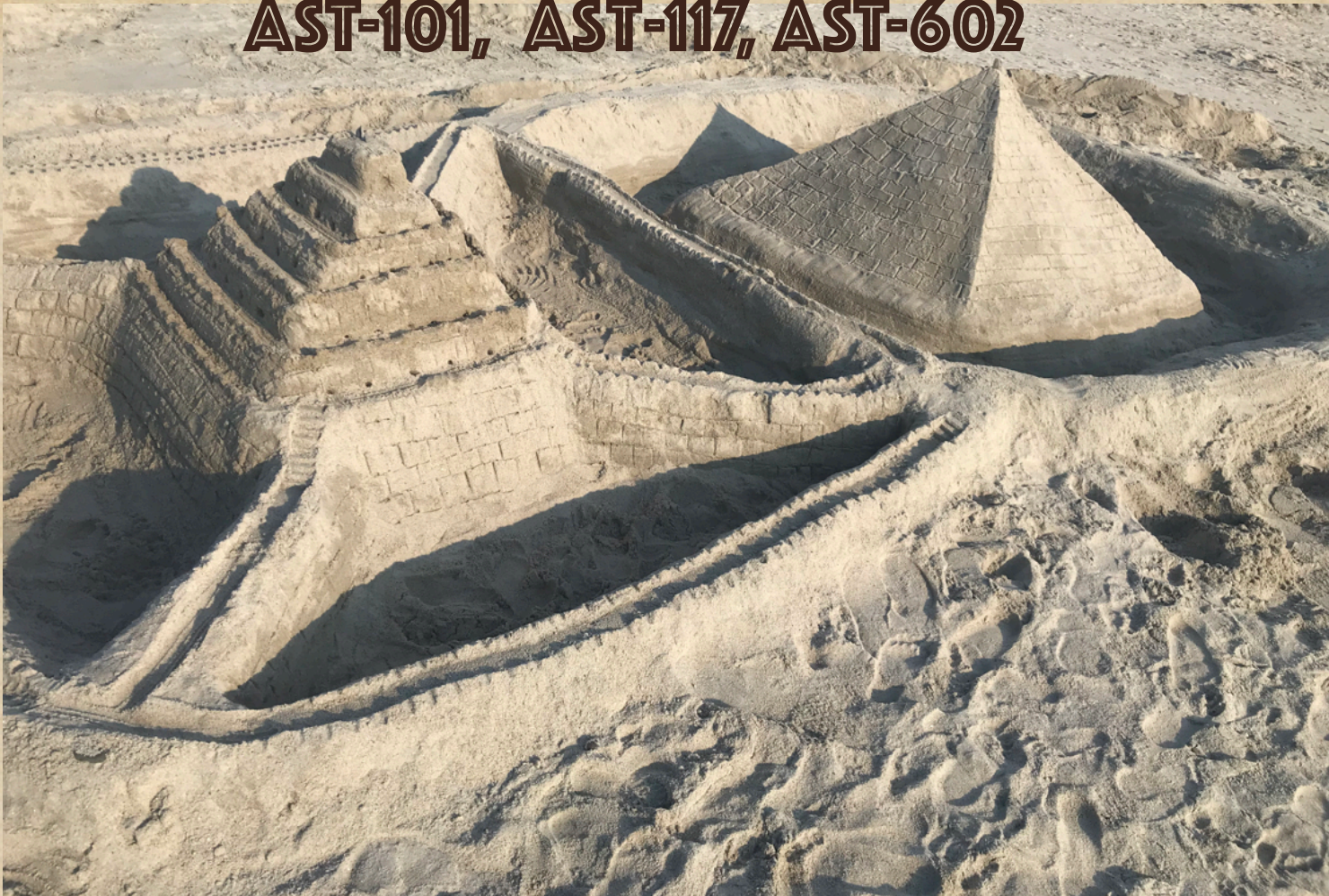


**AST-101, AST-117, AST-602**



Space colonization and the Fermi paradox

Luis Anchordoqui

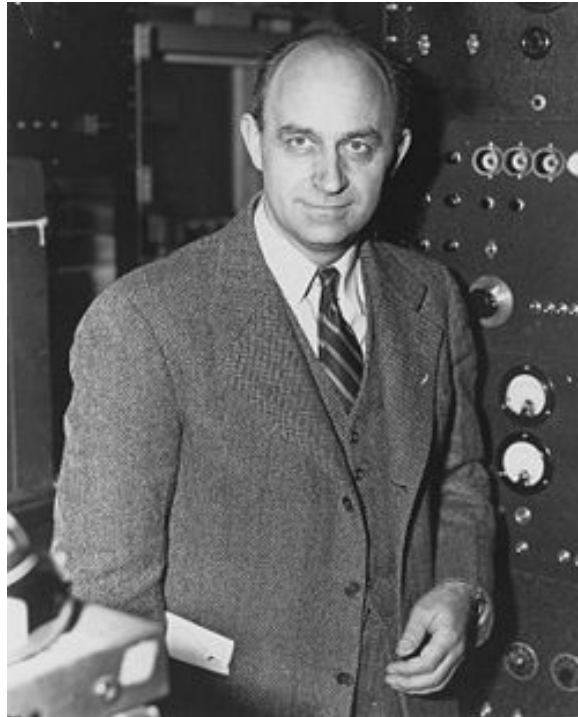
**On May 25, 1961 President Kennedy's announcement to put a man on the moon and bring him back safely before the end of the decade set the advent of human exploration of space for NASA, culminating to the landing on the Moon on July 16, 1969.**



**It is difficult to believe that this is the only time such an event has ever happened in the history of the universe.**



## The Fermi Paradox



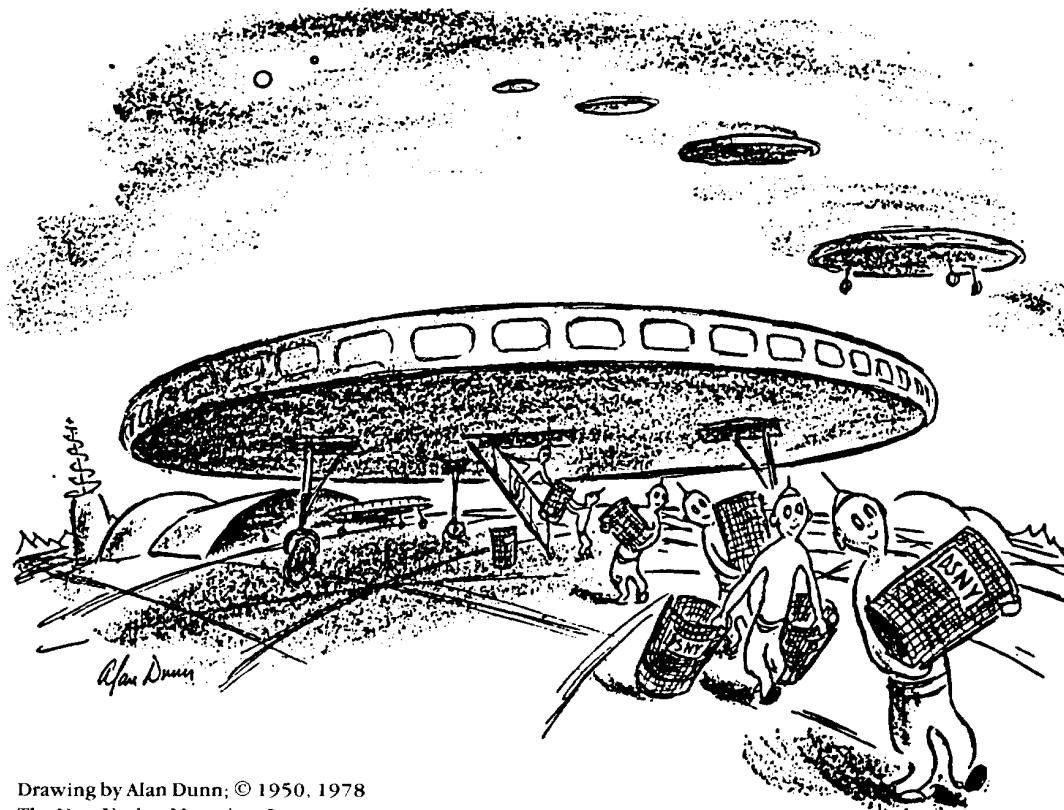
Enrico Fermi: Italian physicist (1901-1954)

**On the other hand**

*If intelligent life is common,  
where is everyone?*

## Fermi paradox

Discrepancy between strong likelihood of alien intelligent life  
(emerging under a wide variety of assumptions)  
and absence of any visible evidence for such emergence



Drawing by Alan Dunn; © 1950, 1978  
The New Yorker Magazine, Inc.

## The Fermi Paradox according to Sherlock Holmes

“Is there any point to which you wish to draw my attention?”

“To the curious incident of the dog in the night-time.”

“The dog did nothing in the night time.”

“That was the curious incident,” remarked Sherlock Holmes.

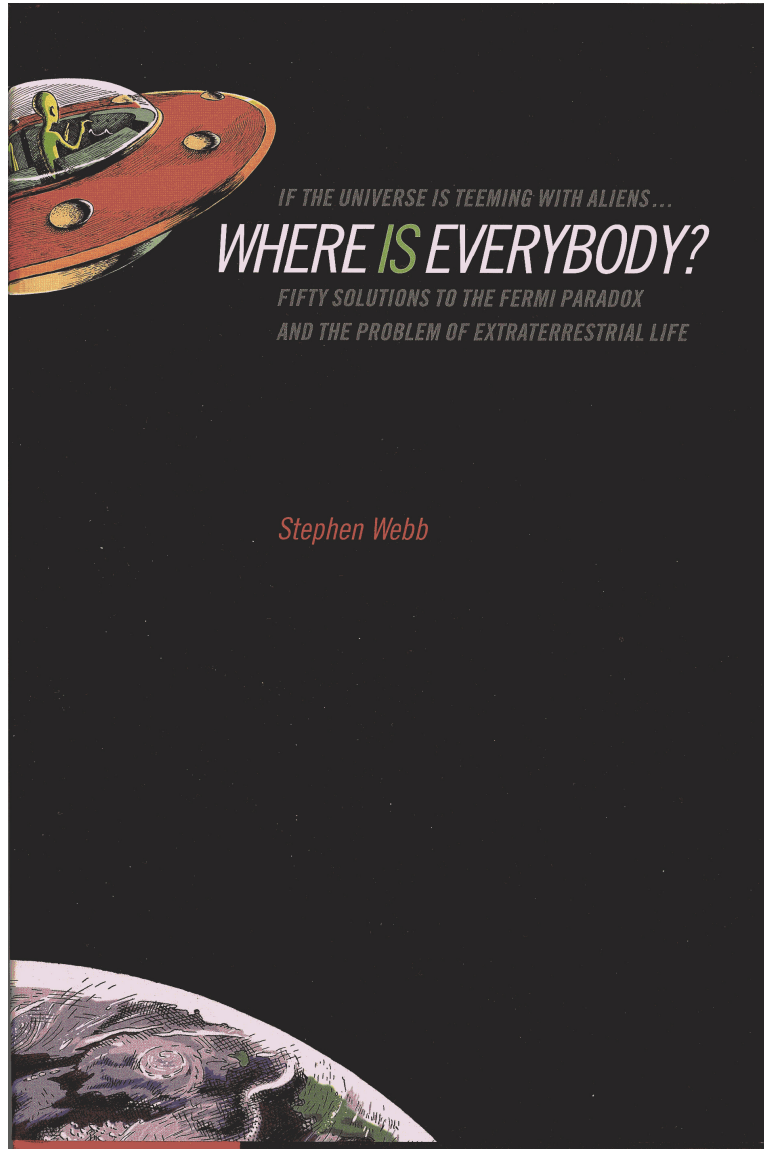
-- *Silver Blaze*, A. Conan Doyle

Which of the following is not considered a potential solution to the question of why we lack any evidence of a galactic civilization?

