE PROBLEM \leftarrow

YAMABE INVARIANT
* EINSTEIN METRICS

1. BIFURCATION

- EULER: BUCKLING OF STRUCTURES (FAILURE UNDER COMPRESSIVE STRESS)
- TURBULENCE/VORTICES IN FLUID DYNAMICS
- OSCILLATIONS IN ELECTRIC CIRCUITS
- POINCARÉ (1885): "TOPOLOGICAL CHANGE IN THE STRUCTURE OF A DYNAMICAL SYSTEM WHEN A PARAMETER CROSSES A BIFURCATION VALUE."



$f_t: X \rightarrow \mathbb{R}$ 1-PARAMETER FAMILY OF FUNCTIONALS

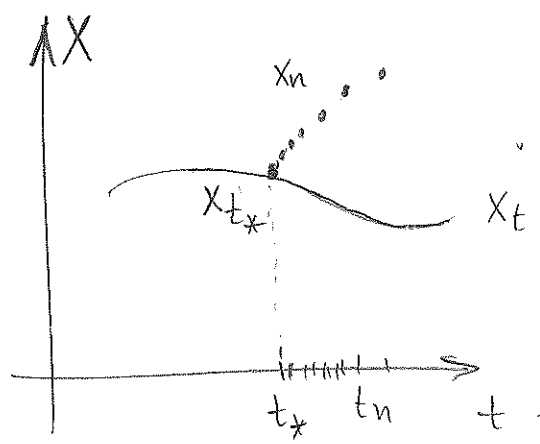
$x_t \in X$ "TRIVIAL" BRANCH OF SOLUTIONS TO $\begin{cases} df_t(x_t) = 0 \\ \text{EULER-LAGRANGE EQN} \end{cases}$

\leftarrow "GROUND STATE"

DEF: x_t SUFFERS BIFURCATION AT t_* IF $\exists t_n \rightarrow t_*$, $\exists x_n \rightarrow x_{t_*}$ SUCH THAT

- $df_{t_n}(x_n) = 0$
- $x_n \neq x_{t_n}$

I.E., THE IMPLICIT FUNCTION THEOREM FAILS AT x_{t_*} .



NECESSARY CONDITION: x_{t_*} IS A DEGENERATE CRITICAL POINT
 (Hess $f_{t_*}(x_{t_*})$ NOT INVERTIBLE)

MORSE INDEX: $i_{\text{Morse}}(x) = \# \{ \text{NEGATIVE EIGENVALUES OF Hess } f_t(x) \}$

THM (KRASNOSEL'SKII), IF $\exists a < b$ S.T. $i_{\text{Morse}}(x_a) \neq i_{\text{Morse}}(x_b)$, THEN
 $\exists t_* \in (a, b)$ BIFURCATION INSTANT.

EXAMPLE (TOY MODEL):

$$f_t: \mathbb{R}^2 \rightarrow \mathbb{R}$$

$$f_t(x, y) = \frac{1}{2} (x^2 + y^4 - ty^2)$$

$$df_t(x, y) = (x, 2y^3 - ty)$$

TRIVIAL BRANCH: $(x_t, y_t) = (0, 0)$,

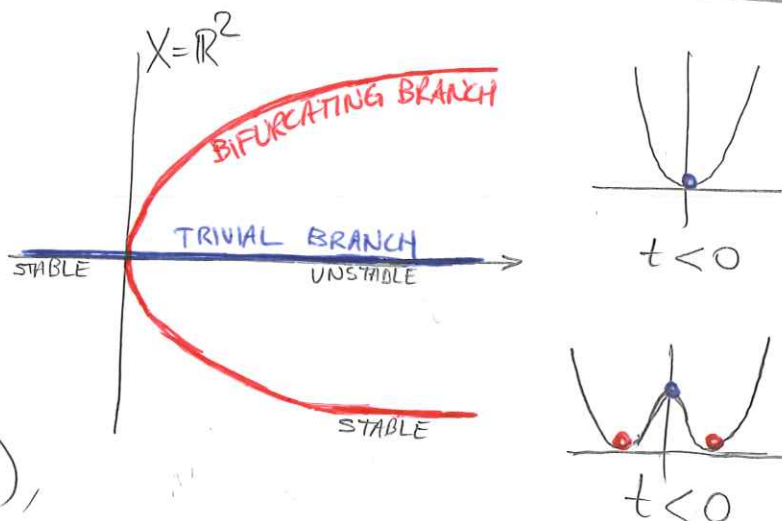
$$i_{\text{Morse}}(0, 0) = \begin{cases} 0, & t < 0 \\ 1, & t > 0 \end{cases}$$

