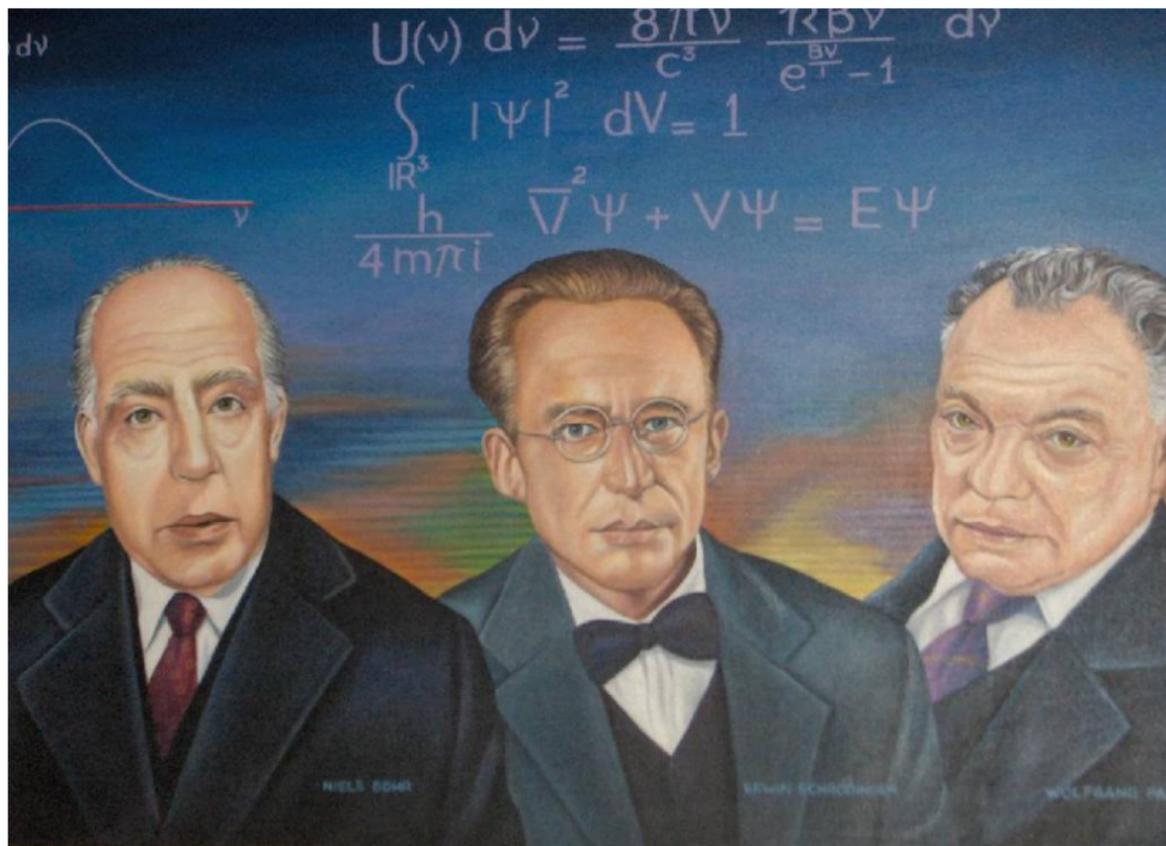


# Quantum Mechanics

Luis A. Anchordoqui

Department of Physics and Astronomy  
Lehman College, City University of New York

Lesson X  
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- Until now we have focused on quantum mechanics of particles which are “featureless” ➡ carrying no internal degrees of freedom
- A relativistic formulation of quantum mechanics due to Dirac (not covered in this course) reveals that quantum particles can exhibit an intrinsic angular momentum component known as spin
- However ➡ the discovery of quantum mechanical spin predates its theoretical understanding and appeared as a result of an ingenious experiment due to Stern and Gerlach that we will discuss in this lesson

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- 1 Particle spin and Stern-Gerlach experiment
  - $\hat{L}$ ,  $\hat{L}^2$ ,  $\hat{L}_z$ , and all that...
  - Magnetic moment and the Zeeman effect
  - Stern-Gerlach and the discovery of spin
  - Spinors, spin operators, and Pauli matrices
  - Spin precession in a magnetic field