- A. there is no galactic civilization because we are the first species ever to achieve the ability to study the universe
- B. the galactic civilization probably is undetectable because they operate under different laws of physics from the ones we know
- C. the galactic civilization is deliberately avoiding contact with us
- D. there is no galactic civilization because all civilizations destroy themselves before they achieve the ability to colonize the galaxy

Which of the following is not considered a potential solution to the question of why we lack any evidence of a galactic civilization?

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Types of answers:

1. They do not exist.
2. They exist but have not yet communicated with us.
3. They are here.

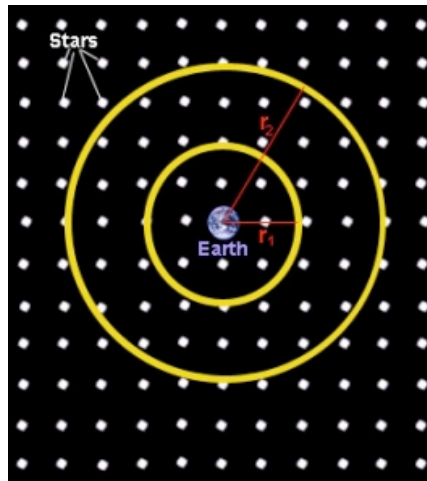
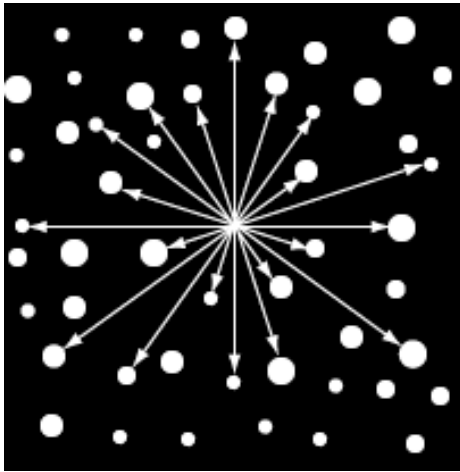


# An Analogy

Recall Olbers' paradox:

An infinite universe that is infinitely old should be infinitely bright.

So why is the night sky dark?



The night sky is dark because the universe is finite both spatially and temporally.

Though the number of stars is huge, the universe is essentially sparse.

## Fermi Paradox

- The FP may have a similar solution:
- “N” may be large (lots of civilizations) but the Galaxy is too large for the likelihood of one civilization encountering another.
- ... yet.
  
- The smaller N is, the more sparse the Galaxy.



Whose paradox asks why the sky is not ablaze with starlight if the universe is infinite in extent and uniformly filled with stars?


- A. Olber's
- B. Fermi's
- C. Schuller's
- D. Miller's

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# PURPOSE?

A cartoon illustration of Homer Simpson from 'The Simpsons', shown from the chest up. He is yellow-skinned with a large nose, wearing a white short-sleeved shirt and blue pants. He has a thoughtful expression, with his right hand resting on his chin and his left arm crossed. The background behind him is a solid light blue color. A small orange thought bubble tail connects his head to the larger orange thought bubble on the right.

Why do we spent our money in something that is thousands of millions of kilometres away?

Although I could find more reasons, I think the best reason is, because we simply can!

*Fly me to the Moon*



*Which unlucky Apollo lunar landing was canceled after an oxygen tank exploded?*

A. Apollo 13

B. Apollo 11

C. Apollo 17



Which unlucky Apollo lunar landing was canceled after an oxygen tank exploded?

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*Which was the first manned landing mission on the moon?*

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In what year did Neil Armstrong make his historic walk on the Moon?

A. 1959

B. 1969

C. 1979

D. 1999

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A. 1959

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How many people have set foot on the Moon?

A. 1

B. 0

C. 1000

D. 12

How many people have set foot on the Moon?

A. 1

B. 0

C. 1000

D. 12

How many manned moon landings have there been?

A. 1

B. 2

C. 3

D. 6

E. 10

F. 12



How many manned moon landings have there been?

A. 1

B. 2

C. 3

D. 6

E. 10

F. 12

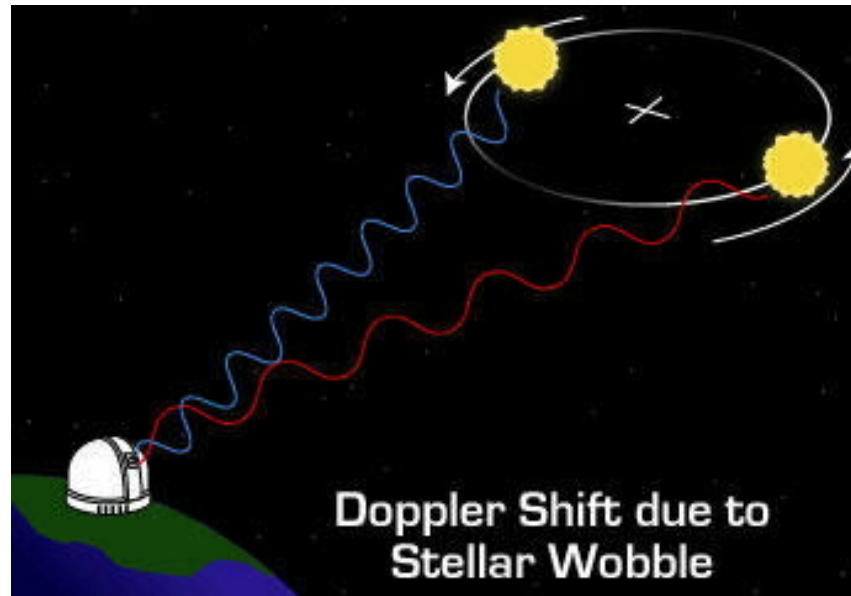
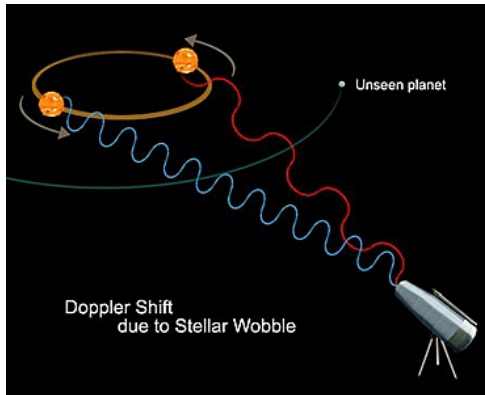
A home away from home

# **EXTRASOLAR HABITABILITY: EARTH-LIKE PLANETS?**



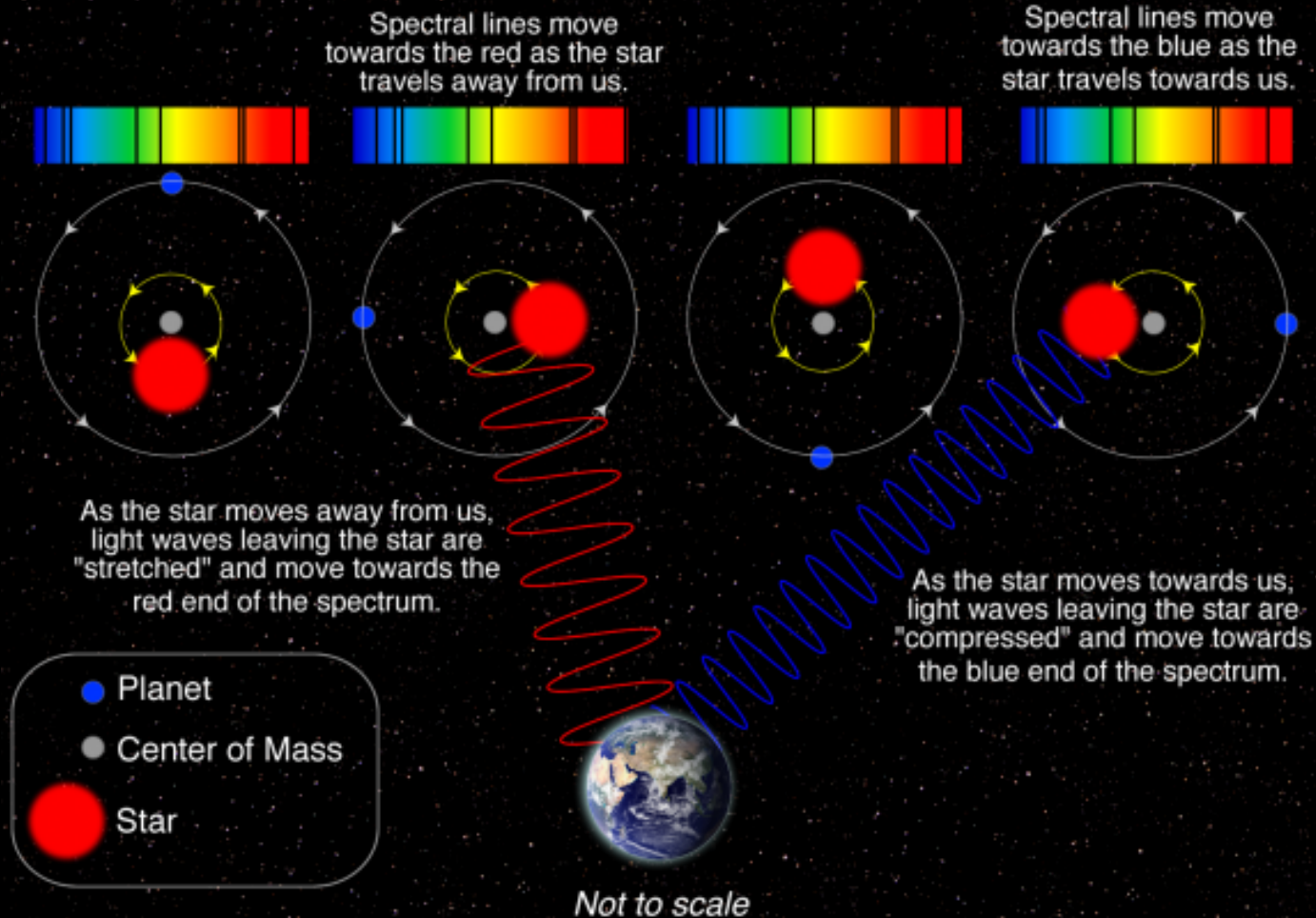
# **DETECTING EXOPLANETS WITH RADIAL VELOCITY MEASUREMENTS**



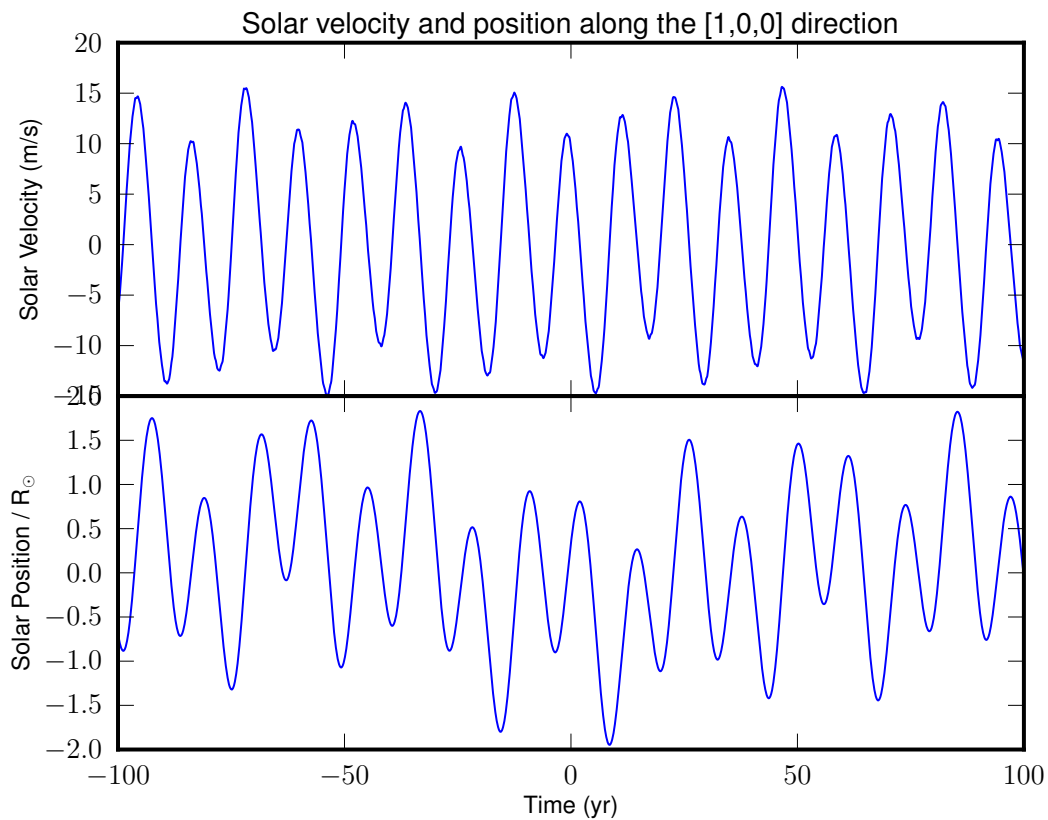


# Radial Velocity Method

The star and planet orbit their common center of mass.