$$\text{Hess } f = \begin{bmatrix} 1 & 0 \\ 0 & -t \end{bmatrix}$$



BIFURCATION AT $t_* = 0$.

$$(x_t, y_t) = (0, \pm \sqrt{t/2})$$



2. CONSTANT MEAN CURVATURE

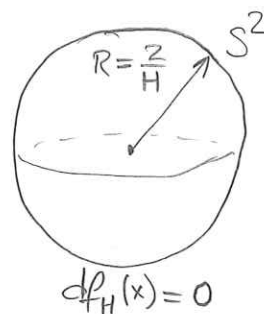
MOTIVATION (ISOPERIMETRIC PROBLEM): WHAT SURFACE $\Sigma^n \subset M^{n+1}$ HAS LEAST AREA AMONG THOSE ENCLOSING A FIXED VOLUME?

$$X = \text{Emb}(\Sigma^n, M^{n+1}) \quad \text{E.G., } \text{Emb}(S^2, \mathbb{R}^3)$$

$$f_H: X \rightarrow \mathbb{R}$$

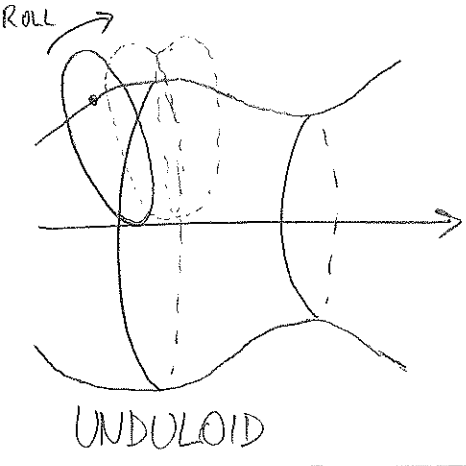
$$f_H(x) = \text{Area}(x) + H \cdot \text{Vol}(x)$$

LAGRANGE MULTIPLIER



$df_H(x) = 0 \iff x: \Sigma \hookrightarrow M$ HAS CONSTANT MEAN CURVATURE (CMC)

DELAUNAY (1841): CMC SURFACES OF REVOLUTION IN \mathbb{R}^3



Q: CONSTRUCT CMC SURFACES IN OTHER SPACES?

(SHED LIGHT ON ISOPERIMETRIC PROBLEM)

HARD (GLOBAL) PROBLEM!
OPEN IN MOST CASES, E.G. CP^n

THM (B. - PICCIONE, 2016), THERE ARE INFINITELY MANY BRANCHES OF CMC EMBEDDINGS $\Sigma^{n-1} \hookrightarrow M^n$ THAT BIFURCATE FROM PRINCIPAL ORBITS Σ_t ON COHOMOGENEITY ONE MANIFOLDS.

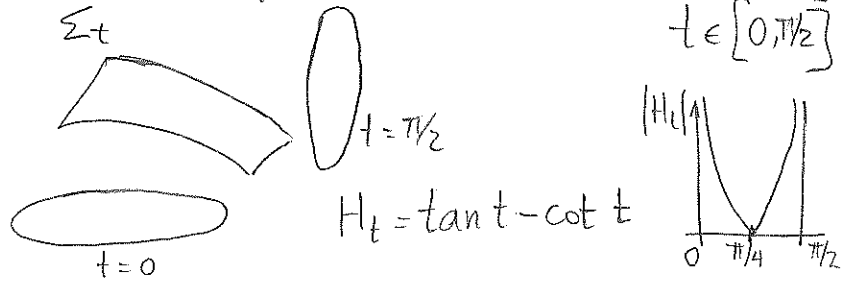
"TRIVIAL FAMILY"

"DELAUNAY-TYPE"

M^n	Σ^{n-1}
S^n	$S^1 \times S^{n-2}$
CP^n	$S^{2n-1}, T_1 \mathbb{R}P^n$
HP^n	S^{4n-1}
KERVAIRE SPHERE M^{2n-1}	$S^1 \times T_1 S^{n-1}$

ISOPERIMETRIC PROBLEM IS OPEN HERE!