## We have seen that...

- In addition to quantized energy (specified by principle quantum number  $n$ )  $\Rightarrow$  solutions subject to physical boundary conditions also have quantized orbital angular momentum  $L$
- Magnitude of  $L$  is required to obey  $\Rightarrow L Y_l^m = \sqrt{l(l+1)}\hbar Y_l^m$  with  $(l = 0, 1, 2, \dots, n-1)$   $\Rightarrow l \equiv$  orbital quantum number
- Bohr model of H atom also has quantized angular momentum  $L = n\hbar$   $\Rightarrow$  but lowest energy state  $n = 1$  would have  $L = \hbar$
- Schrödinger equation shows that lowest state has  $L = 0$
- This lowest energy-state wave function is a perfectly symmetric sphere
- For higher energy states  $\Rightarrow$  vector  $L$  has in addition only certain allowed directions such that  $z$ -component is quantized as  $L_z Y_l^m = m_l \hbar Y_l^m \Rightarrow (m_l = 0, \pm 1, \pm 2, \dots, \pm l)$

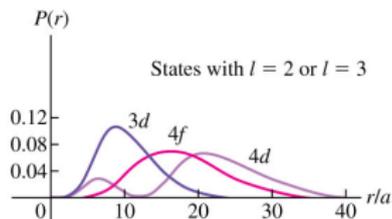
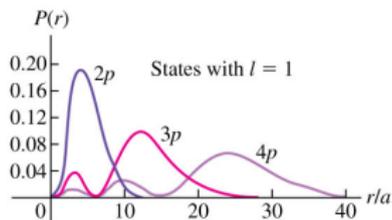
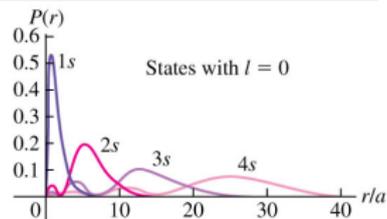
## The hydrogen atom: Degeneracy

- States with different quantum numbers  $l$  and  $n$  are often referred to with letters as follows:

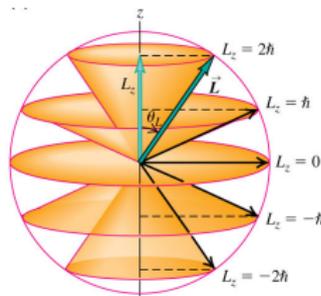
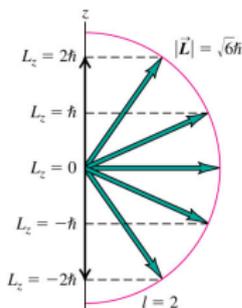
$l$ value	letter
0	$s$
1	$p$
2	$d$
3	$f$
4	$g$
5	$h$

$n$ value	shell
1	$K$
2	$L$
3	$M$
4	$N$

- Hydrogen atom states with the same value of  $n$  but different values of  $l$  and  $m_l$  are degenerate (have the same energy).
- Figure at right shows radial probability distribution for states with  $l = 0, 1, 2, 3$  and different values of  $n = 1, 2, 3, 4$ .



# Quantum states of hydrogen atom



- For each value of the quantum number  $n$  there are  $n$  possible values of the quantum number  $l$
- For each value of  $l$  there are  $2l + 1$  values of the quantum number  $m_l$

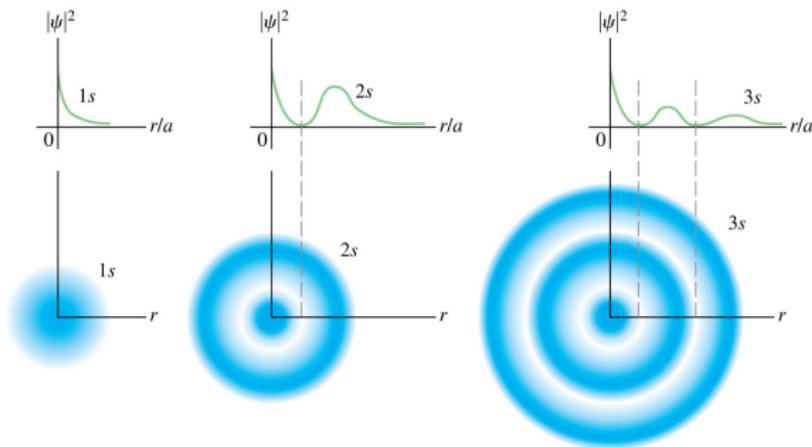
$n$	$l$	$m_l$	Spectroscopic Notation	Shell
1	0	0	1s	K
2	0	0	2s	L
2	1	-1, 0, 1	2p	
3	0	0	3s	M
3	1	-1, 0, 1	3p	
3	2	-2, -1, 0, 1, 2	3d	
4	0	0	4s	N
and so on				