## If ETs were measuring the radial velocity of the Sun ...



All planets in the solar system contribute to the Sun's motion.

This is the distance of the Sun from the solar system's barycenter in units of the Sun's radius.

---

# A Jupiter-mass companion to a solar-type star

**Michel Mayor & Didier Queloz**

Geneva Observatory, 51 Chemin des Maillettes, CH-1290 Sauverny, Switzerland

---

**The presence of a Jupiter-mass companion to the star 51 Pegasi is inferred from observations of periodic variations in the star's radial velocity. The companion lies only about eight million kilometres from the star, which would be well inside the orbit of Mercury in our Solar System. This object might be a gas-giant planet that has migrated to this location through orbital evolution, or from the radiative stripping of a brown dwarf.**

---

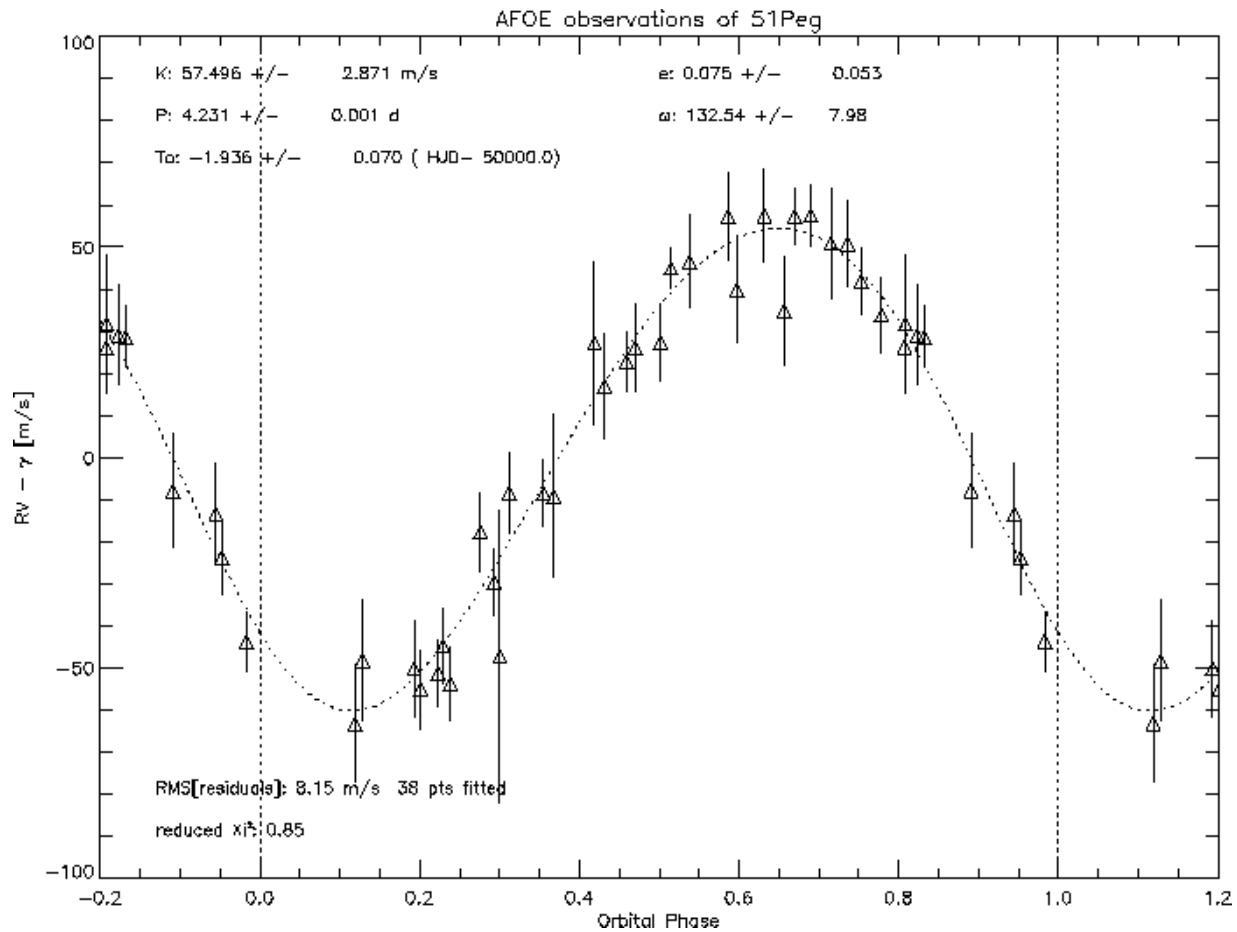
FOR more than ten years, several groups have been examining the radial velocities of dozens of stars, in an attempt to identify orbital motions induced by the presence of heavy planetary companions<sup>1-5</sup>. The precision of spectrographs optimized for Doppler studies and currently in use is limited to about  $15 \text{ m s}^{-1}$ . As the reflex motion of the Sun due to Jupiter is  $13 \text{ m s}^{-1}$ , all current searches are limited to the detection of objects with at least the mass of Jupiter ( $M_J$ ). So far, all precise Doppler surveys have failed to detect any jovian planets or brown dwarfs.

Since April 1994 we have monitored the radial velocity of 142 G and K dwarf stars with a precision of  $13 \text{ m s}^{-1}$ . The stars in our survey are selected for their apparent constant radial velocity (at lower precision) from a larger sample of stars monitored for 15 years<sup>6,7</sup>. After 18 months of measurements, a small number of stars show significant velocity variations. Although most candidates require additional measurements, we report here the discovery of a companion with a minimum mass of  $0.5 M_J$ , orbiting at 0.05 AU around the solar-type star 51 Peg. Constraints originating from the observed rotational velocity of 51 Peg and from its low chromospheric emission give an upper limit of  $2 M_J$  for

NATURE · VOL 378 · 23 NOVEMBER 1995

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# 51 Pegasi observations



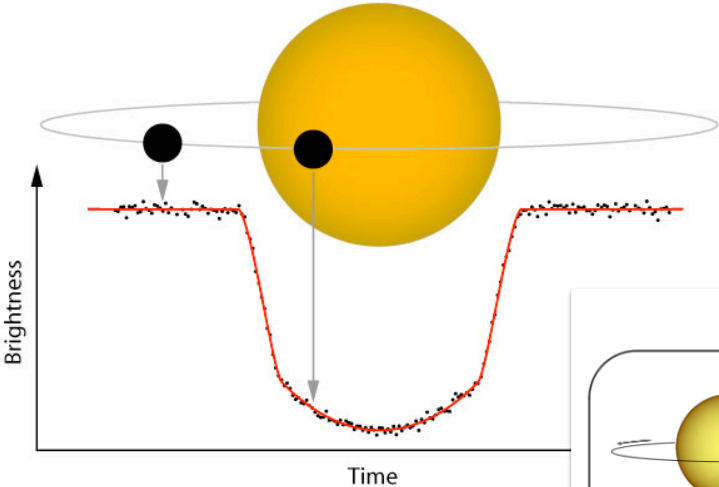


The Kepler mission

# **DETECTING EXOPLANETS WITH PLANETARY TRANSITS**



# Planetary Transits



Exoplanet Orbit Orientations Powered by LCOGT

These planets transit

Two diagrams showing planets with orbits that pass in front of the star. The first shows a planet with an orbit in the same plane as the star's equator. The second shows a planet with an orbit tilted towards the star. Both diagrams include a blue dot on the orbit labeled 'Direction of orbit'.

These planets do not transit

Two diagrams showing planets with orbits that do not pass in front of the star. The first shows a planet with an orbit tilted away from the star. The second shows a planet with an orbit tilted towards the star but at a different angle. Both diagrams include a blue dot on the orbit labeled 'Direction of orbit'.

Plot to scale



Transit of Venus (2012)