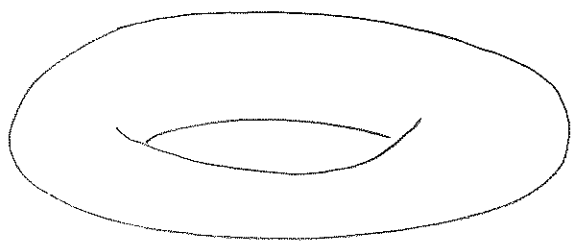
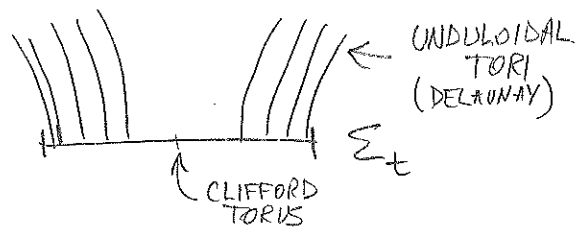
EXAMPLE: $S^3 = \{(z,w) \in \mathbb{C}^2 : |z|^2 + |w|^2 = 1\}$
 $\Sigma_t = \{(z,w) \in S^3 : |z| = \cos t, |w| = \sin t\}$
 $t \in [0, \pi/2]$



$i_{\text{Morse}}(\Sigma_t) = \# \left\{ \text{EIGENVALUES OF } \Delta_{\Sigma_t} \text{ THAT ARE } < \text{Ric}(\vec{n}) + \underbrace{|A_{\Sigma_t}|^2}_{\rightarrow \infty} \right\} \nearrow +\infty$
(some stay bounded)

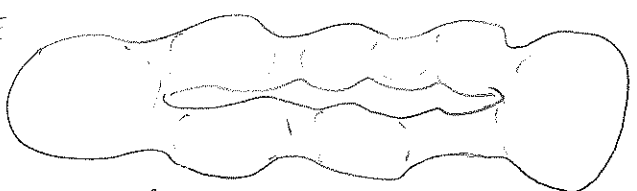
⇒ SEQUENCE OF BIFURCATIONS

⇒ NEW CMC SURFACES. (PARTIAL SYMMETRY BREAK) □



$\text{Iso}(\Sigma_t) = S^1 \times S^1$

BIFURCATE →

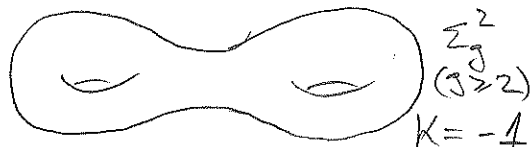
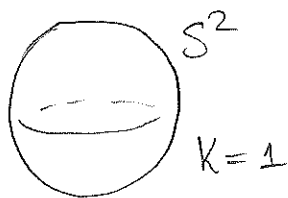


$\text{Iso}(\Sigma_{\text{DeLaunay}}) = S^1 \times \mathbb{Z}_m$

∩

3. YAMABE PROBLEM

UNIFORMIZATION THEOREM: (M^2, g_0) SURFACE $\xrightarrow{\text{CONF. CHANGE}}$ CONSTANT CURVATURE $K = -1, 0, 1$



HIGHER DIMENSIONS:

THM (YAMABE, TRUDINGER, AUBIN, SCHOEN). (M^n, g_0) CLOSED MANIFOLD ADMITS A CONFORMAL METRIC WITH CONSTANT SCALAR CURVATURE.

HARD PDE PROBLEM (CRITICAL SOBOLEV EXPONENT)

TOOK 24 YEARS TO FIX YAMABE'S MISTAKE.