## Example

- How many distinct states of the hydrogen atom  $(n, l, m_l)$  are there for the  $n = 3$  state?
- What are their energies?
- The  $n = 3$  state has possible  $l$  values 0, 1, 2
- Each  $l$  has  $m_l$  possible values  $\Rightarrow (0), (-1, 0, 1), (-2, -1, 0, 1, 2)$
- The total number of states is then  $1 + 3 + 5 = 9$
- There is another quantum number  $s = \pm \frac{1}{2}$  for electron spin so there are 18 possible states for  $n = 3$  (more on this later)
- Each of these states have same  $n \Rightarrow$  so they all have same energy

## The hydrogen atom: Probability distributions I

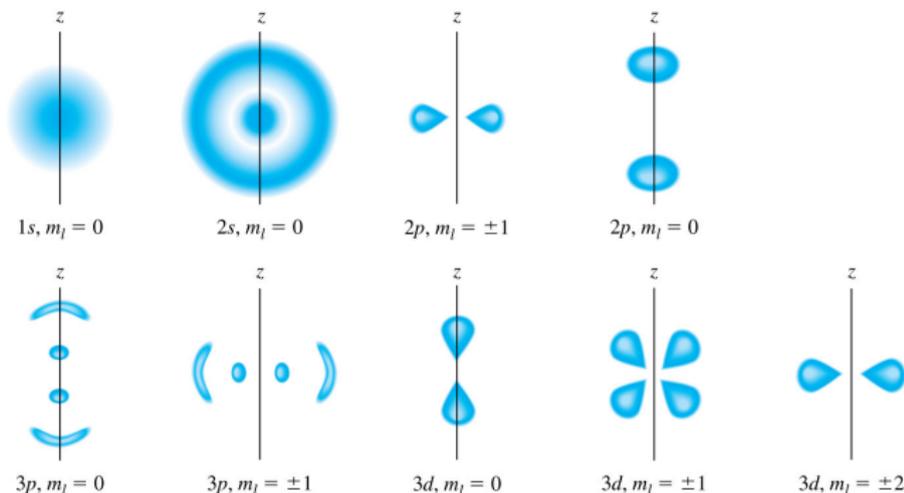
- States of the hydrogen atom with  $l = 0$  (zero orbital angular momentum) have spherically symmetric wave functions that depend on  $r$  but not on  $\theta$  or  $\phi$ . These are called  $s$  states.
- electron probability distributions for three of these states.



## The hydrogen atom: Probability distributions II

- States of the hydrogen atom with nonzero orbital angular momentum, such as  $p$  states ( $l = 1$ ) and  $d$  states ( $l = 2$ ), have wave functions that are *not* spherically symmetric.

electron probability distributions for several of these states, as well as for two spherically symmetric  $s$  states.