**a planet that transits =**



unique opportunity to  
observe an exoplanet's

**radius**

+

**orbit**

+

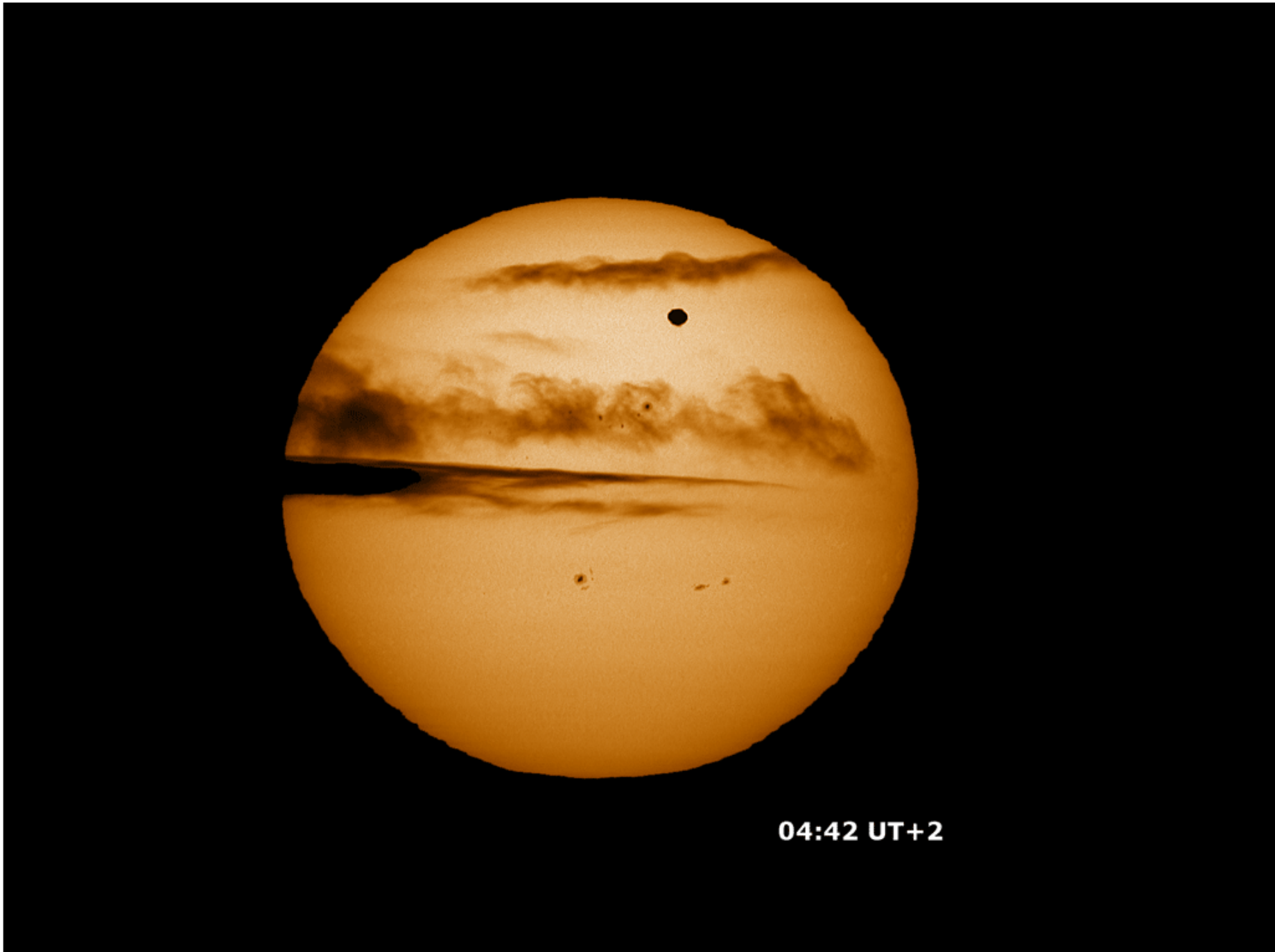
**mass**

+

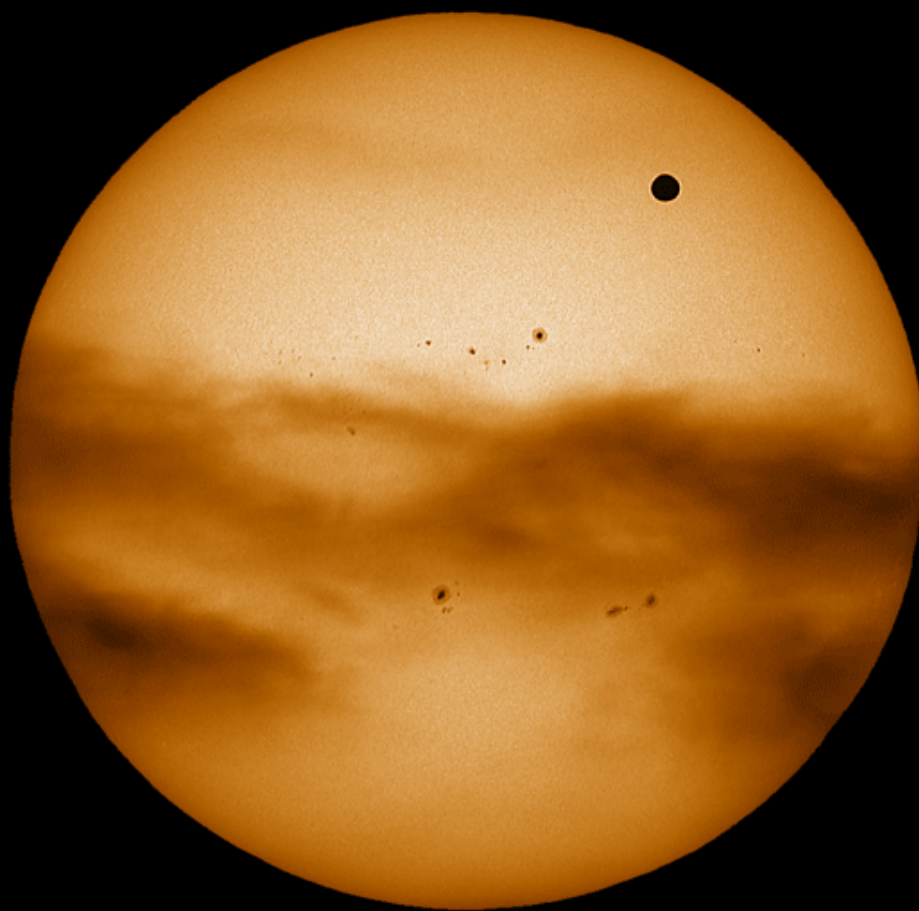
**atmosphere**



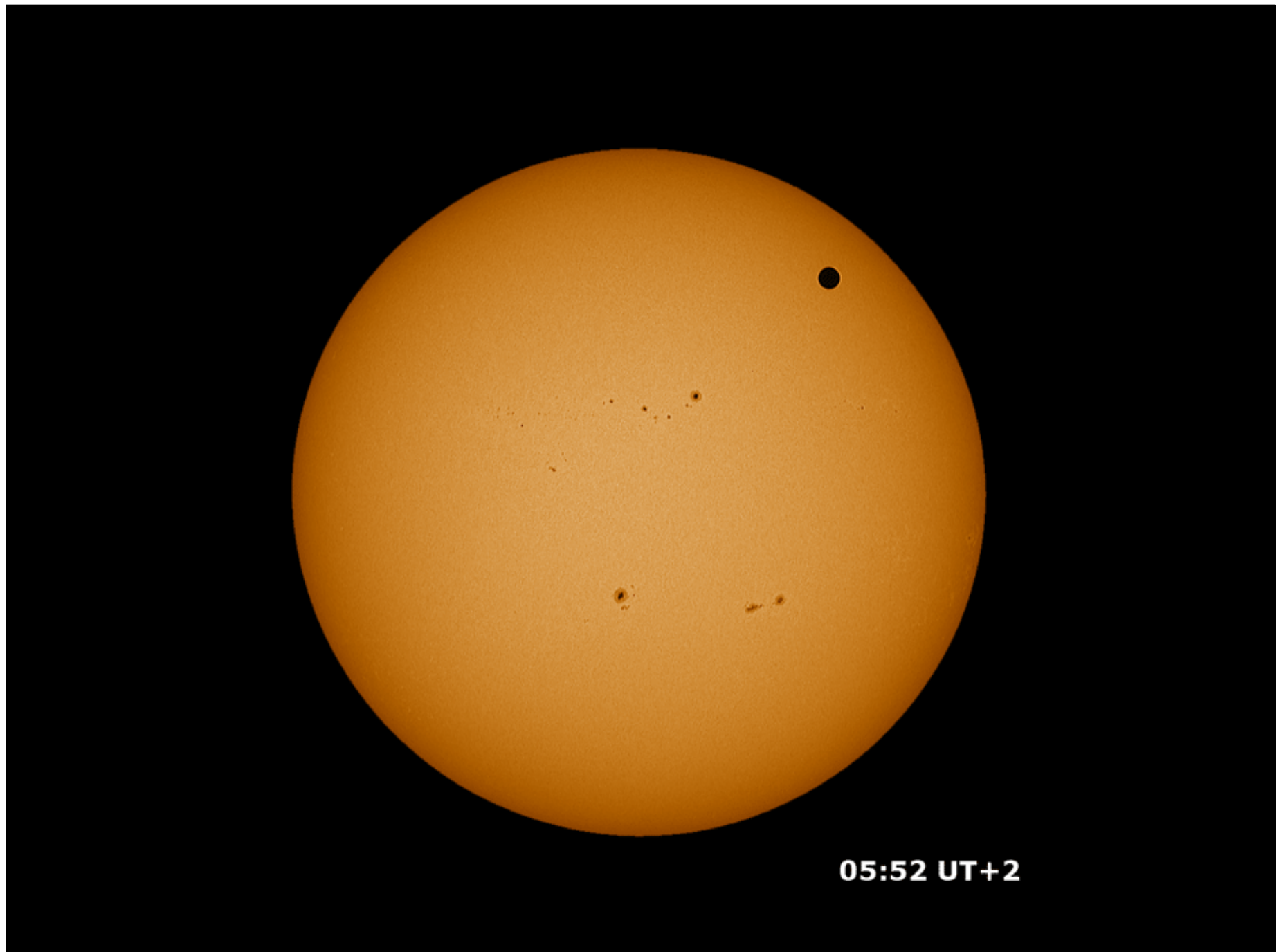
Is it like Earth?  
Is it habitable?  
Is it inhabited?



04:42 UT+2



**05:26 UT+2**



## Searching for Habitable Worlds

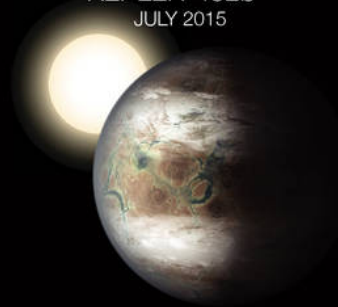
KEPLER-20e  
DECEMBER 2011



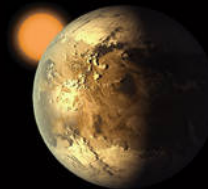
KEPLER-22b  
DECEMBER 2011



KEPLER-452b  
JULY 2015



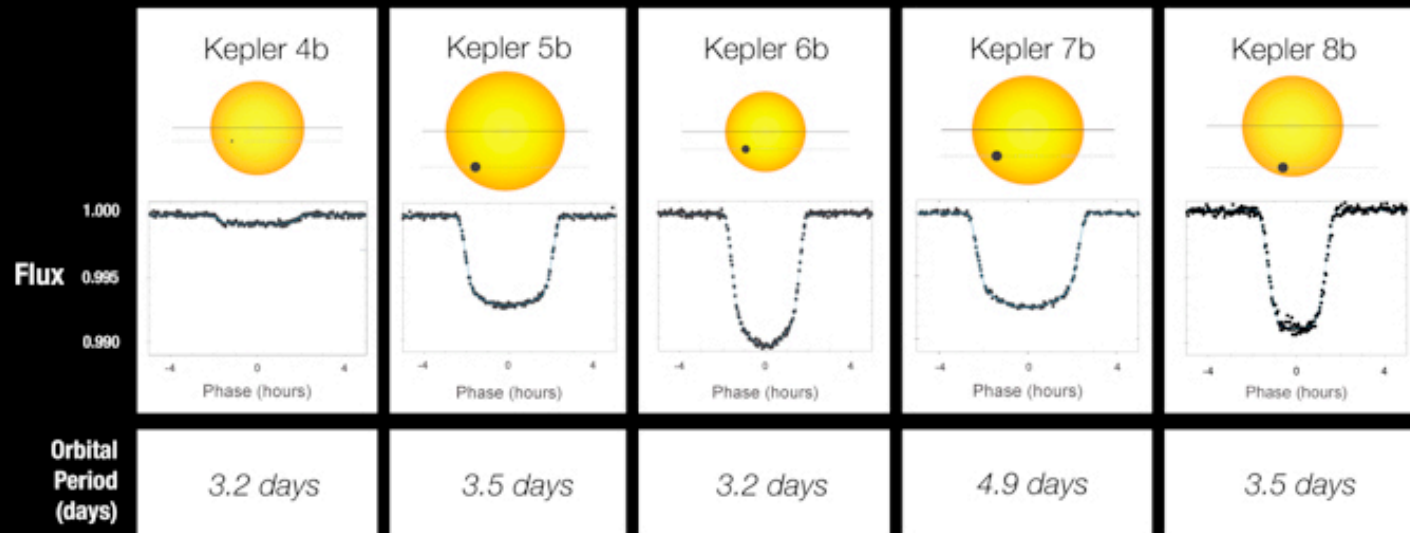
KEPLER-186f  
APRIL 2014



ARTISTIC CONCEPT

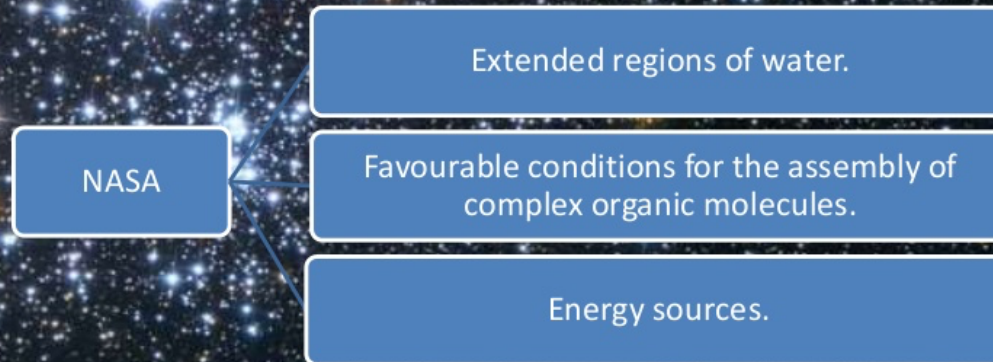


# Transit Light Curves



# Planet Habitability

- Potential of a planet to develop and sustain life.
- Largely agreed on an extrapolation of Earth's and Sun's characteristics.