$X = [g_0]_1 = \{ \text{METRICS } g \text{ CONFORMAL TO } g_0 \text{ WITH } \text{Vol}(M, g) = 1 \}$

$f: X \rightarrow \mathbb{R}$ HILBERT-EINSTEIN FUNCTIONAL

$f(g) = \int_M \text{scal}_g \text{vol}_g$

PROOF OF THM ABOVE!
FIND $\min_{g \in X} f(g)$

$df(g) = 0 \iff g$ HAS CONSTANT SCALAR CURVATURE

Q: IS IT UNIQUE?

THM. THERE ARE INFINITELY MANY BRANCHES OF SOLUTIONS TO THE YAMABE PROBLEM THAT BIFURCATE FROM "TRIVIAL" METRICS g_t ON:

(B. - PICCIONE, 2013). S^{4n+3} , $g_t =$ (HOMOGENEOUS) BERGER METRICS

(B. - PICCIONE, 2013). G/H , $g_t =$ (HOMOGENEOUS) BUNDLE METRICS
 $H < K < G \rightsquigarrow K/H \rightarrow G/H \rightarrow G/K$

(B. - PICCIONE - SANTORO, 2016) $S^n \setminus S^1$, $g_t = g_{\text{round}} \oplus g_{\text{hyperbolic}}$

KEY ELEMENT IN PROOFS: JUMP OF MORSE INDEX + ...

(B. - PICCIONE - SIRE, IN PREP.). BIFURCATION FOR CONSTANT Q-CURVATURE PROBLEM (4th ORDER PDE)

"SINGULAR YAMABE PROBLEM" (ALSO ON $S^n \setminus S^k$...)

"CHEAT SHEET"

CONSTANT MEAN CURVATURE:

$u: \Omega \subset \mathbb{R}^n \rightarrow \mathbb{R}$, $\text{graph}(u) \subset \mathbb{R}^{n+1}$ HAS $\text{CMC} = H$

$$\Leftrightarrow \text{div} \left(\frac{\nabla u}{\sqrt{1 + |\nabla u|^2}} \right) = H$$

$$d^2 f(x)(\psi, \psi) = \int_{\Sigma} \underbrace{(\Delta_{\Sigma} \psi - (\text{Ric}(\vec{n}) + |A_{\Sigma}|^2) \psi)}_{\mathcal{J}_{\Sigma} \psi} \psi \text{vol}_{\Sigma}$$

YAMABE PROBLEM:

$(M^n, g) \tilde{g} = \varphi^{\frac{4}{n-2}} g$ HAS $\text{scal}_{\tilde{g}} = c \Leftrightarrow$

$$-4 \frac{n-1}{n-2} \Delta_g \varphi + \text{scal}_g \cdot \varphi = c \cdot \varphi^{\frac{n+2}{n-2}}$$

$$f(\varphi) =$$

$$\frac{\int_M 4 \frac{n-1}{n-2} |\nabla \varphi|^2 + \text{scal}_g \varphi^2}{\left(\int_M \varphi^{\frac{2n}{n-2}} \right)^{\frac{n-2}{n}}}$$

$$d^2 f(\tilde{g})(\psi, \psi) = \frac{(n-1)(n-2)}{2} \int_M \left(\Delta_{\tilde{g}} \psi - \frac{\text{scal}_{\tilde{g}}}{n-1} \psi \right) \psi \cdot \text{vol}_{\tilde{g}}$$

KERVAIRE SPHERE:

$$M_d^{2n-1} \subset \mathbb{C}^{n+1}$$

$$\begin{cases} z_0 + z_1 + \dots + z_n = 0 \\ |z_0|^2 + |z_1|^2 + \dots + |z_n|^2 = 1 \end{cases}$$

(COHOM. ONE ACTION)
 $G = \text{SO}(2) \times \text{SO}(n)$

n, d ODD $\Rightarrow M_d^{2n-1}$ HOMEOMORPHIC TO S^{2n-1}

$2n-1 \equiv 1 \pmod{8} \Rightarrow M_d^{2n-1}$ NOT DIFFEOMORPHIC TO S^{2n-1} (EXOTIC)