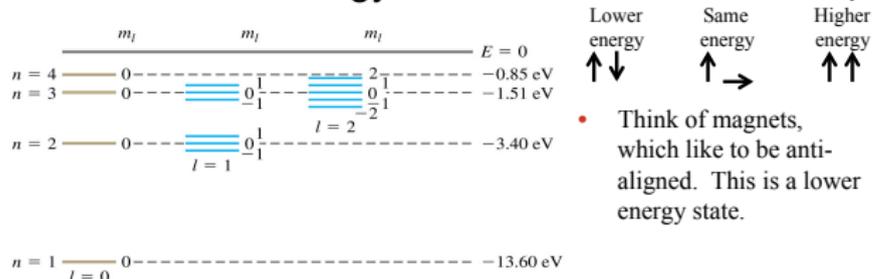
# Ground-state electron configurations

Element	Symbol	Atomic Number (Z)	Electron Configuration
Hydrogen	H	1	1s
Helium	He	2	1s <sup>2</sup>
Lithium	Li	3	1s <sup>2</sup> 2s
Beryllium	Be	4	1s <sup>2</sup> 2s <sup>2</sup>
Boron	B	5	1s <sup>2</sup> 2s <sup>2</sup> 2p
Carbon	C	6	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>2</sup>
Nitrogen	N	7	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>3</sup>
Oxygen	O	8	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>4</sup>
Fluorine	F	9	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>5</sup>
Neon	Ne	10	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup>
Sodium	Na	11	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s
Magnesium	Mg	12	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup>
Aluminum	Al	13	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p
Silicon	Si	14	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>2</sup>
Phosphorus	P	15	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>3</sup>
Sulfur	S	16	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>4</sup>
Chlorine	Cl	17	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>5</sup>
Argon	Ar	18	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup>
Potassium	K	19	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s
Calcium	Ca	20	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup>
Scandium	Sc	21	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d
Titanium	Ti	22	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>2</sup>
Vanadium	V	23	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>3</sup>
Chromium	Cr	24	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s3d <sup>5</sup>
Manganese	Mn	25	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>5</sup>
Iron	Fe	26	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>6</sup>
Cobalt	Co	27	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>7</sup>
Nickel	Ni	28	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>8</sup>
Copper	Cu	29	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s3d <sup>10</sup>
Zinc	Zn	30	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>6</sup> 3s <sup>2</sup> 3p <sup>6</sup> 4s <sup>2</sup> 3d <sup>10</sup>

- $e$ -states with nonzero orbital angular momentum ( $l = 1, 2, 3, \dots$ ) carry magnetic dipole moment due to electron motion
- These states are affected if atom is placed in magnetic field  $\vec{B}$

$$\mu = -\frac{e}{2m_e}\hat{L} \equiv -\mu_B \hat{L}/\hbar, \quad H_{\text{int}} = -\mu \cdot \mathbf{B}$$

- Zeeman effect  $\Rightarrow$  shift in energy of states with nonzero  $m_l$

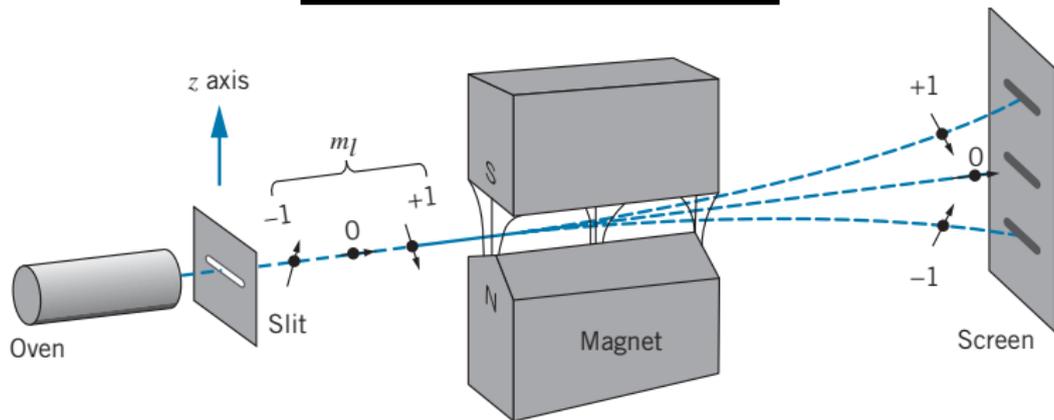


- When beam of atoms are passed through inhomogeneous (but aligned) magnetic field where they experience force

$$\mathbf{F} = \nabla(\mu \cdot \mathbf{B}) \simeq \mu_z (\partial_z B_z) \hat{e}_z$$

we expect splitting into **odd integer** ( $2l + 1$ ) number of beams

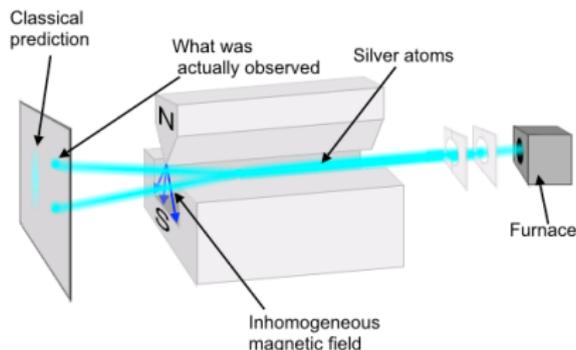
## Stern-Gerlach apparatus



- Beam of atoms passes through a region where there is nonuniform  $\vec{B}$ -field
- Atoms with their magnetic dipole moments in opposite directions experience forces in opposite directions