- HZ: Habitable zone.

- All organisms living on Earth  
require C-based chemistry in liquid water
- According to hot Big Bang model ☞ life (as we know it)  
could not have appeared earlier than  $t \sim 10$  Myr after the Bang  
'cause Universe was bathed in thermal radiation background  
above boiling temperature of liquid water
- After  $10 \lesssim t/\text{Myr} \lesssim 17$  Universe cooled down  
to habitable comfortable temperatures ☞  $273 \lesssim T/\text{K} \lesssim 373$
- Each star is surrounded by an habitable zone  
defined as the orbital range around star  
within which surface liquid water could be sustained
- Since water is essential for life as we know it  
search for biosignature gases naturally focuses on planets  
located in habitable zone of their host stars

- Total energy flux  $\mathcal{F}$  (energy per unit area per unit time) passing through a region can be related to effective temperature  $T$

$$\mathcal{F} = \sigma_{\text{SB}} T^4$$

Stefan-Boltzmann constant  $\Rightarrow \sigma_{\text{SB}} \approx 5.67 \times 10^{-8} \text{ W m}^{-2} \text{ }^\circ\text{K}^{-4}$

- Luminosity (energy per unit time) of star is  $L$   
and flux at distance  $r$  from the star are related by

$$\mathcal{F} = \frac{L}{4\pi r^2}$$

because area of sphere of radius  $r$  is  $A = 4\pi r^2$

and flux is luminosity divided by area

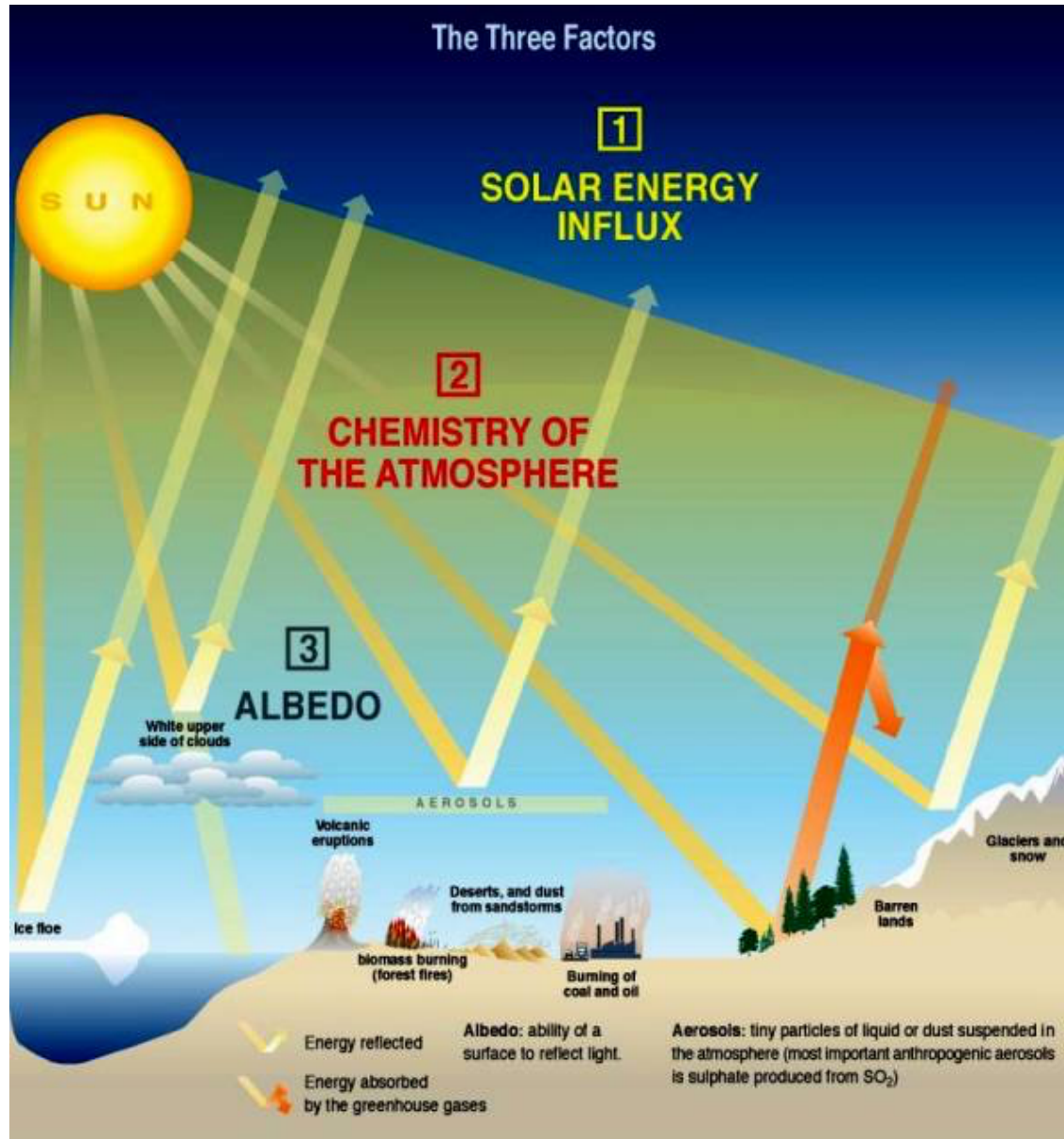
- Quick estimate of  $T$  at given  $r$  from

$$\sigma_{\text{SB}} T^4 = \mathcal{F} = \frac{L}{4\pi r^2}$$

For solar system  $\Rightarrow \sigma_{\text{SB}}, 4\pi, L_{\odot}$  are constants

$$T^4 \propto \frac{1}{r^2} \Rightarrow T \propto r^{-1/2}$$

# ALBEDO



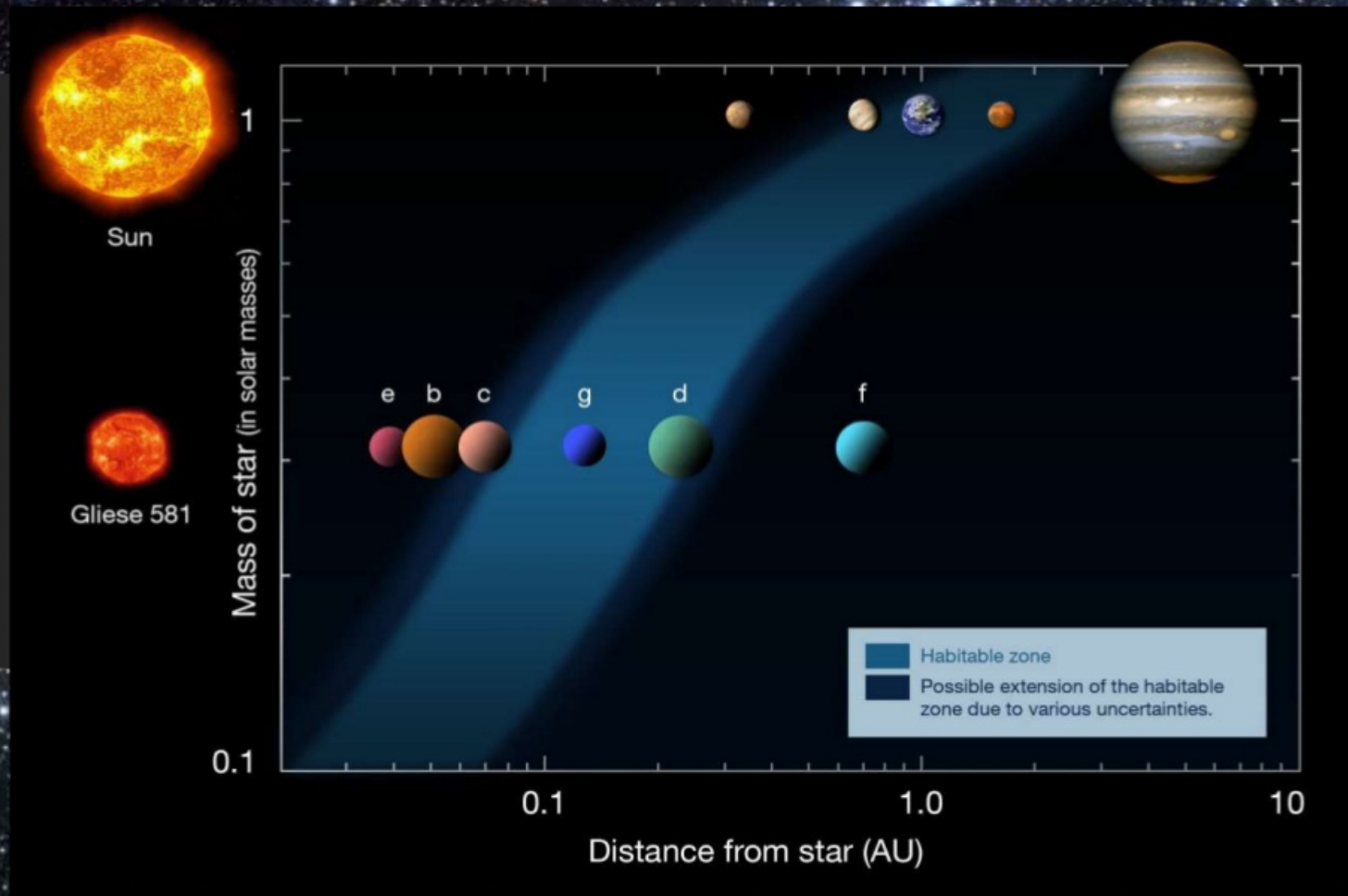
The word *Albedo* refers to which of the following?

- A. the wobbling motion of a planet
- B. the amount of light a planet reflects
- C. the phase changes of a planet
- D. the brightness of a star