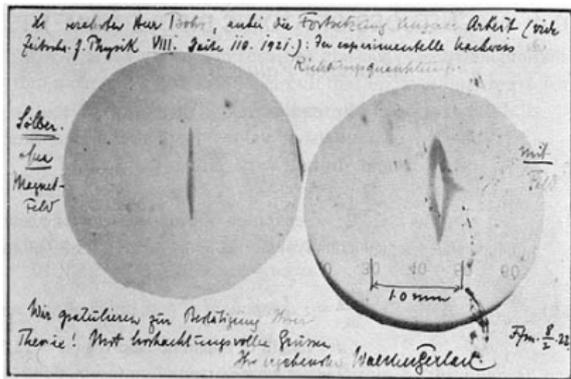
## Stern-Gerlach experiment

- In experiment, a beam of silver atoms were passed through inhomogeneous magnetic field and collected on photographic plate.
- Since silver involves spherically symmetric charge distribution plus one 5s electron, total angular momentum of ground state has  $L = 0$ .
- If outer electron in 5p state,  $L = 1$  and the beam should split in 3.

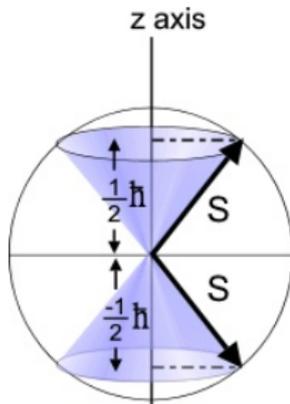


# Stern-Gerlach experiment

- However, experiment showed a bifurcation of beam!

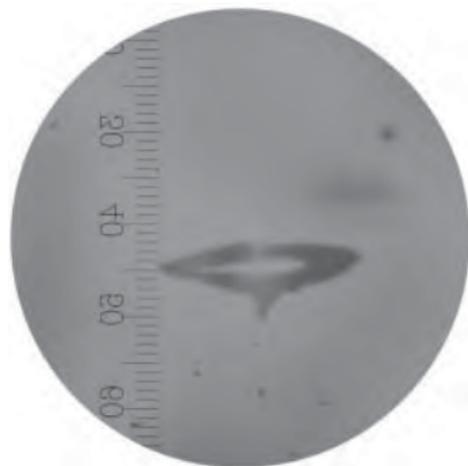


Gerlach's postcard, dated 8th February 1922, to Niels Bohr



- Since orbital angular momentum can take only integer values, this observation suggests electron possesses an additional intrinsic  $s = \frac{1}{2}$  component known as **spin**.

## Results of Stern-Gerlach experiment



- Image of slit with field turned off (left)
- With the field on  $\rightarrow$  two images of slit appear
- Small divisions in the scale represent 0.05 mm

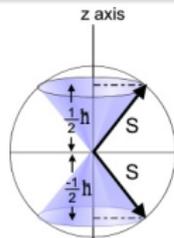
## Quantum mechanical spin

- Later, it was understood that elementary quantum particles can be divided into two classes, **fermions** and **bosons**.
- Fermions (e.g. electron, proton, neutron) possess half-integer spin.
- Bosons (e.g. mesons, photon) possess integral spin (including zero).

# Spinors

- Space of angular momentum states for spin  $s = 1/2$  is two-dimensional:

$$|s = 1/2, m_s = 1/2\rangle = |\uparrow\rangle, \quad |1/2, -1/2\rangle = |\downarrow\rangle$$



- General **spinor** state of spin can be written as linear combination,

$$\alpha|\uparrow\rangle + \beta|\downarrow\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \quad |\alpha|^2 + |\beta|^2 = 1$$

- Operators acting on spinors are  $2 \times 2$  matrices. From definition of spinor, z-component of spin represented as,

$$S_z = \frac{1}{2}\hbar\sigma_z, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

i.e.  $S_z$  has eigenvalues  $\pm\hbar/2$  corresponding to  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$ .

## Uhlenbeck-Goudsmit-Pauli hypothesis

- Magnetic moment  $\vec{\mu}_S$  connected via intrinsic angular momentum

$$\vec{\mu}_S = -\frac{e}{2m_e} g_e \vec{S} \quad (1)$$

- For intrinsic spin  $\vec{S}$  only matrix representation is possible
  - Spin up  $|\uparrow\rangle$  and down  $|\downarrow\rangle$  are defined by

$$\text{spin up} \Leftrightarrow \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{spin down} \Leftrightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (2)$$

- $\hat{S}_z$  spin operator is defined by

$$\hat{S}_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (3)$$

- $\hat{S}_z$  acts on up and down states by ordinary matrix multiplication

$$\hat{S}_z|\uparrow\rangle = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{\hbar}{2}|\uparrow\rangle \quad (4)$$

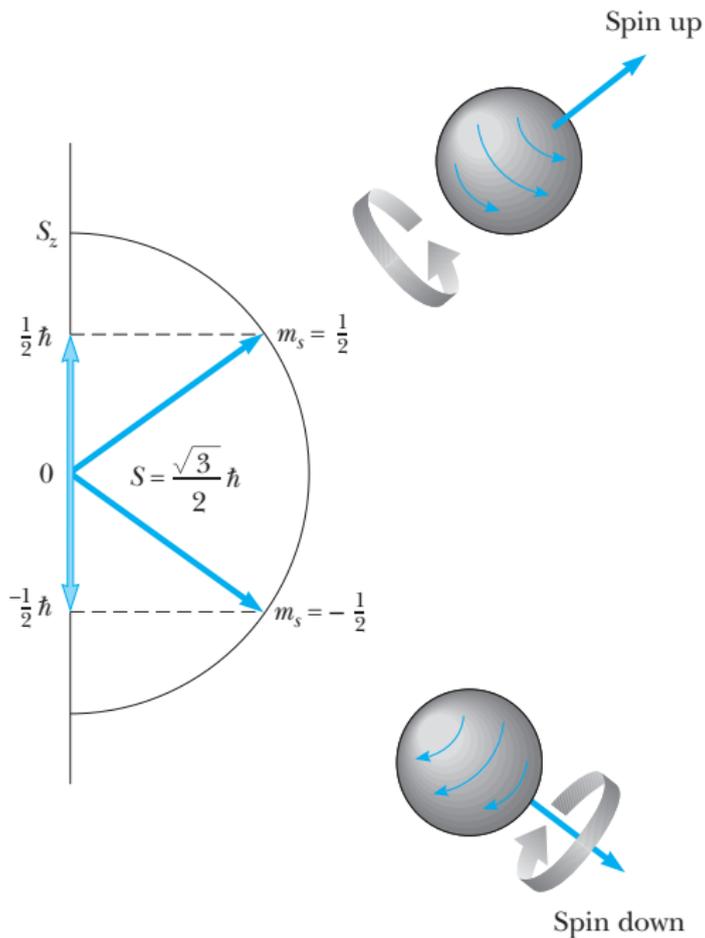
$$\hat{S}_z|\downarrow\rangle = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -\frac{\hbar}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -\frac{\hbar}{2}|\downarrow\rangle \quad (5)$$

- As for orbital angular momentum  $[\hat{S}_i, \hat{S}_j] = i\hbar \epsilon_{ijk} \hat{S}_k$

$$\hat{S}_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad \hat{S}_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad (6)$$

- Only 4 hermitian 2-by-2 matrices  $\Rightarrow$  identity + Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (7)$$



## Pauli matrices

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Pauli spin matrices are Hermitian, traceless, and obey defining relations (cf. general angular momentum operators):

$$\sigma_i^2 = \mathbb{I}, \quad [\sigma_i, \sigma_j] = 2i\epsilon_{ijk}\sigma_k$$

- Total spin

$$\mathbf{S}^2 = \frac{1}{4}\hbar^2\boldsymbol{\sigma}^2 = \frac{1}{4}\hbar^2 \sum_i \sigma_i^2 = \frac{3}{4}\hbar^2\mathbb{I} = \frac{1}{2}\left(\frac{1}{2} + 1\right)\hbar^2\mathbb{I}$$

i.e.  $s(s+1)\hbar^2$ , as expected for spin  $s = 1/2$ .