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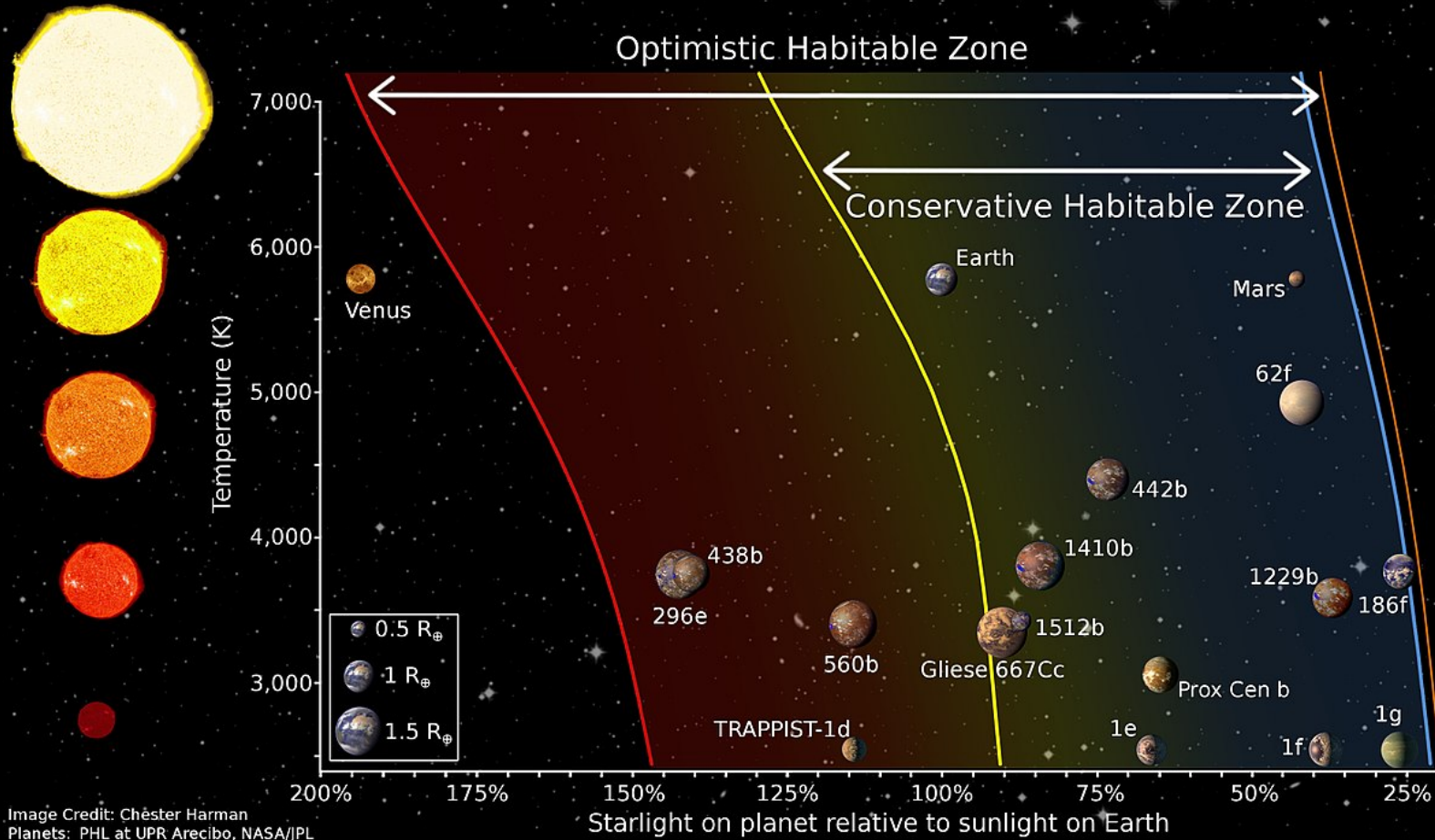
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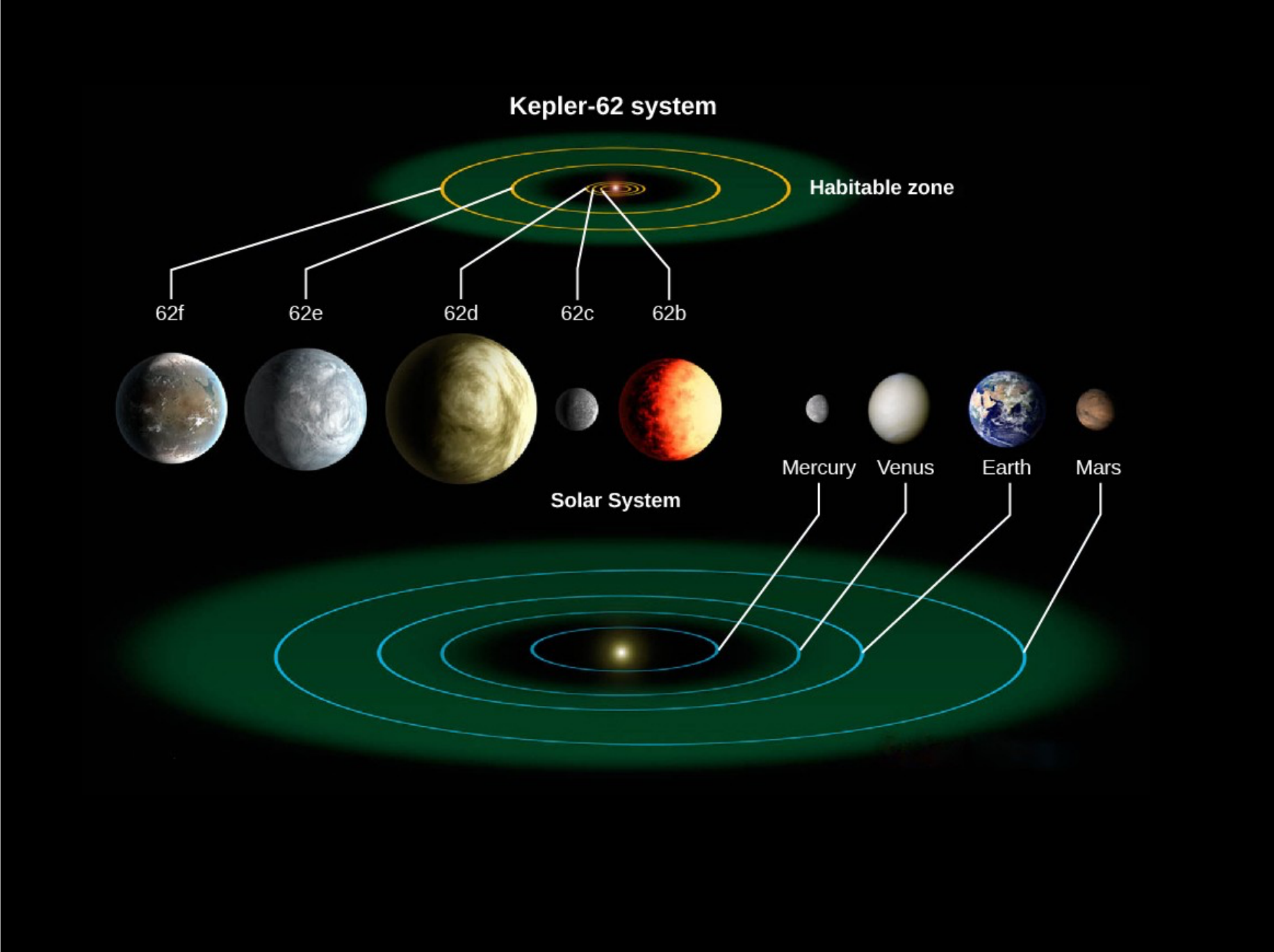
# Goldilock's zone





# Habitable zone regions





*What defines the habitable zone around a star?*

- A. the region around a star where rocky planets form*
- B. the region around a star where humans can survive*
- C. the region around a star where liquid water can potentially exist on planetary surfaces*
- D. the region around a star where the ultraviolet radiation does not destroy organisms on a planetary surface*
- E. the region around a star where life exists*

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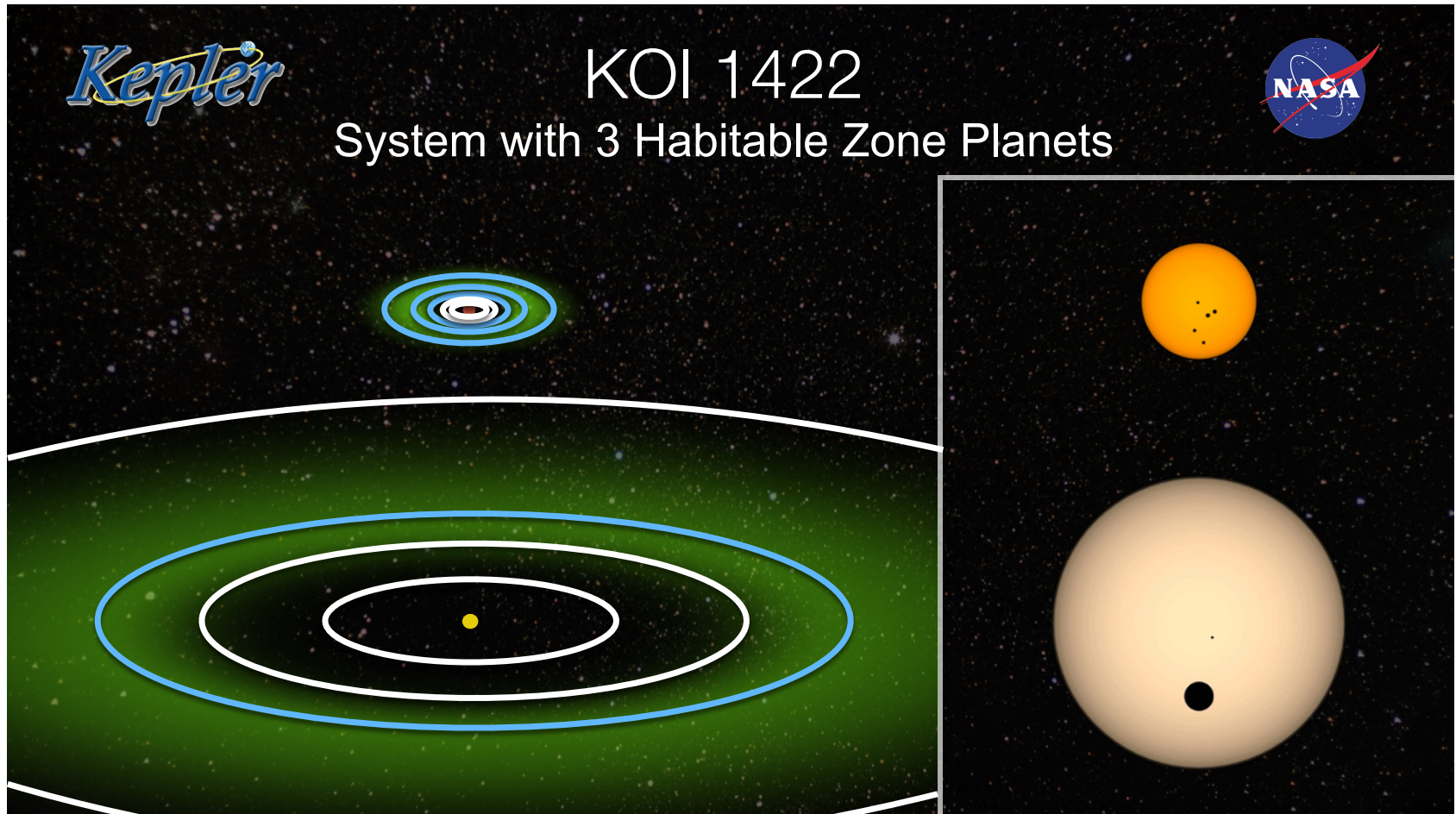
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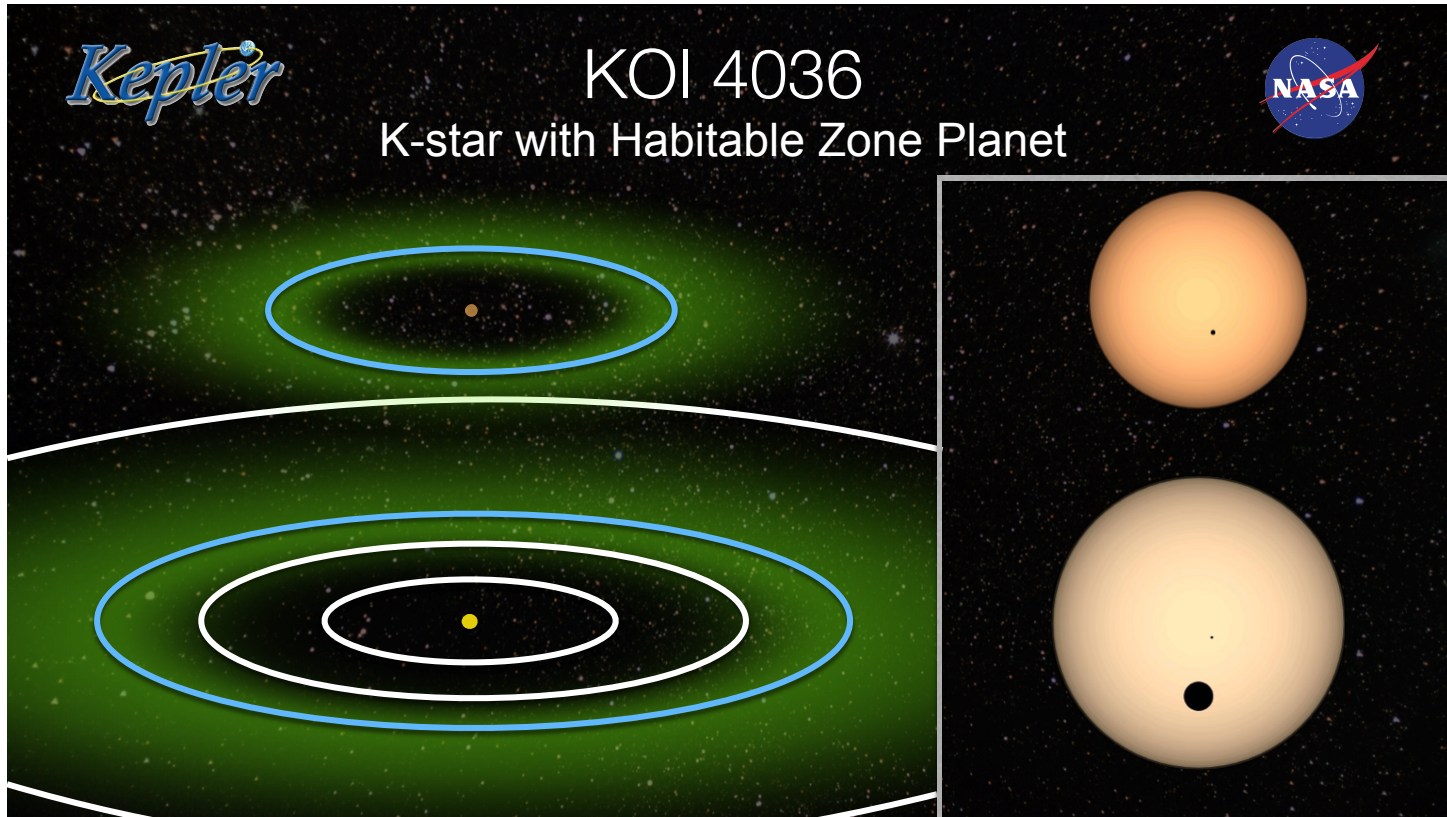
Kepler