## Spatial degrees of freedom and spin

- Spin represents additional internal degree of freedom, independent of spatial degrees of freedom, i.e.  $[\hat{\mathbf{S}}, \mathbf{x}] = [\hat{\mathbf{S}}, \hat{\mathbf{p}}] = [\hat{\mathbf{S}}, \hat{\mathbf{L}}] = 0$ .
- Total state is constructed from **direct product**,

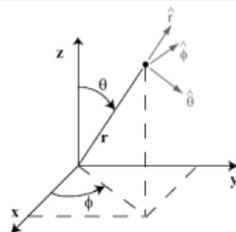
$$|\psi\rangle = \int d^3x (\psi_+(\mathbf{x})|\mathbf{x}\rangle \otimes |\uparrow\rangle + \psi_-(\mathbf{x})|\mathbf{x}\rangle \otimes |\downarrow\rangle) \equiv \begin{pmatrix} |\psi_+\rangle \\ |\psi_-\rangle \end{pmatrix}$$

- In a weak magnetic field, the electron Hamiltonian can then be written as

$$\hat{H} = \frac{\hat{\mathbf{p}}^2}{2m} + V(r) + \mu_B (\hat{\mathbf{L}}/\hbar + \boldsymbol{\sigma}) \cdot \mathbf{B}$$

## Relating spinor to spin direction

For a general state  $\alpha|\uparrow\rangle + \beta|\downarrow\rangle$ , how do  $\alpha$ ,  $\beta$  relate to orientation of spin?



- Let us assume that spin is pointing along the unit vector  $\hat{\mathbf{n}} = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$ , i.e. in direction  $(\theta, \varphi)$ .
- Spin must be eigenstate of  $\hat{\mathbf{n}} \cdot \boldsymbol{\sigma}$  with eigenvalue unity, i.e.

$$\begin{pmatrix} n_z & n_x - in_y \\ n_x + in_y & -n_z \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

- With normalization,  $|\alpha|^2 + |\beta|^2 = 1$ , (up to arbitrary phase),

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} e^{-i\varphi/2} \cos(\theta/2) \\ e^{i\varphi/2} \sin(\theta/2) \end{pmatrix}$$

## Spin symmetry

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} e^{-i\varphi/2} \cos(\theta/2) \\ e^{i\varphi/2} \sin(\theta/2) \end{pmatrix}$$

- Note that under  $2\pi$  rotation,

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \mapsto - \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

- In order to make a transformation that returns spin to starting point, necessary to make two complete revolutions, (cf. spin 1 which requires  $2\pi$  and spin 2 which requires only  $\pi!$ ).

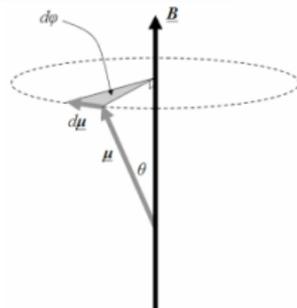
## (Classical) spin precession in a magnetic field

Consider magnetized object spinning about centre of mass, with angular momentum  $\mathbf{L}$  and magnetic moment  $\boldsymbol{\mu} = \gamma\mathbf{L}$  with  $\gamma$  gyromagnetic ratio.

- A magnetic field  $\mathbf{B}$  will then impose a torque

$$\mathbf{T} = \boldsymbol{\mu} \times \mathbf{B} = \gamma\mathbf{L} \times \mathbf{B} = \partial_t \mathbf{L}$$

- With  $\mathbf{B} = B\hat{\mathbf{e}}_z$ , and  $L_+ = L_x + iL_y$ ,  $\partial_t L_+ = -i\gamma BL_+$ , with the solution  $L_+ = L_+^0 e^{-i\gamma Bt}$  while  $\partial_t L_z = 0$ .