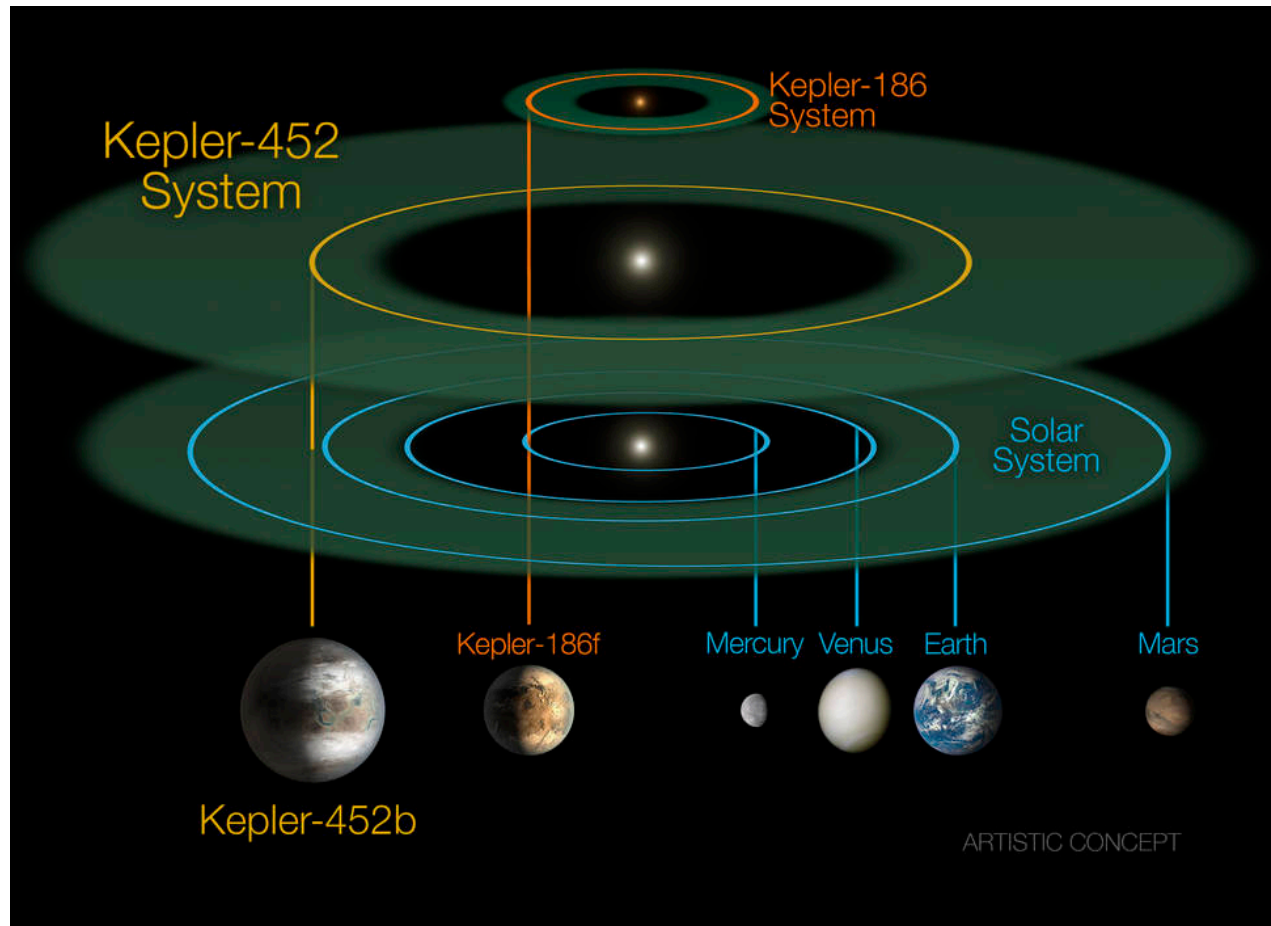
KOI 1422



System with 3 Habitable Zone Planets







# Kepler's Small Habitable Zone Planets

*As of July 2015*

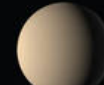
Planets enlarged 25x compared to stars

G Stars



Kepler-452b (Earth)

K Stars



Kepler-442b 155c 235e 62f 62e 283c 440b

M Stars



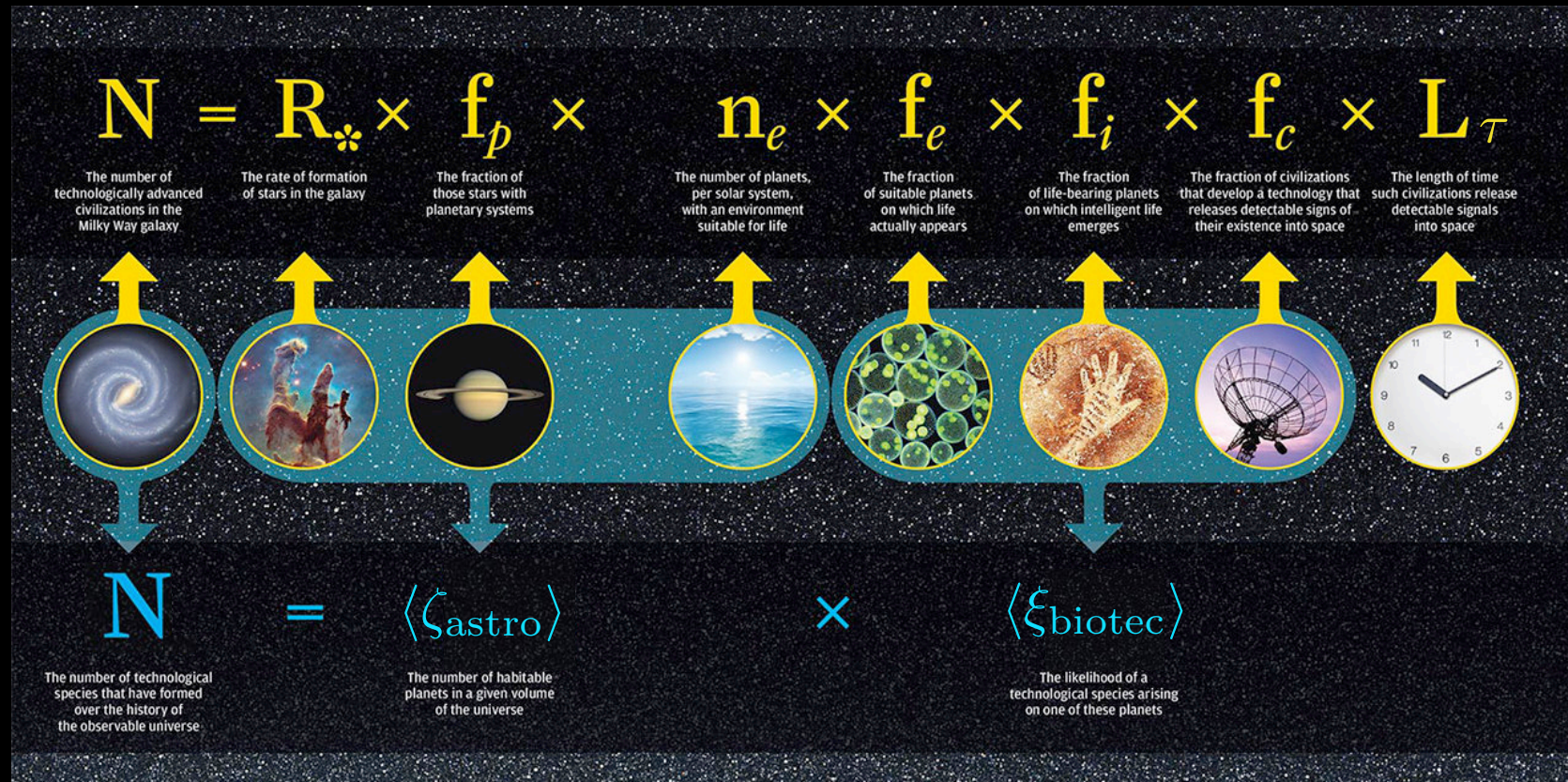
Kepler-438b 186f 296e 296f



# Why search? Assessing the Odds

- **The astrophysical case:**  
p (habitable planets | Galaxy)
  - **The biological case:**  
p (life | habitable planets)
  - **Complexity:**  
p (technology | life)  
p (extroversion | technology)
-

# Drake's equation



- $\langle \zeta_{\text{astro}} \rangle \sim 0.002 \text{ yr}^{-1}$  and  $\zeta_{\text{biotec}} \leq 1$
- If the communicative phase is smaller than 500 years there would be no paradox

## How Many Planets are in the Galaxy?

- There are approximately 100 billion F,G,K stars.
- About 2/3 of these are in binaries with other stellar companions (not ideal for planets – but see Kepler results).
- Most of the ~30 billion isolated stars likely have planetary systems (and so do some binary systems).
- If 1% of these have planets that are habitable and on which life has formed there could be  $N_p = 300$  million planets with the potential of harboring life.
- With these numbers, the nearest life-bearing planet could be <10 pc away. (cf. Kepler numbers discussed earlier.)

Which of the following parameters in the Drake Equation do we have sufficient data on such that the value we might assign when calculating the Drake equation goes beyond pure speculation?

- A. the number of habitable planets per planetary system
- B. the fraction of habitable planets on which life arises
- C. the rate of star formation
- D. the average lifetime of a technological civilization
- E. the fraction of life-bearing planets on which intelligence arises

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One reason that might be valid for not expecting life on a planet orbiting a very high-mass (blue, spectral type O) star is

- A. no habitable zone possible—star is too hot
- B. habitable zone too far from the star
- C. the lifetime of the star is probably too short for life to begin
- D. too much ultraviolet radiation
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Which of the following statements best reflects our current knowledge about the term  $f_{life}$  in the equation

$$\text{Number of civilizations} = N_p \times f_{life} \times f_{civilization} \times f_{now}?$$

- A. the value of  $f_{life}$  must be either 0 percent or 100 percent
- B. the value of  $f_{life}$  is between 0 percent and 100 percent
- C. the value of  $f_{life}$  is between 0 percent and 1 percent
- D. the value of  $f_{life}$  is roughly 50 percent
- E. the value of  $f_{life}$  is presently unknown but should be well known within just a few years



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# Are we alone?

**Now is the time to find out**



*Is There Anybody Out There ?*



## What do we look for? Reciprocity: what do we radiate?

Transmission from Arecibo (1974),  
beamed once towards M13 (8 kpc)  
at 2.4 GHz.

1679 bits =  $23 \times 73$  grid.

Can you decode it?

The message consists of seven parts that encode the following (from the top down):

- The numbers one (1) to ten (10) (white)
- The [atomic numbers](#) of the elements [hydrogen](#), [carbon](#), [nitrogen](#), [oxygen](#), and [phosphorus](#), which make up [deoxyribonucleic acid](#) (DNA) (purple)
- The [formulas](#) for the [sugars](#) and [bases](#) in the [nucleotides](#) of DNA (green)
- The number of nucleotides in DNA, and a graphic of the double helix structure of DNA (white & blue)
- A graphic figure of a human, the dimension (physical height) of an average man, and the human population of Earth (red, blue/white, & white respectively)
- A graphic of the [Solar System](#) indicating which of the planets the message is coming from (yellow)
- A graphic of the [Arecibo radio telescope](#) and the dimension (the physical diameter) of the transmitting antenna dish (purple, white, and blue)



In 1974, a radio message was sent out from the Arecibo observatory in Puerto Rico.

How far has it gotten, approximately?

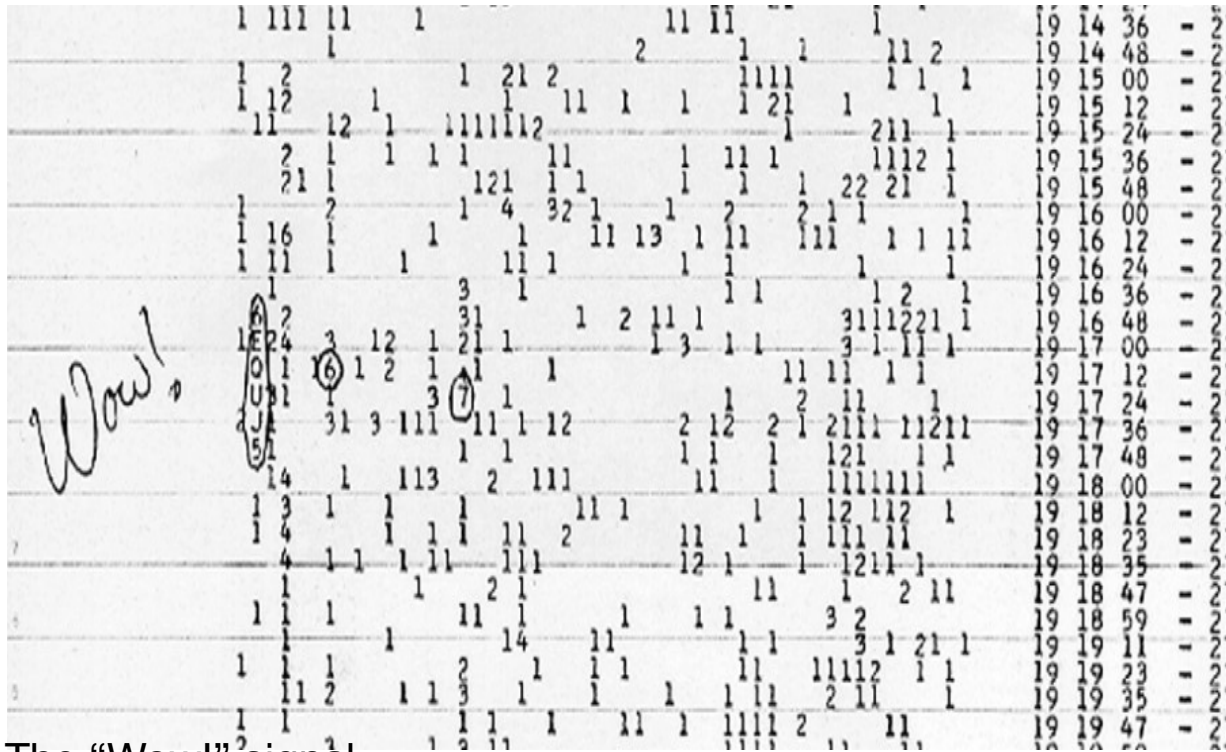
- A. just beyond our Solar System
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- C. just a minuscule fraction of the distance across the Milky Way
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## A Famous SETI False Alarm (?)



The "Wow!" signal,  
Jerry Ehman, Big Ear radio telescope, Ohio State 1977.

At present, what is the primary way that the search for extraterrestrial intelligence (SETI) is carried out?

- A. by searching for planets around distant stars
- B. by using large X-ray telescopes to search for signals from extraterrestrial civilizations
- C. by using radio telescopes to search for signals from extraterrestrial civilizations
- D. by analyzing high-resolution images of nearby stars in search of evidence of structures that could not have developed naturally
- E. by seeking access to the secret records and alien corpses kept at Area 51



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# Principles and Paradox

## *Copernican principle*

- We find ourselves on an ordinary planet around an ordinary star in an ordinary galaxy.
- AKA the assumption of mediocrity (we're mediocre & there must be lots more like us).

## *Anthropic principle*

- The universe necessarily has properties that allow complex beings like ourselves and life generally to have evolved.
- Is the universe ordinary?

## *Fermi Paradox*

- Given CP + AP, if N is large, where is everybody?

The only place outside of Earth where there is irrefutable evidence for  
(ancient, microbial) life is

A. the Moon

B. Mars

C. Europa

D. Titan

E. None of the above—there is no irrefutable evidence for life beyond Earth

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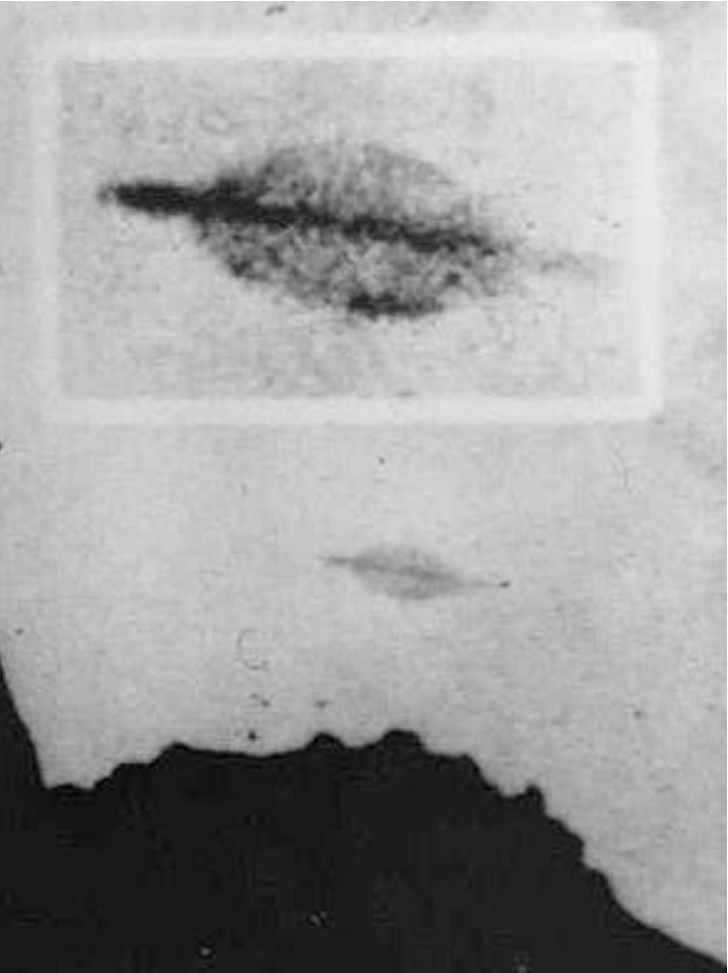
B. Mars

C. Europa

D. Titan

E. None of the above—there is no irrefutable evidence for life beyond Earth

# What about UFOs?



...?

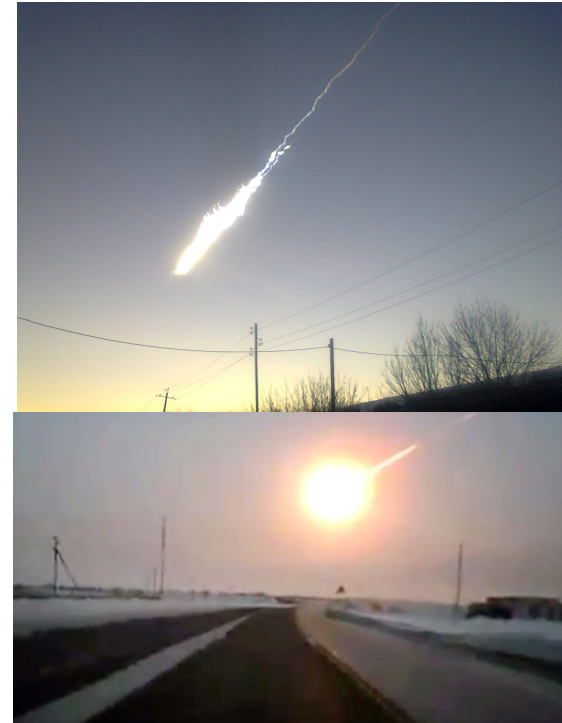
## What about UFOs?

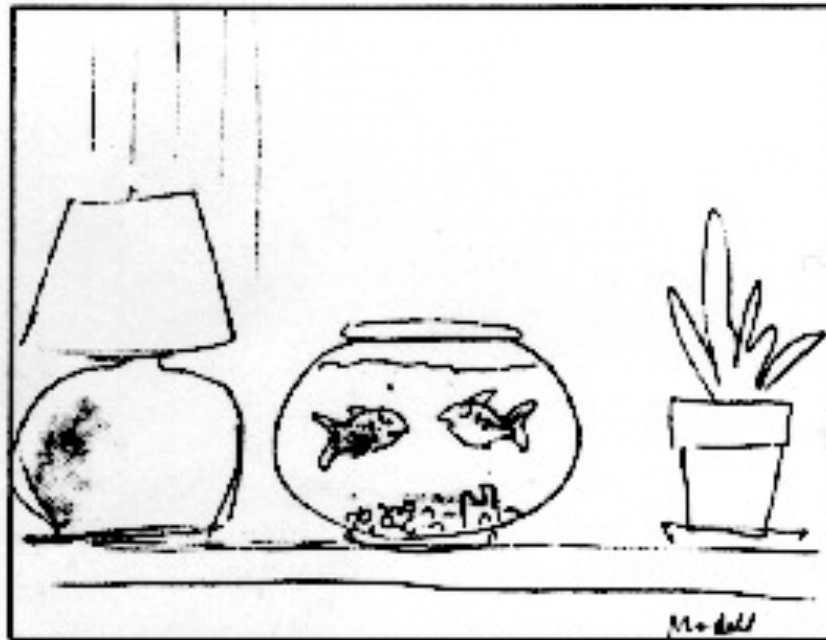
- Smartphones are common today – photo + video recording at fingertips.
- “Dashcams” capture continuous footage: e.g. Chelyabinsk meteor, 2013.
- Robotic survey telescopes continuously monitor night sky.

... Did UFOs become shy just as our ability to record them became commonplace?

Given rates implied by UFO sighting claims in the past, we should have high quality photo / audio / video recordings routinely today.

→ Not plausible.





"I'll tell you something else I think. I think there are other bowls somewhere out there with intelligent life just like ours." Drawing by Frank Modell; © 1987 The New Yorker Magazine, Inc.

**AST-101, AST-117, AST-602**



That's all folks