- Angular momentum vector  $\mathbf{L}$  precesses about magnetic field direction with angular velocity  $\boldsymbol{\omega}_0 = -\gamma\mathbf{B}$  (independent of angle).
- We will now show that precisely the same result appears in the study of the quantum mechanics of an electron spin in a magnetic field.

## (Quantum) spin precession in a magnetic field

- Last lecture, we saw that the electron had a magnetic moment,  $\boldsymbol{\mu}_{\text{orbit}} = -\frac{e}{2m_e}\hat{\mathbf{L}}$ , due to orbital degrees of freedom.
- The intrinsic electron spin imparts an additional contribution,  $\boldsymbol{\mu}_{\text{spin}} = \gamma\hat{\mathbf{S}}$ , where the **gyromagnetic ratio**,

$$\gamma = -g\frac{e}{2m_e}$$

and  $g$  (known as the **Landé  $g$ -factor**) is very close to 2.

- These components combine to give the total magnetic moment,

$$\boldsymbol{\mu} = -\frac{e}{2m_e}(\hat{\mathbf{L}} + g\hat{\mathbf{S}})$$

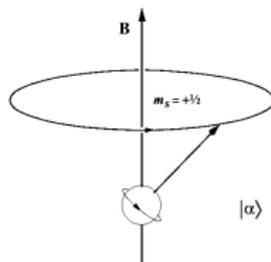
- In a magnetic field, the interaction of the dipole moment is given by

$$\hat{H}_{\text{int}} = -\boldsymbol{\mu} \cdot \mathbf{B}$$

## (Quantum) spin precession in a magnetic field

- Focusing on the spin contribution alone,

$$\hat{H}_{\text{int}} = -\gamma \hat{\mathbf{S}} \cdot \mathbf{B} = -\frac{\gamma}{2} \hbar \boldsymbol{\sigma} \cdot \mathbf{B}$$



- The spin dynamics can then be inferred from the time-evolution operator,  $|\psi(t)\rangle = \hat{U}(t)|\psi(0)\rangle$ , where

$$\hat{U}(t) = e^{-i\hat{H}_{\text{int}}t/\hbar} = \exp\left[\frac{i}{2}\gamma\boldsymbol{\sigma} \cdot \mathbf{B}t\right]$$

- However, we have seen that the operator  $\hat{U}(\theta) = \exp[-\frac{i}{\hbar}\theta\hat{\mathbf{e}}_n \cdot \hat{\mathbf{L}}]$  generates spatial rotations by an angle  $\theta$  about  $\hat{\mathbf{e}}_n$ .
- In the same way,  $\hat{U}(t)$  effects a spin rotation by an angle  $-\gamma Bt$  about the direction of  $\mathbf{B}$ !

## (Quantum) spin precession in a magnetic field

$$\hat{U}(t) = e^{-i\hat{H}_{\text{int}}t/\hbar} = \exp\left[\frac{i}{2}\gamma\boldsymbol{\sigma}\cdot\mathbf{B}t\right]$$

- Therefore, for initial spin configuration,

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} e^{-i\varphi/2} \cos(\theta/2) \\ e^{i\varphi/2} \sin(\theta/2) \end{pmatrix}$$

- With  $\mathbf{B} = B\hat{\mathbf{e}}_z$ ,  $\hat{U}(t) = \exp[i\frac{\gamma}{2}Bt\sigma_z]$ ,  $|\psi(t)\rangle = \hat{U}(t)|\psi(0)\rangle$ ,

$$\begin{pmatrix} \alpha(t) \\ \beta(t) \end{pmatrix} = \begin{pmatrix} e^{-\frac{i}{2}\omega_0 t} & 0 \\ 0 & e^{\frac{i}{2}\omega_0 t} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} e^{-\frac{i}{2}(\varphi+\omega_0 t)} \cos(\theta/2) \\ e^{\frac{i}{2}(\varphi+\omega_0 t)} \sin(\theta/2) \end{pmatrix}$$

- i.e. spin precesses with angular frequency  $\omega_0 = -\gamma\mathbf{B} = -g\omega_c\hat{\mathbf{e}}_z$ , where  $\omega_c = \frac{eB}{2m_e}$  is **cyclotron frequency**, ( $\frac{\omega_c}{B} \simeq 10^{11} \text{ rad s}^{-1}\text{T}^{-1}$ ).

